

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2019

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending ,

Rockefeller Brothers Fund, Inc. 475 Riverside Drive #900 New York, NY 10115

A Employer identification number 13-1760106

B Telephone number (see instructions) (212) 812-4200

G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change

C If exemption application is pending, check here.

D 1 Foreign organizations, check here.

2 Foreign organizations meeting the 85% test, check here and attach computation.

H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here.

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 1,296,117,807. J Accounting method: Cash, Accrual, Other (specify)

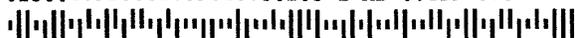
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).



Department of the Treasury
Internal Revenue Service
Ogden, UT 84201-0035

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ROCKEFELLER BROTHERS FUND INC
475 RIVERSIDE DR RM 900
NEW YORK NY 10115-0066



023004

Notice	CP211A
Tax period	December 31, 2019
Notice date	June 1, 2020
Employer ID number	13-1760106
To contact us	Phone 877-829-5500 FAX 877-792-2864

Page 1 of 1

Important information about your December 31, 2019 Form 990PF

We approved your Form 8868, Application for Extension of Time To File an Exempt Organization Return

We approved the Form 8868 for your
December 31, 2019 Form 990PF.
Your new due date is November 15, 2020.

What you need to do

File your December 31, 2019 Form 990PF by November 15, 2020. We encourage you to use electronic filing—the fastest and easiest way to file.

Visit www.irs.gov/charities to learn about approved e-File providers, what types of returns can be filed electronically, and whether you are required to file electronically.

Additional information

- Visit www.irs.gov/cp211a
- For tax forms, instructions, and publications, visit www.irs.gov/forms-pubs or call 800-TAX-FORM (800-829-3676).
- Keep this notice for your records.

If you need assistance, please don't hesitate to contact us.

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash – non-interest-bearing		1,933,601.	3,092,284.	3,092,284.
	2	Savings and temporary cash investments		58,906,919.	36,672,031.	36,672,031.
	3	Accounts receivable	1,822,191.			
		Less: allowance for doubtful accounts ▶		1,766,615.	1,822,191.	1,822,191.
	4	Pledges receivable	2,249,222.			
		Less: allowance for doubtful accounts ▶		2,400,000.	2,249,222.	2,249,222.
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		738,098.	636,645.	636,645.
	10a	Investments – U.S. and state government obligations (attach schedule)	See Stmt 7A	8,473,109.	12,674,741.	12,674,741.
	b	Investments – corporate stock (attach schedule)	See Stmt 7A	142,346,013.	201,576,369.	201,576,369.
	c	Investments – corporate bonds (attach schedule)	See Stmt 7A	17,157,500.	28,456,799.	28,456,799.
	11	Investments – land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments – mortgage loans					
13	Investments – other (attach schedule)		906,100,474.	998,905,813.	998,905,813.	
14	Land, buildings, and equipment: basis ▶	39,852,519.				
	Less: accumulated depreciation (attach schedule)	See Stmt 7	32,821,954.	6,460,952.	7,030,565.	
15	Other assets (describe ▶ See Statement 8)		510,000.	3,001,147.	3,001,147.	
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)		1,146,793,281.	1,296,117,807.	1,296,117,807.	
Liabilities	17	Accounts payable and accrued expenses		12,486,149.	13,973,811.	
	18	Grants payable		11,940,030.	12,903,237.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ See Statement 9)		3,099,254.	5,741,151.	
	23	Total liabilities (add lines 17 through 22)		27,525,433.	32,618,199.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input checked="" type="checkbox"/>					
	24	Net assets without donor restrictions		839,482,905.	941,915,838.	
	25	Net assets with donor restrictions		279,784,943.	321,583,770.	
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input type="checkbox"/>					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
29	Total net assets or fund balances (see instructions)		1,119,267,848.	1,263,499,608.		
30	Total liabilities and net assets/fund balances (see instructions)		1,146,793,281.	1,296,117,807.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,119,267,848.
2	Enter amount from Part I, line 27a.	2	-38,072,650.
3	Other increases not included in line 2 (itemize) ▶ See Statement 10	3	185,105,535.
4	Add lines 1, 2, and 3.	4	1,266,300,733.
5	Decreases not included in line 2 (itemize) ▶ See Statement 11	5	2,801,125.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	1,263,499,608.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	See Stmt 1B	P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	37,008,595.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	49,396,372.	996,663,536.	0.049562
2017	45,795,778.	870,785,083.	0.052591
2016	45,727,915.	806,038,207.	0.056732
2015	48,608,982.	836,902,908.	0.058082
2014	42,125,262.	839,582,744.	0.050174
2	Total of line 1, column (d)		2 0.267141
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years.		3 0.053428
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5.		4 1,194,620,137.
5	Multiply line 4 by line 3.		5 63,826,165.
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 399,763.
7	Add lines 5 and 6.		7 64,225,928.
8	Enter qualifying distributions from Part XII, line 4.		8 58,632,397.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 main rows and sub-rows (6a-6d) for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Total credits and payments'.

Part VII-A Statements Regarding Activities

Table with 10 main rows and sub-rows (4a, 4b) for activity statements. Includes questions about political campaigns, political expenditures, and state registration.

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Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: At any time during the year, did the foundation, directly or indirectly, own a controlled entity... 11 Yes No X. Row 12: Did the foundation make a distribution to a donor advised fund... 12 Yes No X. Row 13: Did the foundation comply with the public inspection requirements... 13 Yes No X. Row 14: The books are in care of... Telephone no... Located at... ZIP + 4... Row 15: Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041... N/A. Row 16: At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions **5 b** Yes No

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d). **See Stmt 13B**

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** Yes No
If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b** Yes No N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13 & 14A		1,952,280.	457,264.	28,328.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael Northrop 475 Riverside Drive, Suite 900 New York, NY 10115	Program Director 40	261,916.	80,801.	4,315.
Benigno Rodriguez-Cubenas 475 Riverside Drive, Suite 900 New York, NY 10115	Program Director 40	226,222.	45,662.	4,289.
Shenyu Belsky 475 Riverside Drive, Suite 900 New York, NY 10115	Program Director 40	217,582.	44,340.	5,218.
Thomas Kruse 475 Riverside Drive, Suite 900 New York, NY 10115	Program Director 40	200,817.	60,911.	4,327.
Mark Adiedo 475 Riverside Drive, Suite 900 New York, NY 10115	Director, HR 40	254,325.	64,906.	4,032.
Total number of other employees paid over \$50,000				35

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Perella Weinberg Partners 767 Fifth Avenue New York, NY 10153	Investment Mgmt	3,596,575.
Greenrock Corporation 200 Lake Road Sleepy Hollow, NY 10591	Facility Maintenance	2,907,265.
Patterson, Belknap, Webb & Tyler LLP 1133 Avenue of the Americas New York, NY 10036	Legal Services	427,959.
FX Collaborative Architects LLP 22 West 19th Street New York, NY 10011	Architect Services	1,452,357.
Ventucom LLC 275 West 39th Street New York, NY 10018	IT Services	582,429.
Total number of others receiving over \$50,000 for professional services		28

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 See Statement 14	6,147,230.
2 See Statement 15	1,466,423.
3 See Statement 16	353,256.
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	1,209,962,070.
b Average of monthly cash balances	1 b	2,850,252.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	1,212,812,322.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,212,812,322.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	18,192,185.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,194,620,137.
6 Minimum investment return. Enter 5% of line 5	6	59,731,007.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	59,731,007.
2 a Tax on investment income for 2019 from Part VI, line 5	2 a	799,526.
b Income tax for 2019. (This does not include the tax from Part VI.)	2 b	85,211.
c Add lines 2a and 2b	2 c	884,737.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	58,846,270.
4 Recoveries of amounts treated as qualifying distributions	4	130,350.
5 Add lines 3 and 4	5	58,976,620.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	58,976,620.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	54,642,938.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	3,989,459.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	58,632,397.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	58,632,397.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				58,976,620.
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015	5,242,122.			
c From 2016	2,528,058.			
d From 2017	2,081,133.			
e From 2018	489,692.			
f Total of lines 3a through e	10,341,005.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 58,632,397.				
a Applied to 2018, but not more than line 2a ..			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2019 distributable amount				58,632,397.
e Remaining amount distributed out of corpus ..	0.			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	344,223.			344,223.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	9,996,782.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	1,315,000.			
See Stmt 18				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) ..	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	8,681,782.			
10 Analysis of line 9:				
a Excess from 2015	3,582,899.			
b Excess from 2016	2,528,058.			
c Excess from 2017	2,081,133.			
d Excess from 2018	489,692.			
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Stmt 18A

b The form in which applications should be submitted and information and materials they should include:

See Stmt 18A

c Any submission deadlines:

See Stmt 18A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Stmt 18A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Stmt 18B				
Total				3 a \$37,684,119
b Approved for future payment				
See Stmt 18B				
Total				3 b \$12,903,237

Form **2220**

Underpayment of Estimated Tax by Corporations

OMB No. 1545-0123

Department of the Treasury
Internal Revenue Service

▶ Attach to the corporation's tax return.

FORM 990-PF

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

2019

Name **ROCKEFELLER BROTHERS FUND, INC.** Employer identification number **13-1760106**

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment			
1	Total tax (see instructions)	1	799,526.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c	Credit for federal tax paid on fuels (see instructions)	2c	
2d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	799,526.
4	Enter the tax shown on the corporation's 2018 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	765,995.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	765,995.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

6	<input type="checkbox"/> The corporation is using the adjusted seasonal installment method.
7	<input checked="" type="checkbox"/> The corporation is using the annualized income installment method.
8	<input checked="" type="checkbox"/> The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/19	06/15/19	09/15/19	12/15/19
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	143,497.	143,497.	43,230.	425,055.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11		31,500.	42,500.	681,000.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12				
13 Add lines 11 and 12	13		31,500.	42,500.	681,000.
14 Add amounts on lines 16 and 17 of the preceding column	14		143,497.	255,494.	256,224.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	0.	0.	0.	424,776.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		111,997.	212,994.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	143,497.	143,497.	43,230.	279.
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18				

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions. Form 2220 (2019)

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2019 and before 7/1/2019	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 6\% (0.06)}{365}$...	22 \$	\$	\$	\$
23 Number of days on line 20 after 06/30/2019 and before 10/1/2019	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 5\% (0.05)}{365}$...	24 \$	\$	\$	\$
25 Number of days on line 20 after 9/30/2019 and before 1/1/2020	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 5\% (0.05)}{365}$...	26 \$	\$	\$	\$
27 Number of days on line 20 after 12/31/2019 and before 4/1/2020	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 5\% (0.05)}{366}$...	28 \$	\$	\$	\$
29 Number of days on line 20 after 3/31/2020 and before 7/1/2020	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{366}$	30 \$	\$	\$	\$
31 Number of days on line 20 after 6/30/2020 and before 10/1/2020	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{366}$	32 \$	\$	\$	\$
33 Number of days on line 20 after 9/30/2020 and before 1/1/2021	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{366}$	34 \$	\$	\$	\$
35 Number of days on line 20 after 12/31/2020 and before 3/16/2021	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36 \$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37 \$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38 \$			7,256.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
1 Enter taxable income for the following periods.					
a Tax year beginning in 2016	1a				
b Tax year beginning in 2017	1b				
c Tax year beginning in 2018	1c				
2 Enter taxable income for each period for the tax year beginning in 2019. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods.		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2016	3a				
b Tax year beginning in 2017	3b				
c Tax year beginning in 2018	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2, or comparable line of corp's return	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax (trusts only) for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II ^{**} Annualized Income Installment Method

		(a)	(b)	(c)	(d)	
		First <u>2</u> months	First <u>4</u> months	First <u>7</u> months	First <u>10</u> months	
20	Annualization periods (see instructions)	20				
21	Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21	4,783,224.	9,566,448.	12,842,000.	31,469,956.
22	Annualization amounts (see instructions)	22	6.000000	3.000000	1.714290	1.200000
23a	Annualized taxable income. Multiply line 21 by line 22	23a	28,699,344.	28,699,344.	22,014,912.	37,763,947.
23b	Extraordinary items (see instructions)	23b				
23c	Add lines 23a and 23b	23c	28,699,344.	28,699,344.	22,014,912.	37,763,947.
24	Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	24	573,987.	573,987.	440,298.	755,279.
25	Enter any alternative minimum tax (trusts only) for each payment period (see instructions)	25				
26	Enter any other taxes for each payment period. See instr.	26				
27	Total tax. Add lines 24 through 26	27	573,987.	573,987.	440,298.	755,279.
28	For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29	Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	573,987.	573,987.	440,298.	755,279.
30	Applicable percentage	30	25%	50%	75%	100%
31	Multiply line 29 by line 30	31	143,497.	286,994.	330,224.	755,279.

Part III Required Installments

		1st installment	2nd installment	3rd installment	4th installment	
Note: Complete lines 32 through 38 of one column before completing the next column.						
32	If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	143,497.	286,994.	330,224.	755,279.
33	Add the amounts in all preceding columns of line 38. See instructions	33		143,497.	286,994.	330,224.
34	Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	34	143,497.	143,497.	43,230.	425,055.
35	Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	35	191,499.	208,264.	199,882.	199,881.
36	Subtract line 38 of the preceding column from line 37 of the preceding column	36		48,002.	112,769.	269,421.
37	Add lines 35 and 36	37	191,499.	256,266.	312,651.	469,302.
38	Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	143,497.	143,497.	43,230.	425,055.

**** ANNUALIZED INCOME INSTALLMENT METHOD USING OPTION 1**

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

FEDERAL STATEMENTS
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FORM 990-PF
2019

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Schedule B**(Form 990, 990-EZ,
or 990-PF)**Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.****2019**

Name of the organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13-1760106

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

 527 political organization 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

-
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The William and Flora Hewlett Fdn 2121 Sand Hill Road Menlo Park, CA 94025	\$ 3,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	National Trust for Historic Preserv 2600 Virginia Avenue NW Washington, DC 20037	\$ 6,444,683.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	The Advantage Foundation Witikonerstrasse 61 Postfach, CH,	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Mr. George O'Neill 1 Rockefeller Plaza New York, NY 10020	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	TisBest Philanthropy 317 S. Bennett Street Seattle, WA 98108	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Rockefeller Philanthropy Advisors 6 West 48th Street New York, NY 10036	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Mr. & Mrs. Antonio Madero Pedregal 24, Psio 7 Mexico City, Mexico, 11040	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	Mr. Jerry I. Speyer c/o TS, 45 Rockefeller Plaza New York, NY 10111	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	Mrs. Malu Edwards 610 Park Avenue New York, NY 10065	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	Mrs. Marnie S. Pillsbury 1100 Park Avenue New York, NY 10128	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	The Ford Foundation 320 East 43rd Street New York, NY 10017	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____ N/A
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
	-----	-----	-----
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	

	-----	-----	-----
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	

	-----	-----	-----
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	

	-----	-----	-----
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
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Rockefeller Brothers Fund, Inc.

13-1760106

11/03/20

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Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Grant Refunds.....	\$ 130,350.		
Income fr Public Programs.....	16,554.		
Miscellaneous Income.....	4,125.		
Other Investment Income.....	463.	\$ -250,119.	
Unrelated Bus Tax Benefit.....	620,259.		
Total	<u>\$ 771,751.</u>	<u>\$ -250,119.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees - General Counsel.....	\$ 253,561.	\$ 82,559.		\$ 274,007.
Legal Fees Re: Employee Benefits..	51,047.			
Legal Fees Related to Programs.....	16,141.			
Other Miscellaneous Legal Fees.....	4,344.			
Total	<u>\$ 325,093.</u>	<u>\$ 82,559.</u>	<u>\$ 0.</u>	<u>\$ 274,007.</u>

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Audit & Tax Services.....	\$ 201,993.	\$ 66,813.		\$ 103,175.
Audit Services - 401K Plan Audit..	16,695.			
Outsourced Accounting Fees- China Office	15,302.			
Total	<u>\$ 233,990.</u>	<u>\$ 66,813.</u>	<u>\$ 0.</u>	<u>\$ 103,175.</u>

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Consultants' Fees.....	\$ 1,060,958.	\$ 50,342.		\$ 1,000,431.
Consultants' Fees - Benefits Services	114,395.			112,381.
Custody Fees.....	339,993.	339,993.		

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Rockefeller Brothers Fund, Inc.

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11/03/20

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Statement 4 (continued)
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Facilities Maintenance.....	\$ 3,098,066.			\$ 2,889,857.
Honorarium.....	3,250.			3,250.
Investment Management Fees.....	5,190,624.	\$ 4,741,791.		
Total	<u>\$ 9,807,286.</u>	<u>\$ 5,132,126.</u>	<u>\$ 0.</u>	<u>\$ 4,005,919.</u>

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal Excise Tax.....	\$ 1,038,416.			
Foreign Taxes Reported on K-1s.....		\$ 522,666.		
Property Taxes.....	145,457.			\$ 145,457.
Total	<u>\$ 1,183,873.</u>	<u>\$ 522,666.</u>	<u>\$ 0.</u>	<u>\$ 145,457.</u>

Statement 6
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Books & Subscriptions.....	\$ 6,550.			\$ 6,550.
Capital Expenditures.....	72,533.			84,923.
Dues.....	77,878.			77,878.
Equipment Leases & Rentals.....	44,478.			44,893.
Filing Fees - Taxes.....	2,010.			2,010.
Insurance.....	402,643.	\$ 6,982.		423,178.
Investment Mgmt Expenses - Foreign Inv		384,654.		
Investment Related Portfolio Deductions		7,494,600.		
IT Security Measures.....	88,786.			90,883.
Maintenance & Repairs.....	94,635.			72,750.
Messenger Services.....	306.			306.
Miscellaneous Expense.....	171,310.	43,109.		164,468.
Pocantico Collections Maintenance.....	93,347.			93,347.
Postage & Delivery.....	5,053.			4,860.
Printing & Stationary.....	3,638.			4,388.
Supplies.....	47,642.			47,605.
Telephone & Internet.....	103,253.	2,664.		102,135.
Temporary Staffing.....	98,092.			95,307.
Total	<u>\$ 1,312,154.</u>	<u>\$ 7,932,009.</u>	<u>\$ 0.</u>	<u>\$ 1,315,481.</u>

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Client 1

Rockefeller Brothers Fund, Inc.

13-1760106

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Statement 7
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Auto./Transportation Equip.	\$ 69,118.	\$ 52,540.	\$ 16,578.	\$ 16,578.
Furniture and Fixtures	2,857,226.	2,528,695.	328,531.	328,531.
Machinery and Equipment	2,443,733.	2,346,107.	97,626.	97,626.
Improvements	34,411,416.	27,816,968.	6,594,448.	6,594,448.
Miscellaneous	71,026.	77,644.	-6,618.	-6,618.
Total	\$ 39,852,519.	\$ 32,821,954.	\$ 7,030,565.	\$ 7,030,565.

Statement 8
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Construction in Progress.....	\$ 2,491,147.	\$ 2,491,147.
PRI (Rent-free Lease).....	510,000.	510,000.
Total	\$ 3,001,147.	\$ 3,001,147.

Statement 9
Form 990-PF, Part II, Line 22
Other Liabilities

Taxes Payable.....	\$ 5,741,151.
Total	\$ 5,741,151.

Statement 10
Form 990-PF, Part III, Line 3
Other Increases

Amounts not yet recognized - net periodic benefit cost.....	\$ 258,602.
Net Unrealized Gains or Losses on Investments.....	184,846,933.
Total	\$ 185,105,535.

Statement 11
Form 990-PF, Part III, Line 5
Other Decreases

Deferred Tax Expense.....	\$ 2,801,125.
Total	\$ 2,801,125.

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Federal Statements

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Rockefeller Brothers Fund, Inc.

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Statement 12
Form 990-PF, Part VII-A, Line 16
Foreign Country with Interest In or Authority Over Foreign Accounts

Malaysia, Portugal, Korea, South, Israel, China, Brazil, Denmark, Hungary, United Kingdom, Norway, Sweden, Colombia, Greece, Indonesia, Peru

Statement 13
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, NY 10115	President 45.00	\$ 681,900.	\$ 186,492.	\$ 7,186.
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, NY 10115	VP for Programs 45.00	384,929.	62,888.	4,712.
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, NY 10115	VP Finance & Operations 45.00	379,199.	75,654.	5,839.
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, NY 10115	Corporate Secretary 45.00	264,106.	73,566.	4,559.
Judy A. Clark 475 Riverside Drive, Suite 900 New York, NY 10115	Executive Dir. Pocantico Programs 45.00	242,146.	58,664.	4,432.
Total		<u>\$ 1,952,280.</u>	<u>\$ 457,264.</u>	<u>\$ 26,728.</u>

Statement 14
Form 990-PF, Part IX-A, Line 1
Summary of Direct Charitable Activities

<u>Direct Charitable Activities</u>	<u>Expenses</u>
Pocantico Historic Area The Pocantico Center is managed by the Rockefeller Brothers Fund as part of its agreement with the National Trust for Historic Preservation, entered into in 1991, under which the Fund is responsible for the renovation, maintenance, and operation of this historic site. It also serves as a community resource, and offers public access through a visitation program, lectures, and cultural events. In 2019, 32,817 people visited the Pocantico Historic area, and 37 public programs were offered.	\$ 6,147,230.

2019

Federal Statements

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Client 1

Rockefeller Brothers Fund, Inc.

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Statement 15
Form 990-PF, Part IX-A, Line 2
Summary of Direct Charitable Activities

<u>Direct Charitable Activities</u>	<u>Expenses</u>
Assistance to Charitable Organizations During 2019, 10 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 16 members of the staff served on 53 charitable organizations' boards, committees, public commissions, or task forces.	\$ 1,466,423.

Statement 16
Form 990-PF, Part IX-A, Line 3
Summary of Direct Charitable Activities

<u>Direct Charitable Activities</u>	<u>Expenses</u>
Pocantico Conference Center The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The Pocantico Center is a venue for conferences and meetings on critical issues related to the Rockefeller Brothers Fund's mission. The Pocantico Center also hosts artist residencies, and provides performance space for artists selected by RBF grantees and New York City arts organizations. In 2019, The Pocantico Center held 60 conferences and meetings. Of the 60 conferences held, 27 were related to the Fund's programs. There were 11 Pocantico artist residencies in 2019.	\$ 353,256.

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 1A
FORM 990-PF
YEAR 2019

Schedule of Dividends & Interest from Securities

Part I, line 4

Dividends	4,485,890
Interest	922,338
Total line 4, col. (a)	\$5,408,228
Add: Dividends & Interest per Limited Partnerships K-1s	11,663,973
Total line 4, col. (b)	<u><u>\$17,072,201</u></u>

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 1B
FORM 990-PF
YEAR 2019

Schedule of Capital Gains and Losses

Part I, line 6a & Part IV, line 2 *

	<u>Capital Gain or (Loss)</u>
Colchester Global Bond Fund	4,327
Rhumblin ACWI EX-US	(69,229)
Eton Park	65,884
Lazard Global Listed Infrastructure Portfolio	19,515
DSM Capital Partners	1,858,880
Sanders Capital LLC	1,133,394
Eagle Capital Management	437,017
Prince Street Institutional Offshore	1,027,278
Moon Capital Global Equity Partners	1,577,960
Arrowpoint Income Opportunity Fund	851,098
Good Hill Overseas Fund Ltd	1,464,350
OZ Credit Opportunities Overseas Fund LP	1,341,945
Cash Account	(2,750)
Kylin Offshore Fund	(1,040,843)
Aeolus Property Catastrophe Fund	(543,396)
Beach Point Dynamic Income	684,970
Graham Tactical Trend Energy	(791,373)
Mahout Worldwide Sustainability	25,969
US Equities	717,742
Reams Asset Mgmt	199,563
CSFB Strategic Partners II	6
Net Realized Gain per Books (Total Part I, line 6a,col.(a))	\$ 8,962,306
Add: Actual Net Capital Gain per limited partnerships (Schedule K-1 basis) (See attached schedule)	28,557,626
Add: Realized Gain from Foreign Partnership Investments:	
Europa II Fund	379,275
P2 Brasil Private Infrastructure Fund II Feeder, LP	10,298
Less: Gain/(loss) from Partnerships previously reflected in books, for which K-1 values are now reflected on Form 990PF:	
Colchester Global Bond Fund	(4,327)
Lazard Global Listed Infrastructure Portfolio	(19,515)
Arrowpoint Income Opportunity Fund	(851,098)
Mahout Worldwide Sustainability	(25,969)
	<u>(900,910)</u>
Total Part IV, line 2	\$ 37,008,595

* As applicable, detailed records to support acquisition and sales dates, sales price, and cost basis are maintained by the organization. Gains and losses passed through from limited partnership investments are reported directly from the Form 1065, Schedule K-1, as provided by the limited partnerships to the organization.

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 1B
FORM 990-PF
YEAR 2019

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1

	Capital Gain	Capital Loss	Net Capital Gain/(Loss)
Agility Comprehensive Solutions Feeder Fund, LP	3,217,301		3,217,301
Arch Venture IX, L.P.		(38,015)	(38,015)
Arrowmark Income Opportunity Fund QP, L.P.	7,845	(217,412)	(209,567)
Brandywine Global Opportunistic Fixed Income SRI Fund	965,891		965,891
Cantillon Global Equity LP	135,982	(309,285)	(173,303)
Centroak Equity Fund I LP	1,412,393		1,412,393
Clearvue Partners II, L.P.	385,906		385,906
The Colchester Global Bond Fund	1,108,767		1,108,767
Generation IM Global Equity Fund, LLC	9,271,303		9,271,303
Lazard Global Listed Infrastructure Trust	843,585		843,585
HSREP IV Co-Investment, LP	2,505,655		2,505,655
Strategic Partners III, LP	1,988	(354,545)	(352,557)
Strategic Partners III VC, LP	196	(76,125)	(75,929)
Investure Evergreen Fund	9,497,362		9,497,362
NB Co-Investment Partners Cayman AIV I LP	1,306		1,306
NB Co-Investment Partners LP		(114,178)	(114,178)
Mahout Worldwide Sustainability Fund	597,425		597,425
Morgan Stanley Real Estate Fund VI		(5,358,274)	(5,358,274)
New Energy Capital Infrastructure Credit Fund LP		(38,611)	(38,611)
New Enterprise Associates 10	42,305		42,305
OSP Value Fund II, LP	703,299		703,299
Ownership Capital Global Equity Fund LP	2,675,056	(468,132)	2,206,924
Palamon European Equity 'B', LP	6,569		6,569
Prospect Venture Partners II, LP	545		545
Siguler Guff	73,863		73,863
Sustainable Asset Fund, LP		(1,350,932)	(1,350,932)
Tensile Capital Partners LP	2,178,635		2,178,635
TIFF Partners IV		(452,580)	(452,580)
Timbercreek Global Real Estate Core Fund LP	322,795		322,795
Turner Multifamily Impact Fund, L.P.	1,378,826		1,378,826
Weston Presidio V, L.P.	917		917
	<u>37,335,715</u>	<u>(8,778,089)</u>	<u>28,557,626</u>

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10115

STATEMENT 1C
 FORM 990-PF
 YEAR 2019

Schedule of Other Income/(Loss)

Part I, line 11

Miscellaneous Investment Income	463
Miscellaneous Income - Unrelated Business Income Tax Benefit	620,259
Miscellaneous Income - Grant Refunds	130,350
Miscellaneous Income - Public Programs	16,554
Miscellaneous Income	4,125
Total line 11, col. (a)	771,751
Add: Other Income/(Loss) per Limited Partnerships K-1s (See attached schedule)	(250,582)
Less: Miscellaneous Income - Unrelated Business Income Tax Benefit	(620,259)
Less: Miscellaneous Income - Grant Refunds	(130,350)
Less: Miscellaneous Income - Public Programs	(16,554)
Less: Miscellaneous Income	(4,125)
Total line 11, col. (b)	(250,119)

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10115

STATEMENT 1C
 FORM 990-PF
 YEAR 2019

Schedule of Other Income/(Loss)

Part I, line 11, col. (b)

<u>Net Income/(Loss) received from the following Partnerships:</u>	<u>Other Income/(Loss)</u>
Arrowmark Income Opportunity Fund QP, L.P.	(8,215)
Brandywine Global Opportunistic Fixed Income SRI Fund	(991,472)
Cantillon Global Equity LP	(67,451)
The Colchester Global Bond Fund	(157,918)
Generation IM Global Equity Fund, LLC	(87,982)
Lazard Global Listed Infrastructure Trust	312,004
Strategic Partners III, LP	5,435
Strategic Partners III VC, LP	2,773
Investure Evergreen Fund	193,435
NB Co-Investment Partners LP	4,457
Mahout Worldwide Sustainability Fund	22,345
North Haven Real Estate Fund V	117,142
New Energy Capital Infrastructure Credit Fund LP	153,339
New Energy Capital Infrastructure Credit Fund II LP	82,256
New Enterprise Associates 10	(3,944)
OSP Value Fund II, LP	457
Ownership Capital Global Equity Fund LP	(5,880)
Siguler Guff	68,909
Sustainable Asset Fund, LP	31,979
Tensile Capital Partners LP	78,228
TIFF Partners IV	(1,921)
Timbercreek Global Real Estate Core Fund LP	1,442
	<hr/>
Other Income/(Loss) from Limited Partnerships	(250,582)

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

Statement 5A
FORM 990-PF
YEAR 2019

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS				ACCUMULATED DEPRECIATION		
	Beginning of Year	2019 Acquisitions	2019 Dispositions	End of Year	Reserve, beginning of Year	2019 Depreciation (Part 1, line 19)	Reserve, end of Year
Office Equipment	2,790,181	67,045		2,857,226	2,440,324	88,371	2,528,695
Computer Equipment & Software	2,413,851	32,781	2,899	2,443,733	2,259,776	86,331	2,346,107
Fine Art	4,280	0		4,280	4780	0	4,780
Leasehold Improvements	33,032,453	1,378,963		34,411,416	27,071,632	745,336	27,816,968
Other	65,047	1,699		66,746	68,348	4,516	72,864
Automobile	48,395	20,723		69,118	48,395	4,145	52,540
	38,354,207	1,501,211	2,899	39,852,519	31,893,255	928,699	32,821,954

Note: As applicable, detailed records to support expenses deductible against net investment income are maintained by the organization.

Schedule of Investments
Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	3I GROUP PLC	2,752.0000	14.545755			27,860	40,030		
12/31/2019	AAC TECHNOLOGIES HOLDINGS INC	2,000.0000	8.727123			11,482	17,454		
12/31/2019	ABB LTD	3,592.0000	24.133836			69,349	86,689		
12/31/2019	ABBOTT LABORATORIES	11,775.0000	86.860000			797,467	1,022,777		
12/31/2019	ABBOTT LABORATORIES	41,700.0000	0.440679			12,358	18,376		
12/31/2019	ABBVIE INC 144A	265,000.0000	100.809000					264,764	267,144
12/31/2019	ABN AMRO BANK NV	1,337.0000	18.206950			32,061	24,343		
12/31/2019	ABSA GROUP LTD	1,440.0000	10.676869			15,790	15,375		
12/31/2019	ACCOR SA	305.0000	46.864375			12,584	14,294		
12/31/2019	ACS ACTIVIDADES DE CONSTRUCCIO	457.0000	40.017125			17,319	18,288		
12/31/2019	ACTIVISION BLIZZARD INC	210,000.0000	100.526000					207,121	211,105
12/31/2019	ADECCO GROUP AG	319.0000	63.220943			15,044	20,167		
12/31/2019	ADIDAS AG	374.0000	325.300500			79,334	121,662		
12/31/2019	ADMIRAL GROUP PLC	807.0000	30.588478			20,774	24,685		
12/31/2019	ADOBE INC	10,700.0000	329.810000			1,664,597	3,528,967		
12/31/2019	ADOBE INC	2,100.0000	5.541877			12,016	11,638		
12/31/2019	ADVANTEST CORP	300.0000	56.682768			7,350	17,005		
12/31/2019	ADYEN NV	17.0000	820.547500			13,916	13,949		
12/31/2019	AEON NV	5,911.0000	4.565208			27,947	26,985		
12/31/2019	AENA SME SA	192.0000	191.386250			31,542	36,746		
12/31/2019	AEON CO LTD	2,200.0000	20.754543			43,397	45,660		
12/31/2019	AEON MALL CO LTD	1,100.0000	17.832988			17,629	19,616		
12/31/2019	AEROPORTS DE PARIS	127.0000	197.672250			23,753	25,104		
12/31/2019	AGC INC/JAPAN	700.0000	36.162870			21,953	25,314		
12/31/2019	AGEAS SA/NV	617.0000	59.133300			27,472	36,485		
12/31/2019	AGNICO EAGLE MINES LIMITED	520.0000	61.677270			22,966	32,072		
12/31/2019	AGRICULTURAL BANK OF CHINA LTD	503,000.0000	0.440206			255,848	221,424		
12/31/2019	AGRICULTURAL BANK OF CHINA LTD	86,000.0000	0.440206			38,138	37,858		
12/31/2019	AGRICULTURAL BANK OF CHINA LTD	351.0000	110.960000			37,194	38,947		
12/31/2019	AIA GROUP LTD	24,000.0000	10.498216			210,309	251,957		
12/31/2019	AIG GLOBAL FUNDING 144A	470,000.0000	100.082000					459,548	470,385
12/31/2019	AIR LEASE CORP	220,000.0000	101.700000					217,901	223,740
12/31/2019	AIR LEASE CORP	140,000.0000	100.094000					139,000	140,132
12/31/2019	AIR LIQUIDE SA	979.0000	141.659500			113,297	138,685		
12/31/2019	AIRBUS SE	1,050.0000	146.463800			100,615	153,787		
12/31/2019	AISIN SEIKI CO LTD	600.0000	37.451114			19,783	22,471		
12/31/2019	AJINOMOTO CO INC	1,500.0000	16.701173			26,463	25,052		
12/31/2019	AKZO NOBEL NV	536.0000	101.743400			48,060	54,534		
12/31/2019	ALFA LAVAL AB	1,099.0000	25.200164			23,964	27,695		
12/31/2019	ALFRESA HOLDINGS CORP	500.0000	20.501495			13,917	10,251		
12/31/2019	ALIBABA GROUP HOLDING LTD	14,925.0000	212.100000			1,675,413	3,165,593		
12/31/2019	ALIBABA GROUP HOLDING LTD	3,600.0000	212.100000			601,658	763,560		
12/31/2019	ALIBABA GROUP HOLDING LTD	3,000.0000	2.203397			5,255	6,610		
12/31/2019	ALIBABA GROUP HOLDING LTD	1,524.0000	40.580000			56,483	61,844		
12/31/2019	ALIBABA HEALTH INFORMATION TEC	6,000.0000	1.155060			5,849	6,930		
12/31/2019	ALIMENTATION COUCHE-TARD INC	1,998.0000	31.779449			49,443	63,495		
12/31/2019	ALLIANZ SE	742.0000	245.154000			147,907	181,904		
12/31/2019	ALLSTATE CORP/THE	220,000.0000	100.235000					217,958	220,517
12/31/2019	ALPHABET INC-CL A	2,470.0000	1,339.390000			2,210,055	3,308,293		
12/31/2019	ALPHABET INC-CL A	7,400.0000	1.911280			15,255	14,143		
12/31/2019	ALPHABET INC-CL C	2,150.0000	1,337.020000			2,024,231	2,874,593		

Schedule of Investments
Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	ALPHABET INC-CL C	251.0000	64.730000			13,375	16,247		
12/31/2019	ALPS ALPINE CO LTD	1,000.0000	22.930756			19,461	22,931		
12/31/2019	ALSEA SAB DE CV	2,700.0000	2.638742			5,605	7,125		
12/31/2019	ALSTOM SA	242.0000	47.403175			9,753	11,472		
12/31/2019	ALTICE EUROPE NV	1,279.0000	6.452130			7,470	8,252		
12/31/2019	ALUMINA LTD	7,417.0000	1.616785			11,543	11,992		
12/31/2019	AMADEUS IT GROUP SA	903.0000	81.718000			62,926	73,791		
12/31/2019	AMAZON.COM INC	1,320.0000	1,847.840000			2,256,786	2,439,149		
12/31/2019	AMAZON.COM INC	3,200.0000	2.295205			6,983	7,345		
12/31/2019	AMBEV SA	12,400.0000	4.641161			51,828	57,550		
12/31/2019	AMERICA MOVIL SAB DE CV	75,000.0000	0.799619			54,396	59,971		
12/31/2019	AMERICAN AIRLINES 2013-2 CLASS	109,254.0100	104.246000					111,457	113,893
12/31/2019	AMERICAN EXPRESS CO	220,000.0000	100.206000					216,117	220,453
12/31/2019	AMERICAN EXPRESS CO	325,000.0000	101.833000					324,878	330,957
12/31/2019	AMERICAN EXPRESS CREDIT AC 3 A	100,000.0000	99.963000					98,563	99,963
12/31/2019	AMERICAN HONDA FINANCE CORP	330,000.0000	99.842000					320,669	329,479
12/31/2019	AMERICAN INTERNATIONAL GROUP I	220,000.0000	104.131000					232,423	229,088
12/31/2019	AMGEN INC	260,000.0000	102.739000					267,106	267,121
12/31/2019	AMOREPACIFIC CORP	76.0000	172.943000			13,849	13,144		
12/31/2019	AMP LTD	5,631.0000	1.346149			9,542	7,580		
12/31/2019	ANA HOLDINGS INC	500.0000	33.512766			17,544	16,756		
12/31/2019	ANDRITZ AG	103.0000	43.104000			4,949	4,440		
12/31/2019	ANGLO AMERICAN PLATINUM LTD	105.0000	93.490899			7,380	9,817		
12/31/2019	ANGLOGOLD ASHANTI LTD	774.0000	22.633818			9,841	17,519		
12/31/2019	ANHEUSER-BUSCH INBEV SA/NV	1,479.0000	81.616975			99,027	120,712		
12/31/2019	ANHEUSER-BUSCH INBEV WORLDWIDE	220,000.0000	108.987000					228,534	239,771
12/31/2019	ANHUI CONCH CEMENT CO LTD	2,500.0000	7.289715			12,131	18,224		
12/31/2019	ANTA SPORTS PRODUCTS LTD	3,000.0000	8.951718			14,235	26,855		
12/31/2019	ANTHEM INC	4,690.0000	302.030000			891,347	1,416,521		
12/31/2019	ANTHEM INC	300.0000	84.900000			23,086	25,470		
12/31/2019	ANTHEM INC	110,000.0000	100.445000					108,568	110,490
12/31/2019	ANTOFAGASTA PLC	2,118.0000	12.145308			22,491	25,724		
12/31/2019	AP MOLLER - MAERSK A/S	19.0000	1,443.292776			24,745	27,423		
12/31/2019	AP MOLLER - MAERSK A/S	7.0000	1,356.466878			8,213	9,495		
12/31/2019	APA GROUP	4,432.0000	7.802745			27,673	34,582		
12/31/2019	APA GROUP	25,415.0000	157.700000			1,790,534	4,007,946		
12/31/2019	APPLE INC	13,950.0000	293.650000			2,057,751	4,096,418		
12/31/2019	APPLE INC	251.0000	59.528000			11,771	14,942		
12/31/2019	ARISTOCRAT LEISURE LTD	1,717.0000	23.668327			27,402	40,639		
12/31/2019	ARISTOCRAT LEISURE LTD	6,366.0000	136.380000			1,125,566	868,195		
12/31/2019	ARKEMA SA	135.0000	106.300750			11,594	14,351		
12/31/2019	AROUNDTOWN SA	2,153.0000	8.962040			18,229	19,295		
12/31/2019	ASAHI GROUP HOLDINGS LTD	900.0000	45.852310			35,428	41,267		
12/31/2019	ASAHI INTECC CO LTD	600.0000	29.445594			12,775	17,667		
12/31/2019	ASAHI KASEI CORP	2,300.0000	11.354957			23,230	26,116		
12/31/2019	ASCENDAS REAL ESTATE INVESTMEN	8,700.0000	2.208753			16,561	19,216		
12/31/2019	ASHTREAD GROUP PLC	1,222.0000	31.979465			27,446	39,079		
12/31/2019	ASM PACIFIC TECHNOLOGY LTD	1,100.0000	13.873559			10,262	15,261		
12/31/2019	ASML HOLDING NV	924.0000	296.003250			158,980	273,507		
12/31/2019	ASPEN PHARMACARE HOLDINGS LTD	823.0000	8.525762			8,295	7,017		
12/31/2019	ASSA ABLOY AB	2,322.0000	23.394811			41,332	54,323		

Schedule of Investments
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12/31/2019	ASSICURAZIONI GENERALI SPA	2,791.0000	20.648388			46,551	57,630		
12/31/2019	ASSOCIATED BRITISH FOODS PLC	1,068.0000	34.417005			29,580	36,757		
12/31/2019	ASTELLAS PHARMA INC	3,900.0000	17.207269			51,600	67,108		
12/31/2019	ASTRAZENECA PLC	2,574.0000	100.773733			192,318	259,392		
12/31/2019	ASX LTD	692.0000	55.118310			29,749	38,142		
12/31/2019	ASX LTD	2,390.0000	192.990000			319,395	461,246		
12/31/2019	AT&T INC	320,000.0000	102.375000					318,173	327,600
12/31/2019	ATCO LTD	729.0000	38.380567			21,017	27,979		
12/31/2019	ATLANTIA SPA	1,278.0000	23.336775			27,244	29,824		
12/31/2019	ATLAS COPCO AB	1,441.0000	39.910053			34,709	57,510		
12/31/2019	ATLAS COPCO AB	1,051.0000	34.739693			23,627	36,511		
12/31/2019	ATOS SE	294.0000	83.424200			24,421	24,527		
12/31/2019	AURORA CANNABIS INC	1,350.0000	2.151533			6,732	2,905		
12/31/2019	AUSNET SERVICES	8,184.0000	1.195015			8,855	9,780		
12/31/2019	AUSNET SERVICES	1,572.0000	33.540000			47,195	52,725		
12/31/2019	AUSTRALIA & NEW ZEALAND BANKIN	5,729.0000	17.313659			97,287	99,190		
12/31/2019	AUSTRALIA & NEW ZEALAND BANKIN	410.0000	485.080000			106,565	198,883		
12/31/2019	AUTO TRADER GROUP PLC	1,153.0000	7.876964			6,477	9,082		
12/31/2019	AUTOMATIC DATA PROCESSING INC	12,150.0000	170.500000			1,772,478	2,071,575		
12/31/2019	AUTOMATIC DATA PROCESSING INC	25,900.0000	0.868005			19,628	22,481		
12/31/2019	AVALONBAY COMMUNITIES INC	110,000.0000	100.802000					110,751	110,882
12/31/2019	AVEVA GROUP PLC	228.0000	61.680360			13,177	14,063		
12/31/2019	AVIS BUDGET RENTAL C 1A A 144A	230,000.0000	102.311000					229,968	235,315
12/31/2019	AVIVA PLC	9,700.0000	5.546728			46,804	53,803		
12/31/2019	AXA SA	3,721.0000	28.185975			80,377	104,880		
12/31/2019	AXIATA GROUP BHD	5,900.0000	1.012101			6,409	5,971		
12/31/2019	B2W CIA DIGITAL	600.0000	15.626321			5,450	9,376		
12/31/2019	B3 SA - BRASIL BOLSA BALCAO	4,800.0000	10.681880			35,263	51,273		
12/31/2019	BAE SYSTEMS PLC	4,840.0000	7.482188			28,172	36,214		
12/31/2019	BAIC MOTOR CORP LTD	7,000.0000	0.567263			4,065	3,971		
12/31/2019	BALOISE HOLDING AG	171.0000	180.719781			24,126	30,903		
12/31/2019	BANCO BILBAO VIZCAYA ARGENTARI	13,619.0000	5.593418			72,970	76,177		
12/31/2019	BANCO BRADESCO SA	6,720.0000	8.469436			53,521	56,915		
12/31/2019	BANCO BRADESCO SA	6,840.0000	8.991473			53,481	61,502		
12/31/2019	BANCO BTG PACTUAL SA	400.0000	18.925100			6,448	7,570		
12/31/2019	BANCO DE SABADELL SA	11,486.0000	1.167400			13,492	13,409		
12/31/2019	BANCO DO BRASIL SA	1,700.0000	13.130485			19,266	22,322		
12/31/2019	BANCO SANTANDER BRASIL SA	1,000.0000	12.310140			10,620	12,310		
12/31/2019	BANCO SANTANDER SA	29,911.0000	4.186925			136,030	125,235		
12/31/2019	BANDAI NAMCO HOLDINGS INC	500.0000	61.016791			21,886	30,508		
12/31/2019	BANK HAPQALIM BM	2,224.0000	8.294731			14,525	18,447		
12/31/2019	BANK LEUMI LE-ISRAEL BM	5,020.0000	7.278518			31,418	36,538		
12/31/2019	BANK OF AMERICA CORP	37,459.0000	35.220000			947,462	1,319,306		
12/31/2019	BANK OF AMERICA CORP	500.0000	51.580000			23,029	25,790		
12/31/2019	BANK OF AMERICA CORP	230,000.0000	101.105000					228,814	232,542
12/31/2019	BANK OF AMERICA CORP	420,000.0000	100.728000					417,217	423,058
12/31/2019	BANK OF AMERICA CORP	330,000.0000	104.429000					334,323	344,616
12/31/2019	BANK OF CHINA LTD	155,000.0000	0.427372			66,698	66,243		
12/31/2019	BANK OF COMMUNICATIONS CO LTD	39,000.0000	0.711004			30,476	27,729		
12/31/2019	BANK OF EAST ASIA LTD/THE	9,800.0000	2.233117			29,872	21,885		
12/31/2019	BANK OF IRELAND GROUP PLC	4,396.0000	5.480045			20,293	24,090		

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12/31/2019	BANK OF MONTREAL	1,240.0000	77.609408			81,184	96,236		
12/31/2019	BANK OF MONTREAL	220,000.0000	101.633000					219,908	223,593
12/31/2019	BANK OF NOVA SCOTIA	2,246.0000	56.564488			113,005	127,044		
12/31/2019	BANKIA SA	4,606.0000	2.135556			14,126	9,836		
12/31/2019	BANKINTER SA	3,314.0000	7.332170			26,582	24,299		
12/31/2019	BARCLAYS BANK PLC	330,000.0000	100.115000					327,087	330,380
12/31/2019	BARCLAYS PLC	344,556.0000	2.379781			844,906	819,968		
12/31/2019	BARCLAYS PLC	33,430.0000	2.379781			63,960	79,556		
12/31/2019	BARCLAYS PLC	16,021.0000	3.270000			64,430	52,389		
12/31/2019	BARRATT DEVELOPMENTS PLC	1,133.0000	9.890584			8,738	11,206		
12/31/2019	BARRICK GOLD CORP	4,032.0000	18.600347			53,332	74,997		
12/31/2019	BARRY CALLEBAUT AG	12.0000	2,207.879382			19,012	26,495		
12/31/2019	BAUSCH HEALTH COS INC	610.0000	29.974937			11,107	18,285		
12/31/2019	BAYER AG	1,774.0000	81.729225			126,469	144,988		
12/31/2019	BAYERISCHE MOTOREN WERKE AG	727.0000	82.099650			59,951	59,686		
12/31/2019	BAYERISCHE MOTOREN WERKE AG	156.0000	61.793625			11,391	9,640		
12/31/2019	BB SEGURIDADE PARTICIPACOES SA	1,700.0000	9.371815			11,682	15,932		
12/31/2019	BCE INC	100.0000	46.392905			4,637	4,639		
12/31/2019	BECTON DICKINSON AND CO	5,125.0000	271.970000			1,146,615	1,393,846		
12/31/2019	BECTON DICKINSON AND CO	35,700.0000	0.363894			10,757	12,991		
12/31/2019	BEIERSDORF AG	306.0000	119.714625			32,037	36,633		
12/31/2019	BEIJING ENTERPRISES HOLDINGS L	5,000.0000	4.588157			25,902	22,941		
12/31/2019	BEIJING ENTERPRISES WATER GROU	36,000.0000	0.505660			18,907	18,204		
12/31/2019	BERKELEY GROUP HOLDINGS PLC	314.0000	64.369603			14,780	20,212		
12/31/2019	BID CORP LTD	1,181.0000	23.614260			21,908	27,888		
12/31/2019	BIDVEST GROUP LTD/THE	1,380.0000	14.643687			17,525	20,208		
12/31/2019	BIOMERIEUX	132.0000	89.070375			8,935	11,757		
12/31/2019	BLUESCOPE STEEL LTD	1,434.0000	10.586427			12,725	15,181		
12/31/2019	BLUESCOPE STEEL LTD	4,598.0000	293.980000			857,489	1,351,720		
12/31/2019	BNK FINANCIAL GROUP INC	1,764.0000	6.623717			9,795	11,684		
12/31/2019	BNP PARIBAS SA	17,600.0000	59.301675			989,677	1,043,709		
12/31/2019	BNP PARIBAS SA	2,111.0000	59.301675			95,866	125,186		
12/31/2019	BNP PARIBAS SA	2,200.0000	5.750000			14,186	12,650		
12/31/2019	BNP PARIBAS SA	320,000.0000	103.172000					331,944	330,150
12/31/2019	BOC AVIATION LTD	2,800.0000	10.170949			20,895	28,479		
12/31/2019	BOC HONG KONG HOLDINGS LTD	10,500.0000	3.471598			39,158	36,452		
12/31/2019	BOLIDEN AB	405.0000	26.546167			8,747	10,751		
12/31/2019	BOLLORE SA	3,574.0000	4.366525			15,019	15,606		
12/31/2019	BOMBARDIER INC	5,140.0000	1.488336			7,213	7,650		
12/31/2019	BOOKING HOLDINGS INC	615.0000	2,053.730000			1,107,936	1,263,044		
12/31/2019	BOOKING HOLDINGS INC	10,200.0000	2.412052			21,718	24,603		
12/31/2019	BORAL LTD	5,227.0000	3.149216			18,707	16,461		
12/31/2019	BORAL LTD	7,751.0000	7.350000			81,704	56,970		
12/31/2019	BOSIDENG INTERNATIONAL HOLDING	10,000.0000	0.360636			4,380	3,606		
12/31/2019	BOSTON SCIENTIFIC CORP	15,175.0000	45.220000			641,623	686,214		
12/31/2019	BOSTON SCIENTIFIC CORP	23,000.0000	0.918082			19,198	21,116		
12/31/2019	BOUYGUES SA	727.0000	42.520300			25,610	30,912		
12/31/2019	BP PLC	245,300.0000	6.247521			1,877,893	1,532,517		
12/31/2019	BP PLC	16,295.0000	5.560000			60,192	90,600		
12/31/2019	BR MALLS PARTICIPACOES SA	4,200.0000	4.489522			15,336	18,856		
12/31/2019	BRAMBLES LTD	2,728.0000	8.238574			19,319	22,475		

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12/31/2019	BRAMBLES LTD	4,689.0000	208.290000			565,784	976,672		
12/31/2019	BRENNTAG AG	284.0000	54.418800			12,240	15,455		
12/31/2019	BRF SA	2,000.0000	8.750342			13,157	17,501		
12/31/2019	BRIDGESTONE CORP	1,300.0000	37.451114			50,306	48,686		
12/31/2019	BRISTOL-MYERS SQUIBB CO 144A	315,000.0000	103.260000					319,121	325,269
12/31/2019	BRITISH AMERICAN TOBACCO MALAY	1,000.0000	3.686591			5,422	3,687		
12/31/2019	BRITISH AMERICAN TOBACCO PLC	4,576.0000	42.809296			146,232	195,895		
12/31/2019	BRITISH LAND CO PLC/THE	1,267.0000	8.462503			8,666	10,722		
12/31/2019	BROADCOM CORP / BROADCOM CAYMA	325,000.0000	100.077000					318,774	325,250
12/31/2019	BROTHER INDUSTRIES LTD	1,300.0000	20.897170			19,736	27,166		
12/31/2019	BT GROUP PLC	16,839.0000	2.549349			50,627	42,928		
12/31/2019	BUNZL PLC	609.0000	27.356088			18,394	16,660		
12/31/2019	BURBERRY GROUP PLC	573.0000	29.210738			12,523	16,738		
12/31/2019	BUREAU VERITAS SA	1,053.0000	26.109350			21,841	27,493		
12/31/2019	BURLINGTON STORES INC	4,150.0000	228.030000			680,587	946,325		
12/31/2019	BURLINGTON STORES INC	12,900.0000	1.168468			21,796	15,073		
12/31/2019	BYD CO LTD	1,500.0000	4.986011			9,887	7,479		
12/31/2019	CAIXABANK SA	9,610.0000	3.140755			35,078	30,183		
12/31/2019	CALBEE INC	500.0000	32.712214			15,103	16,356		
12/31/2019	CALTEX AUSTRALIA LTD	905.0000	23.865153			16,530	21,598		
12/31/2019	CALTEX AUSTRALIA LTD	8,975.0000	205.250000			1,498,985	1,842,119		
12/31/2019	CAMECO CORP	1,830.0000	8.899171			17,802	16,285		
12/31/2019	CANADIAN IMPERIAL BK OF COMM	920.0000	83.331405			69,285	76,665		
12/31/2019	CANADIAN NATIONAL RAILWAY CO	1,402.0000	90.588008			103,309	127,004		
12/31/2019	CANADIAN PACIFIC RAILWAY LTD	260.0000	255.276653			47,642	66,372		
12/31/2019	CANADIAN TIRE CORP LTD	260.0000	107.769424			26,937	28,020		
12/31/2019	CANADIAN UTILITIES LTD	820.0000	30.206285			20,657	24,769		
12/31/2019	CANON INC	1,900.0000	27.481020			52,196	52,214		
12/31/2019	CANOPY GROWTH CORP	380.0000	21.060343			10,249	8,003		
12/31/2019	CAPGEMINI SE	255.0000	122.240250			24,975	31,171		
12/31/2019	CAPITAL ONE FINANCIAL CORP	120,000.0000	100.132000					118,488	120,158
12/31/2019	CAPITAL ONE FINANCIAL CORP	320,000.0000	102.819000					314,257	329,021
12/31/2019	CAPITALAND COMMERCIAL TRUST	26,600.0000	1.479939			35,810	39,366		
12/31/2019	CAPITALAND LTD	8,200.0000	2.788830			18,617	22,868		
12/31/2019	CAPITALAND MALL TRUST	17,900.0000	1.829472			30,662	32,748		
12/31/2019	CARGILL INC 144A	110,000.0000	103.320000					109,687	113,652
12/31/2019	CARL ZEISS MEDITEC AG	50.0000	127.628250			6,033	6,381		
12/31/2019	CARLSBERG AS	282.0000	149.286466			30,156	42,099		
12/31/2019	CARNIVAL PLC	270.0000	48.273890			12,621	13,034		
12/31/2019	CARREFOUR SA	1,502.0000	16.781375			26,443	25,206		
12/31/2019	CATERPILLAR INC	14,200.0000	147.680000			1,784,108	2,097,056		
12/31/2019	CATERPILLAR INC	1,872.0000	63.370000			100,515	118,629		
12/31/2019	CATHAY PACIFIC AIRWAYS LTD	125,200.0000	1.478477			219,486	185,105		
12/31/2019	CATHAY PACIFIC AIRWAYS LTD	167.0000	122.380000			14,762	20,437		
12/31/2019	CCL INDUSTRIES INC	320.0000	42.660497			11,574	13,651		
12/31/2019	CCR SA	3,100.0000	4.718224			9,106	14,626		
12/31/2019	CELLNEX TELECOM SA	543.0000	43.070325			23,031	23,387		
12/31/2019	CELLTRION HEALTHCARE CO LTD	150.0000	45.829895			8,883	6,874		
12/31/2019	CELLTRION INC	200.0000	156.513415			38,522	31,303		
12/31/2019	CEMEX SAB DE CV	30,800.0000	0.374921			14,714	11,548		
12/31/2019	CENTRAIS ELETRICAS BRASILEIRAS	400.0000	9.396674			4,228	3,759		

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12/31/2019	CENTRAL JAPAN RAILWAY CO	300.0000	202.438456			62,552	60,732		
12/31/2019	CGI INC	598.0000	83.801812			36,881	50,113		
12/31/2019	CGN POWER CO LTD	95,000.0000	0.266947			23,113	25,360		
12/31/2019	CHALLENGER LTD	1,351.0000	5.686866			9,084	7,683		
12/31/2019	CHASE ISSUANCE TRUST A4 A4	100,000.0000	99.977000					98,656	99,977
12/31/2019	CHIBA BANK LTD/THE	1,100.0000	5.824706			5,472	6,407		
12/31/2019	CHINA CITIC BANK CORP LTD	50,000.0000	0.599348			30,228	29,967		
12/31/2019	CHINA COMMUNICATIONS CONSTRUCT	24,000.0000	0.814959			23,176	19,559		
12/31/2019	CHINA CONCH VENTURE HOLDINGS L	4,500.0000	4.363562			13,174	19,636		
12/31/2019	CHINA CONSTRUCTION BANK CORP	955,300.0000	0.863729			899,629	825,120		
12/31/2019	CHINA CONSTRUCTION BANK CORP	189,000.0000	0.863729			154,343	163,245		
12/31/2019	CHINA CONSTRUCTION BANK CORP	720.0000	50.880000			33,047	36,634		
12/31/2019	CHINA EVERBRIGHT ENVIRONMENT G	10,000.0000	0.802125			8,907	8,021		
12/31/2019	CHINA EVERGRANDE GROUP	8,000.0000	2.772145			23,623	22,177		
12/31/2019	CHINA HONGQIAO GROUP LTD	7,000.0000	0.603198			4,664	4,222		
12/31/2019	CHINA JINMAO HOLDINGS GROUP LT	18,000.0000	0.779024			8,014	14,022		
12/31/2019	CHINA LIFE INSURANCE CO LTD	14,000.0000	2.778562			29,432	38,900		
12/31/2019	CHINA MENGNIU DAIRY CO LTD	6,000.0000	4.042712			18,711	24,256		
12/31/2019	CHINA MERCHANTS BANK CO LTD	8,000.0000	5.140019			29,541	41,120		
12/31/2019	CHINA MERCHANTS PORT HOLDINGS	6,000.0000	1.691522			10,902	10,149		
12/31/2019	CHINA MINSHENG BANKING CORP LT	38,500.0000	0.755923			26,848	29,103		
12/31/2019	CHINA MOBILE LTD	12,000.0000	8.406273			114,446	100,875		
12/31/2019	CHINA NATIONAL BUILDING MATERI	6,000.0000	1.116558			4,010	6,699		
12/31/2019	CHINA OVERSEAS LAND & INVESTME	93,100.0000	3.895121			295,248	362,636		
12/31/2019	CHINA OVERSEAS LAND & INVESTME	12,000.0000	3.895121			40,763	46,741		
12/31/2019	CHINA OVERSEAS LAND & INVESTME	3,311.0000	6.058000			15,325	20,058		
12/31/2019	CHINA PACIFIC INSURANCE GROUP	7,600.0000	3.940040			26,390	29,944		
12/31/2019	CHINA RESOURCES BEER HOLDINGS	4,000.0000	5.531456			14,120	22,126		
12/31/2019	CHINA RESOURCES GAS GROUP LTD	2,000.0000	5.492954			8,006	10,986		
12/31/2019	CHINA RESOURCES LAND LTD	8,000.0000	4.979594			30,664	39,837		
12/31/2019	CHINA RESOURCES PHARMACEUTICAL	7,500.0000	0.927899			9,451	6,959		
12/31/2019	CHINA RESOURCES POWER HOLDINGS	120,000.0000	1.404040			253,137	168,485		
12/31/2019	CHINA RESOURCES POWER HOLDINGS	447.0000	46.758000			18,627	20,901		
12/31/2019	CHINA TAIPING INSURANCE HOLDIN	4,000.0000	2.479530			10,919	9,918		
12/31/2019	CHINA TELECOM CORP LTD	54,000.0000	0.411972			27,376	22,246		
12/31/2019	CHINA TOWER CORP LTD	72,000.0000	0.220745			14,273	15,894		
12/31/2019	CHINA UNICOM HONG KONG LTD	12,000.0000	0.942016			12,572	11,304		
12/31/2019	CHINA VANKE CO LTD	5,600.0000	4.267307			19,794	23,897		
12/31/2019	CHOCOLADEFABRIKEN LINDT & SPRU	4.0000	7,760.623739			24,757	31,042		
12/31/2019	CHR HANSEN HOLDING A/S	320.0000	79.525312			29,174	25,448		
12/31/2019	CHUGAI PHARMACEUTICAL CO LTD	500.0000	92.753620			30,023	46,377		
12/31/2019	CIA DE SANEAMENTO BASICO DO ES	1,300.0000	15.054565			13,773	19,571		
12/31/2019	CIA ENERGETICA DE MINAS GERAIS	1,600.0000	3.428046			5,559	5,485		
12/31/2019	CIA SIDERURGICA NACIONAL SA	4,200.0000	3.507594			16,984	14,732		
12/31/2019	CIE DE SAINT-GOBAIN	884.0000	40.971250			28,987	36,219		
12/31/2019	CIE FINANCIERE RICHEMONT SA	1,099.0000	78.545980			71,173	86,322		
12/31/2019	CIE GENERALE DES ETABLISSEMENT	414.0000	122.464750			41,635	50,700		
12/31/2019	CIGNA CORP	8,365.0000	204.490000			1,348,444	1,710,559		
12/31/2019	CIGNA CORP	100.0000	16.230000			3,504	1,623		
12/31/2019	CIGNA CORP	110,000.0000	104.880000					109,557	115,368
12/31/2019	CIMB GROUP HOLDINGS BHD	11,800.0000	1.259015			16,366	14,856		

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12/31/2019	CITIBANK CREDIT CARD ISS A3 A3	225,000.0000	99.995000					222,170	224,989
12/31/2019	CITIC SECURITIES CO LTD	7,500.0000	2.281886			13,126	17,114		
12/31/2019	CITIGROUP INC	16,400.0000	79.890000			963,615	1,310,196		
12/31/2019	CITIGROUP INC	700.0000	40.070000			25,894	28,049		
12/31/2019	CITIGROUP INC	500,000.0000	101.602000					495,545	508,010
12/31/2019	CITIGROUP INC	220,000.0000	101.959000					215,640	224,310
12/31/2019	CITY DEVELOPMENTS LTD	4,100.0000	8.143383			24,984	33,388		
12/31/2019	CJ CORP	69.0000	83.531469			7,790	5,764		
12/31/2019	CK ASSET HOLDINGS LTD	6,000.0000	7.219128			43,216	43,315		
12/31/2019	CK INFRASTRUCTURE HOLDINGS LTD	3,500.0000	7.116456			26,837	24,908		
12/31/2019	CLARIANT AG	857.0000	22.305984			17,104	19,116		
12/31/2019	CLICKS GROUP LTD	1,264.0000	18.349483			16,956	23,194		
12/31/2019	CNH INDUSTRIAL NV	3,711.0000	10.989275			34,572	40,781		
12/31/2019	COCA-COLA AMATIL LTD	2,544.0000	7.774627			15,208	19,779		
12/31/2019	COCA-COLA AMATIL LTD	12,381.0000	35.470000			468,087	439,154		
12/31/2019	COCA-COLA BOTTLERS JAPAN HOLDI	400.0000	25.682079			11,946	10,273		
12/31/2019	COCA-COLA FEMSA SAB DE CV	2,600.0000	6.083457			16,839	15,817		
12/31/2019	COCA-COLA HBC AG	731.0000	33.979838			22,663	24,839		
12/31/2019	COCHLEAR LTD	199.0000	157.973954			24,851	31,437		
12/31/2019	COCHLEAR LTD	5,422.0000	110.910000			391,724	601,354		
12/31/2019	COGNA EDUCACAO	3,700.0000	2.841375			12,103	10,513		
12/31/2019	COLOPLAST A/S	195.0000	124.140003			18,217	24,207		
12/31/2019	COMFORTDELGRO CORP LTD	14,200.0000	1.769977			22,469	25,134		
12/31/2019	COMM 2014-UBS4 MORTGAG UBS4 A5	225,000.0000	105.257000					227,294	236,828
12/31/2019	COMMERZBANK AG	2,725.0000	6.192833			19,022	16,875		
12/31/2019	COMMONWEALTH BANK OF AUSTRALIA	3,473.0000	56.165705			173,368	195,063		
12/31/2019	COMMONWEALTH BANK OF AUSTRALIA	9,122.0000	125.750000			768,654	1,147,092		
12/31/2019	COMMONWEALTH EDISON CO	110,000.0000	102.298000					110,594	112,528
12/31/2019	COMPASS GROUP PLC	3,173.0000	25.037775			66,226	79,445		
12/31/2019	COMPUTERSHARE LTD	3,187.0000	11.795501			37,085	37,592		
12/31/2019	COMPUTERSHARE LTD	15,700.0000	70.850000			727,260	1,112,345		
12/31/2019	CONAGRA BRANDS INC	315,000.0000	103.196000					318,981	325,067
12/31/2019	CONCORDIA FINANCIAL GROUP LTD	5,600.0000	4.159190			22,045	23,291		
12/31/2019	CONSTELLATION BRANDS INC	250,000.0000	100.203000					250,018	250,508
12/31/2019	CONSTELLATION SOFTWARE INC	50.0000	972.554463			32,833	48,628		
12/31/2019	CONTINENTAL AG	190.0000	129.379350			26,921	24,582		
12/31/2019	CONTINENTAL AIRLINES 2007-1 CL	71,193.0300	105.197000					74,632	74,893
12/31/2019	COUNTRY GARDEN HOLDINGS CO LTD	24,000.0000	1.601684			28,060	38,440		
12/31/2019	COVESTRO AG	360.0000	46.527625			18,027	16,750		
12/31/2019	COWAY CO LTD	146.0000	80.504967			9,561	11,754		
12/31/2019	CREDIT AGRICOLE SA	2,961.0000	14.508313			32,527	42,959		
12/31/2019	CREDIT SUISSE AG/NEW YORK NY	220,000.0000	101.409000					223,978	223,100
12/31/2019	CREDIT SUISSE GROUP AG	5,377.0000	13.533330			60,210	72,769		
12/31/2019	CRH PLC	1,916.0000	40.039575			51,248	76,716		
12/31/2019	CRODA INTERNATIONAL PLC	418.0000	67.827200			26,129	28,352		
12/31/2019	CROWN RESORTS LTD	1,632.0000	8.442430			14,073	13,778		
12/31/2019	CROWN RESORTS LTD	54,725.0000	21.795000			1,735,554	1,192,731		
12/31/2019	CRRC CORP LTD	26,000.0000	0.728971			25,948	18,953		
12/31/2019	CSL LTD	869.0000	193.845492			112,290	168,452		
12/31/2019	CSL LTD	179,877.0000	11.160000			1,653,161	2,007,427		
12/31/2019	CSPC PHARMACEUTICAL GROUP LTD	10,000.0000	2.384558			14,401	23,846		

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12/31/2019	CVS HEALTH CORP	110,000.0000	100.285000					109,074	110,314
12/31/2019	CVS HEALTH CORP	170,000.0000	100.853000					169,125	171,450
12/31/2019	CYBERAGENT INC	200.0000	35.104669			8,441	7,021		
12/31/2019	DAI NIPPON PRINTING CO LTD	1,200.0000	27.237174			26,033	32,685		
12/31/2019	DAIFUKU CO LTD	300.0000	61.283642			13,919	18,385		
12/31/2019	DAI-ICHI LIFE HOLDINGS INC	1,600.0000	16.714975			25,022	26,744		
12/31/2019	DAIICHI SANKYO CO LTD	1,200.0000	66.510235			38,627	79,812		
12/31/2019	DAIKIN INDUSTRIES LTD	400.0000	142.167007			43,216	56,867		
12/31/2019	DAIMLER AG	1,720.0000	55.417825			92,931	95,319		
12/31/2019	DAIMLER FINANCE NORTH AME 144A	110,000.0000	100.050000					108,389	110,055
12/31/2019	DAIMLER FINANCE NORTH AME 144A	580,000.0000	102.464000					585,340	594,291
12/31/2019	DAITO TRUST CONSTRUCTION CO LT	200.0000	124.039563			27,017	24,808		
12/31/2019	DAIWA HOUSE INDUSTRY CO LTD	1,300.0000	31.193926			41,057	40,552		
12/31/2019	DAIWA SECURITIES GROUP INC	5,500.0000	5.088567			28,836	27,987		
12/31/2019	DANONE SA	1,175.0000	82.952750			82,516	97,469		
12/31/2019	DANSKE BANK A/S	1,643.0000	16.193481			32,877	26,606		
12/31/2019	DASSAULT SYSTEMES SE	323.0000	164.502375			38,343	53,134		
12/31/2019	DAVIDE CAMPARI-MILANO SPA	2,350.0000	9.137150			20,167	21,472		
12/31/2019	DB INSURANCE CO LTD	330.0000	45.224595			20,067	14,924		
12/31/2019	DBS GROUP HOLDINGS LTD	15,200.0000	19.246644			271,592	292,549		
12/31/2019	DBS GROUP HOLDINGS LTD	4,000.0000	19.246644			69,442	76,987		
12/31/2019	DBS GROUP HOLDINGS LTD	2,996.0000	212.100000			433,093	635,452		
12/31/2019	DCC PLC	163.0000	86.691640			12,181	14,131		
12/31/2019	DELIVERY HERO SE	195.0000	79.203600			8,613	15,445		
12/31/2019	DELTA AIR LINES 2007-1 CLASS A	90,649.2500	108.564000					98,415	98,412
12/31/2019	DELTA AIR LINES 2019-1 CLASS A	140,000.0000	104.025000					140,000	145,635
12/31/2019	DENSO CORP	1,000.0000	45.695881			44,985	45,696		
12/31/2019	DENTSU GROUP INC	500.0000	34.736599			22,075	17,368		
12/31/2019	DEUTSCHE BANK AG	4,352.0000	7.764333			35,924	33,790		
12/31/2019	DEUTSCHE BOERSE AG	409.0000	157.318375			49,689	64,343		
12/31/2019	DEUTSCHE LUFTHANSA AG	701.0000	18.420225			16,122	12,913		
12/31/2019	DEUTSCHE POST AG	2,289.0000	38.176225			64,407	87,385		
12/31/2019	DEUTSCHE TELEKOM AG	5,678.0000	16.354825			96,416	92,863		
12/31/2019	DEUTSCHE TELEKOM INTERNAT 144A	560,000.0000	101.499000					564,574	568,394
12/31/2019	DEUTSCHE WOHNEN SE	611.0000	40.881450			28,332	24,979		
12/31/2019	DEXUS	1,566.0000	8.224515			12,110	12,880		
12/31/2019	DEXUS	972.0000	48.010000			33,860	46,666		
12/31/2019	DIAGEO PLC	4,621.0000	42.398624			162,463	195,924		
12/31/2019	DIRECT LINE INSURANCE GROUP PL	4,019.0000	4.139844			16,093	16,638		
12/31/2019	DISCO CORP	100.0000	238.325273			11,659	23,833		
12/31/2019	DISCOVERY LTD	846.0000	8.626596			9,017	7,298		
12/31/2019	DNB ASA	2,772.0000	18.663298			44,954	51,735		
12/31/2019	DOLLARAMA INC	630.0000	34.416811			14,810	21,683		
12/31/2019	DONGFENG MOTOR GROUP CO LTD	22,000.0000	0.940733			20,478	20,696		
12/31/2019	DR HORTON INC	27,500.0000	52.750000			997,637	1,450,625		
12/31/2019	DR HORTON INC	9,475.0000	15.090000			105,857	142,978		
12/31/2019	DREYFUS TREASURY SECURITIES CM	145,272.7100	100.000000			145,273	145,273		
12/31/2019	DREYFUS TREASURY SECURITIES CM	1,954,369.5600	100.000000			1,954,370	1,954,370		
12/31/2019	DREYFUS TREASURY SECURITIES CM	1,344,683.6100	100.000000			1,344,684	1,344,684		
12/31/2019	DREYFUS TREASURY SECURITIES CM	50.0000	657.303661			24,438	32,865		
12/31/2019	DSV PANALPINA A/S	443.0000	115.337239			32,111	51,094		

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12/31/2019	DUFY AG	227.0000	99.158362			22,550	22,509		
12/31/2019	DUPONT DE NEMOURS INC	175,000.0000	101.386000					178,509	177,426
12/31/2019	EAST JAPAN RAILWAY CO	600.0000	90.710832			53,009	54,427		
12/31/2019	EDENRED	505.0000	51.747250			19,535	26,132		
12/31/2019	EIFFAGE SA	127.0000	114.495000			10,413	14,541		
12/31/2019	EISAI CO LTD	500.0000	75.491141			39,387	37,746		
12/31/2019	ELANCO ANIMAL HEALTH INC	31,800.0000	29.450000			965,158	936,510		
12/31/2019	ELANCO ANIMAL HEALTH INC	7,000.0000	2.078204			16,437	14,547		
12/31/2019	ELECTROLUX AB	1,216.0000	24.559211			27,072	29,864		
12/31/2019	ELISA OYJ	504.0000	55.283125			21,545	27,863		
12/31/2019	E-MART INC	150.0000	110.251163			17,666	16,538		
12/31/2019	EMERA INC	600.0000	43.022942			24,736	25,814		
12/31/2019	EMPIRE CO LTD	200.0000	23.489493			5,551	4,698		
12/31/2019	ENAGAS SA	1,019.0000	25.525650			28,193	26,011		
12/31/2019	ENBRIDGE INC	4,060.0000	39.814922			127,273	161,649		
12/31/2019	ENDESA SA	1,339.0000	26.704275			31,468	35,757		
12/31/2019	ENERGISA SA	400.0000	13.306983			5,055	5,323		
12/31/2019	ENN ENERGY HOLDINGS LTD	1,600.0000	10.928155			14,277	17,485		
12/31/2019	EOG RESOURCES INC	900.0000	83.760000			84,229	75,384		
12/31/2019	EOG RESOURCES INC	11,971.0000	10.320000			116,185	123,541		
12/31/2019	EPAM SYSTEMS INC	5,700.0000	212.160000			878,964	1,209,312		
12/31/2019	EPAM SYSTEMS INC	8,000.0000	1.902934			17,906	15,223		
12/31/2019	EPIROC AB	1,461.0000	12.215510			13,097	17,847		
12/31/2019	EQUATORIAL ENERGIA SA	5,500.0000	5.665349			23,096	31,159		
12/31/2019	ERAC USA FINANCE LLC 144A	370,000.0000	100.773000					366,585	372,860
12/31/2019	ERSTE GROUP BANK AG	1,129.0000	37.671100			37,709	42,531		
12/31/2019	ESSILORLUXOTTICA SA	547.0000	152.435500			68,959	83,382		
12/31/2019	ESSITY AB	987.0000	32.239973			23,832	31,821		
12/31/2019	ETABLISSEMENTS FRANZ COLRUYT N	333.0000	52.173800			19,693	17,374		
12/31/2019	EUROFINS SCIENTIFIC SE	26.0000	554.739500			9,538	14,423		
12/31/2019	EUTELSAT COMMUNICATIONS SA	599.0000	16.265025			12,351	9,743		
12/31/2019	EXOR NV	470.0000	77.542300			26,608	36,445		
12/31/2019	EXPERIAN PLC	1,790.0000	33.807620			42,921	60,516		
12/31/2019	FACEBOOK INC	11,850.0000	205.250000			1,486,281	2,432,213		
12/31/2019	FACEBOOK INC	7,900.0000	205.250000			1,238,243	1,621,475		
12/31/2019	FACEBOOK INC	4,400.0000	7.110963			24,146	31,288		
12/31/2019	FACEBOOK INC	700.0000	21.110000			14,981	14,777		
12/31/2019	FAIRFAX FINANCIAL HOLDINGS LTD	50.0000	470.206285			21,594	23,510		
12/31/2019	FANUC CORP	400.0000	187.071537			62,387	74,829		
12/31/2019	FAST RETAILING CO LTD	100.0000	598.113620			52,706	59,811		
12/31/2019	FERGUSON PLC	382.0000	90.745375			23,939	34,665		
12/31/2019	FERRARI NV	233.0000	166.017750			23,206	38,682		
12/31/2019	FERROVIAL SA	727.0000	30.273825			14,794	22,009		
12/31/2019	FHLMC POOL #ZT-2086	786,929.9010	102.813000					808,755	809,066
12/31/2019	FIAT CHRYSLER AUTOMOBILES NV	2,698.0000	14.810265			39,149	39,958		
12/31/2019	FIBRA UNO ADMINISTRACION SA DE	9,400.0000	1.551048			10,445	14,580		
12/31/2019	FIFTH THIRD BANCORP	39,000.0000	30.740000			932,097	1,198,860		
12/31/2019	FIFTH THIRD BANCORP	400.0000	52.790000			23,888	21,116		
12/31/2019	FINCOBANK BANCA FINECO SPA	792.0000	11.999525			10,217	9,504		
12/31/2019	FIRST CAPITAL REIT	470.0000	15.939850			6,940	7,492		
12/31/2019	FIRST QUANTUM MINERALS LTD	2,080.0000	10.156160			17,254	21,125		

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12/31/2019	FIRSTRAND LTD	8,687.0000	4.491007			40,085	39,013		
12/31/2019	FLUTTER ENTERTAINMENT PLC	194.0000	121.622875			16,376	23,595		
12/31/2019	FNMA POOL #0AL3876	382,055.5000	102.088000	380,742	390,033				
12/31/2019	FNMA POOL #0AM3550	679,331.0400	101.252000	665,851	687,836				
12/31/2019	FNMA POOL #0MA3663	928,870.7960	102.781000	952,818	954,703				
12/31/2019	FNMA POOL #0MA3768	1,028,816.6900	102.550000	1,055,548	1,055,052				
12/31/2019	FNMA GTD REMIC P/T 12-111 UC	488,479.9620	98.028000	478,214	478,847				
12/31/2019	FNMA GTD REMIC P/T 13-M7 A2	74,635.5200	100.461000	72,921	74,980				
12/31/2019	FNMA GTD REMIC P/T 15-M1 A1	331,603.2000	100.404000	324,466	332,943				
12/31/2019	FNMA GTD REMIC P/T 16-M7 AB2	255,000.0000	99.812000	250,617	254,521				
12/31/2019	FOMENTO ECONOMICO MEXICANO SAB	5,300.0000	9.461449			46,425	50,146		
12/31/2019	FORD MOTOR CREDIT CO LLC	110,000.0000	100.031000					107,682	110,034
12/31/2019	FORD MOTOR CREDIT CO LLC	110,000.0000	99.534000					107,345	109,487
12/31/2019	FORD MOTOR CREDIT CO LLC	110,000.0000	102.887000					104,433	113,176
12/31/2019	FORD MOTOR CREDIT CO LLC	360,000.0000	101.854000					356,725	366,674
12/31/2019	FORTESCUE METALS GROUP LTD	4,074.0000	7.514536			17,408	30,614		
12/31/2019	FORTESCUE METALS GROUP LTD	47,913.0000	44.970000			1,670,371	2,154,648		
12/31/2019	FORTIS INC	1,080.0000	41.550029			38,018	44,874		
12/31/2019	FORTUM OYJ	1,278.0000	24.695000			27,913	31,560		
12/31/2019	FOSCHINI GROUP LTD/THE	807.0000	10.690457			8,375	8,627		
12/31/2019	FRESENIUS MEDICAL CARE AG & CO	564.0000	74.040100			37,744	41,759		
12/31/2019	FRESENIUS SE & CO KGAA	948.0000	56.327050			46,648	53,398		
12/31/2019	FUCHS PETROLUB SE	215.0000	49.569600			9,449	10,657		
12/31/2019	FUJIFILM HOLDINGS CORP	800.0000	48.125142			31,566	38,500		
12/31/2019	FUJITSU LTD	400.0000	94.501952			25,412	37,801		
12/31/2019	G4S PLC	1,514.0000	2.887955			3,973	4,372		
12/31/2019	GALAPAGOS NV	88.0000	209.346250			16,767	18,422		
12/31/2019	GALAXY ENTERTAINMENT GROUP LTD	6,000.0000	7.366719			37,545	44,200		
12/31/2019	GEA GROUP AG	366.0000	33.091300			9,621	12,111		
12/31/2019	GEBERIT AG	66.0000	560.954200			25,510	37,023		
12/31/2019	GECINA SA	96.0000	179.151000			12,491	17,199		
12/31/2019	GEELY AUTOMOBILE HOLDINGS LTD	9,000.0000	1.955902			13,093	17,603		
12/31/2019	GENERAL MOTORS FINANCIAL CO IN	330,000.0000	103.679000					337,428	342,141
12/31/2019	GENERAL MOTORS FINANCIAL CO IN	110,000.0000	102.943000					109,931	113,237
12/31/2019	GENMAB A/S	124.0000	222.547694			20,831	27,596		
12/31/2019	GENTING PLANTATIONS BHD	9,000.0000	2.586481			20,876	23,278		
12/31/2019	GENTING SINGAPORE LTD	29,900.0000	0.684193			20,216	20,457		
12/31/2019	GERDAU SA	2,700.0000	4.971785			10,175	13,424		
12/31/2019	GETLINK SE	1,047.0000	17.409975			14,240	18,228		
12/31/2019	GILDAN ACTIVEWEAR INC	530.0000	29.604781			16,200	15,691		
12/31/2019	GILEAD SCIENCES INC	16,700.0000	64.980000			1,297,473	1,085,166		
12/31/2019	GILEAD SCIENCES INC	1,777.0000	35.230000			39,661	62,604		
12/31/2019	GIVAUDAN SA	19.0000	3,130.066607			44,261	59,471		
12/31/2019	GLAXOSMITHKLINE PLC	10,353.0000	23.567303			199,378	243,992		
12/31/2019	GLOBAL PAYMENTS INC	4,250.0000	182.560000			601,233	775,880		
12/31/2019	GLOBAL PAYMENTS INC	1,100.0000	12.953303			14,864	14,249		
12/31/2019	GMO PAYMENT GATEWAY INC	100.0000	68.829075			7,500	6,883		
12/31/2019	GOLD FIELDS LTD	2,059.0000	6.855937			12,418	14,116		
12/31/2019	GOLDMAN SACHS GROUP INC/THE	220,000.0000	100.210000					216,216	220,462
12/31/2019	GOLDMAN SACHS GROUP INC/THE	220,000.0000	102.812000					213,118	226,186
12/31/2019	GOLDMAN SACHS GROUP INC/THE	300,000.0000	101.419000					303,180	304,257

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12/31/2019	GOODMAN GROUP	5,837.0000	9.398442			48,232	54,859		
12/31/2019	GOODMAN GROUP	15,568.0000	3.750000			60,080	58,380		
12/31/2019	GPT GROUP/THE	3,101.0000	3.936520			11,937	12,207		
12/31/2019	GPT GROUP/THE	2,588.0000	323.570000			752,427	837,399		
12/31/2019	GREAT WEST LIFECO INC	1,260.0000	25.648737			26,625	32,317		
12/31/2019	GREENTOWN SERVICE GROUP CO LTD	8,000.0000	1.092174			8,953	8,737		
12/31/2019	GRIFOLS SA	942.0000	35.280175			25,681	33,234		
12/31/2019	GROUPE BRUXELLES LAMBERT SA	170.0000	105.470100			14,505	17,930		
12/31/2019	GROWTHPOINT PROPERTIES LTD	6,514.0000	1.581149			10,016	10,300		
12/31/2019	GRUPO AEROPORTUARIO DEL PACIFI	1,300.0000	11.897373			10,700	15,467		
12/31/2019	GRUPO AEROPORTUARIO DEL SUREST	645.0000	18.748146			9,940	12,093		
12/31/2019	GRUPO BIMBO SAB DE CV	11,900.0000	1.823237			24,024	21,697		
12/31/2019	GRUPO FINANCIERO BANORTE SAB D	4,900.0000	5.594683			23,692	27,414		
12/31/2019	GRUPO FINANCIERO INBURSA SAB D	16,800.0000	1.228024			24,716	20,631		
12/31/2019	GRUPO TELEVISA SAB	4,900.0000	2.349608			12,331	11,513		
12/31/2019	GS MORTGAGE SECURITIES GC12 A3	280,000.0000	101.559000					274,444	284,365
12/31/2019	GS MORTGAGE SECURITIES GC17 A4	165,518.5400	101.394000					165,803	167,826
12/31/2019	GUANGDONG INVESTMENT LTD	12,000.0000	2.091943			23,280	25,103		
12/31/2019	GUANGZHOU AUTOMOBILE GROUP CO	12,000.0000	1.244898			12,061	14,939		
12/31/2019	GUARDIAN LIFE GLOBAL FUND 144A	110,000.0000	101.024000					106,825	111,126
12/31/2019	GVC HOLDINGS PLC	583.0000	11.713440			5,463	6,829		
12/31/2019	H LUNDBECK A/S	401.0000	38.215412			18,337	15,324		
12/31/2019	HAIDILAO INTERNATIONAL HOLDING	2,000.0000	4.017044			8,800	8,034		
12/31/2019	HAIER ELECTRONICS GROUP CO LTD	5,000.0000	3.125080			11,782	15,625		
12/31/2019	HAITIAN INTERNATIONAL HOLDINGS	2,000.0000	2.423060			3,770	4,846		
12/31/2019	HAITONG SECURITIES CO LTD	18,400.0000	1.182012			18,014	21,749		
12/31/2019	HALLIBURTON CO	44,500.0000	24.470000			1,928,430	1,088,915		
12/31/2019	HALLIBURTON CO	803.0000	24.170000			15,963	19,409		
12/31/2019	HALMA PLC	902.0000	28.031710			24,806	25,285		
12/31/2019	HAMAMATSU PHOTONICS KK	500.0000	41.361857			17,292	20,681		
12/31/2019	HANA FINANCIAL GROUP INC	606.0000	31.907984			19,647	19,336		
12/31/2019	HANG LUNG PROPERTIES LTD	16,000.0000	2.194615			32,554	35,114		
12/31/2019	HANG SENG BANK LTD	2,100.0000	20.662748			47,145	43,392		
12/31/2019	HANKOOK TIRE & TECHNOLOGY CO L	518.0000	29.011188			17,406	15,028		
12/31/2019	HANKYU HANSHIN HOLDINGS INC	400.0000	43.064181			12,811	17,226		
12/31/2019	HANNOVER RUECK SE	193.0000	193.406750			26,179	37,328		
12/31/2019	HANWHA CORP	633.0000	21.617875			11,598	13,684		
12/31/2019	HANWHA SOLUTIONS CORP	833.0000	16.299878			15,008	13,578		
12/31/2019	HAP SENG CONSOLIDATED BHD	3,300.0000	2.439800			7,473	8,051		
12/31/2019	HARGREAVES LANSDOWN PLC	1,074.0000	25.633913			25,528	27,531		
12/31/2019	HARLEY-DAVIDSON FINANCIAL 144A	240,000.0000	102.299000					239,930	245,518
12/31/2019	HEIDELBERGCEMENT AG	293.0000	72.917600			18,101	21,365		
12/31/2019	HEINEKEN HOLDING NV	412.0000	96.984000			34,674	39,957		
12/31/2019	HEINEKEN NV	11,768.0000	106.547700			1,164,617	1,253,853		
12/31/2019	HEINEKEN NV	623.0000	106.547700			54,593	66,379		
12/31/2019	HEINEKEN NV	253.0000	213.130000			55,832	53,922		
12/31/2019	HELIUMITH CO LTD	48.0000	80.159081			8,441	3,848		
12/31/2019	HENDERSON LAND DEVELOPMENT CO	6,600.0000	4.909007			31,198	32,399		
12/31/2019	HENGAN INTERNATIONAL GROUP CO	2,000.0000	7.122873			14,513	14,246		
12/31/2019	HENKEL AG & CO KGAA	341.0000	94.290000			34,174	32,153		
12/31/2019	HENKEL AG & CO KGAA	383.0000	103.494500			42,379	39,638		

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12/31/2019	HENNES & MAURITZ AB	1,961.0000	20.348145			28,816	39,903		
12/31/2019	HERMES INTERNATIONAL	58.0000	747.809500			31,324	43,373		
12/31/2019	HERTZ VEHICLE FINANC 1A A 144A	155,000.0000	100.512000					154,365	155,794
12/31/2019	HERTZ VEHICLE FINANC 1A A 144A	325,000.0000	100.112000					325,508	325,364
12/31/2019	HERTZ VEHICLE FINANC 1A A 144A	105,000.0000	102.609000					104,981	107,739
12/31/2019	HEXAGON AB	698.0000	56.083452			32,290	39,146		
12/31/2019	HIKARI TSUSHIN INC	100.0000	252.403948			21,945	25,240		
12/31/2019	HISAMITSU PHARMACEUTICAL CO IN	300.0000	49.229352			13,452	14,769		
12/31/2019	HITACHI LTD	1,800.0000	42.567286			48,556	76,621		
12/31/2019	HITACHI METALS LTD	1,000.0000	14.842420			10,493	14,842		
12/31/2019	HKT TRUST & HKT LTD	14,000.0000	1.409174			20,797	19,728		
12/31/2019	HLB INC	37.0000	99.355754			3,022	3,676		
12/31/2019	HONDA MOTOR CO LTD	3,000.0000	28.516217			80,002	85,549		
12/31/2019	HONG KONG EXCHANGES & CLEARING	2,700.0000	32.470033			84,119	87,669		
12/31/2019	HOSHIZAKI CORP	200.0000	89.625026			13,172	17,925		
12/31/2019	HOYA CORP	700.0000	96.158267			42,108	67,311		
12/31/2019	HSBC HOLDINGS PLC	37,335.0000	7.841195			307,408	292,751		
12/31/2019	HULIC CO LTD	900.0000	12.109500			8,198	10,899		
12/31/2019	HUSQVARNA AB	1,722.0000	8.014058			13,551	13,800		
12/31/2019	HYDRO ONE LIMITED	1,678.0000	19.340659			25,345	32,454		
12/31/2019	HYUNDAI ENGINEERING & CONSTRUC	199.0000	36.577445			9,405	7,279		
12/31/2019	HYUNDAI HEAVY INDUSTRIES HOLDI	27.0000	292.273670			8,551	7,891		
12/31/2019	HYUNDAI MARINE & FIRE INSURANC	634.0000	23.304069			16,451	14,775		
12/31/2019	HYUNDAI MOBIS CO LTD	171.0000	221.367040			29,611	37,854		
12/31/2019	HYUNDAI MOTOR CO	340.0000	104.198158			36,260	35,427		
12/31/2019	HYUNDAI MOTOR CO	281.0000	68.658371			19,606	19,293		
12/31/2019	HYUNDAI STEEL CO	695.0000	27.195287			24,015	18,901		
12/31/2019	IBERDROLA SA	11,595.0000	10.304550			95,832	119,481		
12/31/2019	IBM CREDIT LLC	110,000.0000	100.786000					104,756	110,865
12/31/2019	ICADE	203.0000	108.938625			15,872	22,115		
12/31/2019	ICL GROUP LTD	2,486.0000	4.704690			11,284	11,696		
12/31/2019	IHH HEALTHCARE BHD	15,400.0000	1.337245			20,445	20,594		
12/31/2019	IMPALA PLATINUM HOLDINGS LTD	1,289.0000	10.263525			10,086	13,230		
12/31/2019	IMPERIAL BRANDS PLC	2,046.0000	24.759578			61,069	50,658		
12/31/2019	INCITEC PIVOT LTD	7,489.0000	2.235381			18,121	16,741		
12/31/2019	INDUSTRIA DE DISENO TEXTIL SA	2,535.0000	35.302625			66,532	89,492		
12/31/2019	INDUSTRIAL & COMMERCIAL BANK O	376,000.0000	0.770040			305,284	289,535		
12/31/2019	INDUSTRIAL & COMMERCIAL BANK O	133,000.0000	0.770040			95,220	102,415		
12/31/2019	INDUSTRIAL & COMMERCIAL BANK O	400.0000	39.930000			15,133	15,972		
12/31/2019	INDUSTRIAL BANK OF KOREA	924.0000	10.203637			11,805	9,428		
12/31/2019	INFINEON TECHNOLOGIES AG	2,542.0000	22.797975			51,760	57,952		
12/31/2019	INFORMA PLC	3,037.0000	11.353108			24,649	34,479		
12/31/2019	ING GROEP NV	7,648.0000	11.997280			83,341	91,755		
12/31/2019	INGENICO GROUP SA	138.0000	108.658000			7,747	14,995		
12/31/2019	INSURANCE AUSTRALIA GROUP LTD	7,519.0000	5.384597			36,599	40,487		
12/31/2019	INSURANCE AUSTRALIA GROUP LTD	20,209.0000	51.120000			869,669	1,033,084		
12/31/2019	INTACT FINANCIAL CORP	472.0000	108.286100			34,696	51,111		
12/31/2019	INTER PIPELINE LTD	1,140.0000	17.381916			15,979	19,815		
12/31/2019	INTERCONTINENTAL HOTELS GROUP	269.0000	68.992980			14,818	18,559		
12/31/2019	INTERTEK GROUP PLC	285.0000	77.524370			17,469	22,094		
12/31/2019	INTESA SANPAOLO SPA	28,502.0000	2.636191			62,570	75,137		

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12/31/2019	INTUIT INC	4,675.0000	261.930000			981,351	1,224,523		
12/31/2019	INTUIT INC	285,800.0000	0.056086			16,663	16,030		
12/31/2019	INVESTOR AB	992.0000	54.609258			42,161	54,172		
12/31/2019	IOI CORP BHD	8,000.0000	1.127002			8,430	9,016		
12/31/2019	IPSEN SA	95.0000	88.677500			12,735	8,424		
12/31/2019	IRB BRASIL RESSEGUROS S/A	900.0000	9.682552			7,553	8,714		
12/31/2019	ISETAN MITSUKOSHI HOLDINGS LTD	2,000.0000	9.054520			17,586	18,109		
12/31/2019	ISS A/S	330.0000	24.012318			9,311	7,924		
12/31/2019	ISUZU MOTORS LTD	1,700.0000	11.953071			24,081	20,320		
12/31/2019	ITAU UNIBANCO HOLDING SA	10,600.0000	9.222661			91,471	97,760		
12/31/2019	ITAUSA SA	12,400.0000	3.502623			38,464	43,433		
12/31/2019	ITV PLC	4,488.0000	2.000373			7,926	8,978		
12/31/2019	J SAINSBURY PLC	3,425.0000	3.045600			8,046	10,431		
12/31/2019	JACKSON NATIONAL LIFE GLO 144A	220,000.0000	100.253000					212,238	220,557
12/31/2019	JAMES HARDIE INDUSTRIES PLC	1,434.0000	19.591217			15,552	28,094		
12/31/2019	JAMES HARDIE INDUSTRIES PLC	12,061.0000	61.470000			654,304	741,390		
12/31/2019	JAPAN AIRLINES CO LTD	500.0000	31.258338			17,852	15,629		
12/31/2019	JAPAN EXCHANGE GROUP INC	1,000.0000	17.759374			16,312	17,759		
12/31/2019	JAPAN POST BANK CO LTD	1,900.0000	9.661835			21,495	18,357		
12/31/2019	JAPAN POST HOLDINGS CO LTD	3,200.0000	9.440993			37,760	30,211		
12/31/2019	JAPAN REAL ESTATE INVESTMENT C	3.0000	6,625.258560			17,200	19,876		
12/31/2019	JAPAN RETAIL FUND INVESTMENT C	6.0000	2,146.767808			11,986	12,881		
12/31/2019	JAPAN TOBACCO INC	2,300.0000	22.383252			54,110	51,481		
12/31/2019	JBS SA	2,800.0000	6.413603			8,188	17,958		
12/31/2019	JD SPORTS FASHION PLC	1,635.0000	11.093457			16,627	18,138		
12/31/2019	JFE HOLDINGS INC	2,700.0000	12.983666			39,335	35,056		
12/31/2019	JIANGXI COPPER CO LTD	8,000.0000	1.375805			9,403	11,006		
12/31/2019	JOHN DEERE CAPITAL CORP	110,000.0000	100.847000					105,372	110,932
12/31/2019	JOHNSON MATTHEY PLC	350.0000	39.689510			13,209	13,891		
12/31/2019	JP MORGAN CHASE COMMERC C6 ASB	224,397.2900	101.155000					224,301	226,989
12/31/2019	JP MORGAN CHASE COMMERC C6 A3	196,888.4400	102.772000					197,904	202,346
12/31/2019	JPMORGAN CHASE & CO	9,000.0000	139.400000			731,070	1,254,600		
12/31/2019	JPMORGAN CHASE & CO	1,429.0000	10.150000			10,003	14,504		
12/31/2019	JPMORGAN CHASE & CO	220,000.0000	105.002000					226,552	231,004
12/31/2019	JPMORGAN CHASE & CO	435,000.0000	100.774000					432,230	438,367
12/31/2019	JPMORGAN CHASE & CO	160,000.0000	102.385000					160,870	163,816
12/31/2019	JPMORGAN CHASE BANK NA	165,000.0000	100.021000					164,083	165,035
12/31/2019	JULIUS BAER GROUP LTD	806.0000	51.561935			29,634	41,559		
12/31/2019	KAJIMA CORP	1,700.0000	13.416149			21,199	22,807		
12/31/2019	KAKAO CORP	115.0000	132.733753			10,377	15,264		
12/31/2019	KANGWON LAND INC	597.0000	25.595564			16,946	15,281		
12/31/2019	KANSAI ELECTRIC POWER CO INC/T	2,000.0000	11.635610			30,849	23,271		
12/31/2019	KANSAI PAINT CO LTD	500.0000	24.633079			9,256	12,317		
12/31/2019	KAO CORP	1,000.0000	83.045776			72,165	83,046		
12/31/2019	KASIKORNBANK PCL	121,100.0000	5.041105			766,471	610,478		
12/31/2019	KASIKORNBANK PCL	100.0000	165.760000			13,083	16,576		
12/31/2019	KB FINANCIAL GROUP INC	744.0000	41.203670			30,803	30,656		
12/31/2019	KBC GROUP NV	640.0000	75.274850			42,079	48,176		
12/31/2019	KDDI CORP	3,400.0000	29.933286			80,989	101,773		
12/31/2019	KEIHAN HOLDINGS CO LTD	400.0000	48.769264			16,901	19,508		
12/31/2019	KEIKYU CORP	500.0000	19.415688			7,842	9,708		

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12/31/2019	KEIO CORP	300.0000	60.823554			17,549	18,247		
12/31/2019	KEISEI ELECTRIC RAILWAY CO LTD	300.0000	39.015412			9,102	11,705		
12/31/2019	KERING SA	155.0000	656.887000			70,455	101,817		
12/31/2019	KERRY GROUP PLC	246.0000	124.709750			24,910	30,679		
12/31/2019	KERRY PROPERTIES LTD	4,000.0000	3.176416			14,387	12,706		
12/31/2019	KEYENCE CORP	400.0000	354.175281			101,250	141,670		
12/31/2019	KIA MOTORS CORP	825.0000	38.306875			25,166	31,603		
12/31/2019	KIKKOMAN CORP	500.0000	49.413387			26,826	24,707		
12/31/2019	KIMBERLY-CLARK DE MEXICO SAB D	5,300.0000	1.985808			8,376	10,525		
12/31/2019	KINGFISHER PLC	7,001.0000	2.874708			19,785	20,126		
12/31/2019	KINGSOFT CORP LTD	2,000.0000	2.592469			4,523	5,185		
12/31/2019	KINGSPAN GROUP PLC	385.0000	61.120125			20,616	23,531		
12/31/2019	KINNEVIK AB	440.0000	24.463068			10,404	10,764		
12/31/2019	KINROSS GOLD CORP	4,020.0000	4.750337			15,797	19,096		
12/31/2019	KINTETSU GROUP HOLDINGS CO LTD	300.0000	54.474348			12,783	16,342		
12/31/2019	KIRIN HOLDINGS CO LTD	1,800.0000	21.992178			37,976	39,586		
12/31/2019	KIRKLAND LAKE GOLD LTD	390.0000	44.141122			12,505	17,215		
12/31/2019	KLABIN SA	1,900.0000	4.576528			7,285	8,695		
12/31/2019	KLEPIERRE SA	370.0000	37.996625			11,967	14,059		
12/31/2019	KMW CO LTD	111.0000	44.186937			4,503	4,905		
12/31/2019	KOBAYASHI PHARMACEUTICAL CO LT	200.0000	85.300204			12,863	17,060		
12/31/2019	KOITO MANUFACTURING CO LTD	400.0000	46.928915			21,246	18,772		
12/31/2019	KOMATSU LTD	1,900.0000	24.311018			41,831	46,191		
12/31/2019	KONE OYJ	520.0000	65.419300			24,761	34,018		
12/31/2019	KONICA MINOLTA INC	2,500.0000	6.560846			23,112	16,402		
12/31/2019	KONINKLIJKE AHOLD DELHAIZE NV	2,761.0000	25.026138			70,124	69,097		
12/31/2019	KONINKLIJKE DSM NV	372.0000	130.322250			29,989	48,480		
12/31/2019	KONINKLIJKE KPN NV	4,852.0000	2.953298			13,920	14,329		
12/31/2019	KONINKLIJKE PHILIPS NV	2,304.0000	48.851200			81,291	112,553		
12/31/2019	KONINKLIJKE VOPAK NV	553.0000	54.250425			26,260	30,000		
12/31/2019	KOREA INVESTMENT HOLDINGS CO L	201.0000	62.605366			12,075	12,584		
12/31/2019	KOREA SHIPBUILDING & OFFSHORE	88.0000	109.386448			10,121	9,626		
12/31/2019	KOREA ZINC CO LTD	21.0000	367.503875			8,135	7,718		
12/31/2019	KOSE CORP	100.0000	147.227968			14,986	14,723		
12/31/2019	KT&G CORP	231.0000	81.110267			20,948	18,736		
12/31/2019	KUALA LUMPUR KEPONG BHD	4,000.0000	6.062828			23,121	24,251		
12/31/2019	KUBOTA CORP	1,500.0000	15.882217			20,970	23,823		
12/31/2019	KUEHNE + NAGEL INTERNATIONAL A	216.0000	168.534104			28,643	36,403		
12/31/2019	KURARAY CO LTD	1,600.0000	12.247527			19,786	19,596		
12/31/2019	KYOCERA CORP	600.0000	68.829075			29,999	41,297		
12/31/2019	KYOWA KIRIN CO LTD	1,300.0000	23.676098			25,451	30,779		
12/31/2019	KYUSHU ELECTRIC POWER CO INC	1,900.0000	8.695652			20,080	16,522		
12/31/2019	KYUSHU RAILWAY CO	700.0000	33.586380			22,910	23,510		
12/31/2019	L3HARRIS TECHNOLOGIES INC 144A	160,000.0000	102.459000					165,072	163,934
12/31/2019	L3HARRIS TECHNOLOGIES INC 144A	230,000.0000	105.284000					242,244	242,153
12/31/2019	LAFARGEHOLCIM LTD	1,149.0000	55.455156			48,570	63,718		
12/31/2019	LAND SECURITIES GROUP PLC	1,629.0000	13.115025			17,094	21,364		
12/31/2019	LANXESS AG	147.0000	67.147950			7,559	9,871		
12/31/2019	LEE & MAN PAPER MANUFACTURING	10,000.0000	0.757206			5,530	7,572		
12/31/2019	LEGAL & GENERAL GROUP PLC	15,332.0000	4.013993			45,161	61,543		
12/31/2019	LEGRAND SA	482.0000	81.538400			27,041	39,302		

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12/31/2019	LENDLEASE CORP LTD	877.0000	12.371920			7,341	10,850		
12/31/2019	LENDLEASE CORP LTD	11,186.0000	30.380000			509,513	339,831		
12/31/2019	LENNAR CORP	20,076.0000	55.790000			889,484	1,120,040		
12/31/2019	LENNAR CORP	287.0000	44.700000			10,102	12,829		
12/31/2019	LENNAR CORP	700.0000	33.500000			24,659	23,450		
12/31/2019	LENNAR CORP	2,800.0000	4.020000			6,426	11,256		
12/31/2019	LENOVO GROUP LTD	18,000.0000	0.671218			12,300	12,082		
12/31/2019	LEONARDO SPA	751.0000	11.730125			6,964	8,809		
12/31/2019	LG CHEM LTD	90.0000	274.547013			28,439	24,709		
12/31/2019	LG CORP	219.0000	63.815967			13,318	13,976		
12/31/2019	LG DISPLAY CO LTD	966.0000	14.051619			16,362	13,574		
12/31/2019	LG ELECTRONICS INC	363.0000	62.345952			20,421	22,632		
12/31/2019	LG HOUSEHOLD & HEALTH CARE LTD	21.0000	1,090.405615			20,132	22,899		
12/31/2019	LI NING CO LTD	5,000.0000	2.996740			8,255	14,984		
12/31/2019	LIBERTY MUTUAL GROUP INC 144A	220,000.0000	106.078000					226,686	233,372
12/31/2019	LINK REIT	5,000.0000	10.588054			50,904	52,940		
12/31/2019	LION CORP	600.0000	19.553715			12,240	11,732		
12/31/2019	LIXIL GROUP CORP	900.0000	17.382102			13,313	15,644		
12/31/2019	LLOYDS BANKING GROUP PLC	132,534.0000	0.827969			86,917	109,734		
12/31/2019	LOBLAW COMPANIES LTD	716.0000	51.667631			33,651	36,994		
12/31/2019	LOCALIZA RENT A CAR SA	1,470.0000	11.785617			10,324	17,325		
12/31/2019	LOJAS AMERICANAS SA	1,200.0000	6.440948			4,887	7,729		
12/31/2019	LOJAS RENNER SA	1,980.0000	13.968230			18,708	27,657		
12/31/2019	LONDON STOCK EXCHANGE GROUP PL	725.0000	102.668125			37,530	74,434		
12/31/2019	LONGFOR GROUP HOLDINGS LTD	4,000.0000	4.684412			11,514	18,738		
12/31/2019	LONZA GROUP AG	174.0000	364.744152			46,762	63,465		
12/31/2019	L'OREAL SA	430.0000	296.340000			97,662	127,426		
12/31/2019	LOTTE CHEMICAL CORP	43.0000	193.696160			11,032	8,329		
12/31/2019	LOTTE SHOPPING CO LTD	57.0000	117.168883			5,892	6,679		
12/31/2019	LSTAR COMMERCIAL MOR 4 A3 144A	105,000.0000	98.724000					102,461	103,660
12/31/2019	LUNDIN MINING CORP	4,280.0000	5.984191			18,433	25,612		
12/31/2019	LVMH MOET HENNESSY LOUIS VUITT	573.0000	464.939500			171,369	266,410		
12/31/2019	M3 INC	900.0000	30.411777			12,203	27,371		
12/31/2019	MAANSHAN IRON & STEEL CO LTD	16,000.0000	0.405555			6,069	6,489		
12/31/2019	MAGAZINE LUIZA SA	1,600.0000	11.857708			9,155	18,972		
12/31/2019	MAGELLAN FINANCIAL GROUP LTD	164.0000	40.033003			5,612	6,565		
12/31/2019	MAGELLAN FINANCIAL GROUP LTD	200.0000	46.350000			14,287	9,270		
12/31/2019	MAGNA INTL INC	826.0000	54.906497			38,396	45,353		
12/31/2019	MAKITA CORP	500.0000	35.058660			17,415	17,529		
12/31/2019	MALAYAN BANKING BHD	14,000.0000	2.112211			31,887	29,571		
12/31/2019	MARKS & SPENCER GROUP PLC	5,609.0000	2.828341			18,966	15,864		
12/31/2019	MARUI GROUP CO LTD	400.0000	24.550264			7,884	9,820		
12/31/2019	MARUICHI STEEL TUBE LTD	300.0000	28.341384			7,870	8,502		
12/31/2019	MAXIS BHD	6,500.0000	1.300575			8,644	8,454		
12/31/2019	MAZDA MOTOR CORP	2,500.0000	8.631240			26,596	21,578		
12/31/2019	MCDONALD'S HOLDINGS CO JAPAN L	200.0000	48.217160			10,014	9,643		
12/31/2019	MEDIBANK PVT LTD	7,302.0000	2.221322			13,078	16,220		
12/31/2019	MEDIBANK PVT LTD	5,555.0000	5.800000			31,740	32,219		
12/31/2019	MEDIOBANCA BANCA DI CREDITO FI	1,406.0000	11.016215			11,767	15,489		
12/31/2019	MEDTRONIC PLC	13,600.0000	113.450000			1,218,552	1,542,920		
12/31/2019	MEDTRONIC PLC	4,155.0000	36.900000			145,892	153,320		

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				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	MEIJI HOLDINGS CO LTD	400.0000	67.908900			32,491	27,164		
12/31/2019	MEITUAN DIANPING	2,100.0000	13.077851			16,372	27,463		
12/31/2019	MELROSE INDUSTRIES PLC	12,129.0000	3.180725			26,286	38,579		
12/31/2019	MERCK KGAA	373.0000	118.255375			39,239	44,109		
12/31/2019	METHANEX CORP	180.0000	38.673607			8,619	6,961		
12/31/2019	METRO INC	793.0000	41.326393			27,698	32,772		
12/31/2019	METROPOLITAN LIFE GLOBAL 144A	220,000.0000	102.895000					216,341	226,369
12/31/2019	METROPOLITAN LIFE GLOBAL 144A	220,000.0000	100.032000					217,879	220,070
12/31/2019	METROPOLITAN LIFE GLOBAL 144A	135,000.0000	102.814000					134,977	138,799
12/31/2019	MICRO FOCUS INTERNATIONAL PLC	872.0000	14.092691			16,174	12,289		
12/31/2019	MICRON TECHNOLOGY INC	27,000.0000	53.780000			1,145,284	1,452,060		
12/31/2019	MICRON TECHNOLOGY INC	736.0000	121.250000			67,862	89,240		
12/31/2019	MICROSOFT CORP	26,525.0000	157.700000			2,424,475	4,182,993		
12/31/2019	MICROSOFT CORP	26,900.0000	157.700000			1,810,472	4,242,130		
12/31/2019	MICROSOFT CORP	5,500.0000	5.024413			31,627	27,634		
12/31/2019	MICROSOFT CORP	267.0000	306.640000			71,216	81,873		
12/31/2019	MILLICOM INTERNATIONAL CELLULA	281.0000	47.921974			16,464	13,466		
12/31/2019	MINEBEA MITSUMI INC	800.0000	20.952380			11,786	16,762		
12/31/2019	MIRVAC GROUP	6,829.0000	2.235381			11,063	15,265		
12/31/2019	MIRVAC GROUP	5,114.0000	115.630000			362,019	591,332		
12/31/2019	MISC BHD	5,500.0000	2.041315			10,329	11,227		
12/31/2019	MISUMI GROUP INC	800.0000	25.047158			17,413	20,038		
12/31/2019	mitsubishi chemical holdings c	2,100.0000	7.522429			15,741	15,797		
12/31/2019	MITSUBISHI ELECTRIC CORP	4,100.0000	13.788819			45,705	56,534		
12/31/2019	MITSUBISHI ESTATE CO LTD	1,800.0000	19.227052			28,338	34,609		
12/31/2019	MITSUBISHI HEAVY INDUSTRIES LT	700.0000	39.089026			25,804	27,362		
12/31/2019	MITSUBISHI UFJ FINANCIAL GROUP	22,500.0000	5.458477			111,067	122,816		
12/31/2019	MITSUI CHEMICALS INC	1,000.0000	24.660685			22,858	24,661		
12/31/2019	MITSUI FUDOSAN CO LTD	2,100.0000	24.587071			47,594	51,633		
12/31/2019	MIZUHO FINANCIAL GROUP INC	49,300.0000	1.548654			76,888	76,349		
12/31/2019	MONCLER SPA	579.0000	44.978575			19,891	26,043		
12/31/2019	MONDI PLC	601.0000	23.481194			12,519	14,112		
12/31/2019	MONSTER BEVERAGE CORP	11,025.0000	63.550000			626,302	700,639		
12/31/2019	MONSTER BEVERAGE CORP	4,200.0000	4.072946			17,434	17,106		
12/31/2019	MORGAN STANLEY	320,000.0000	102.809000					315,531	328,989
12/31/2019	MORGAN STANLEY CAPITAL I C4 A4	90,000.0000	101.617000					90,759	91,455
12/31/2019	MOWI ASA	1,491.0000	25.969297			31,993	38,720		
12/31/2019	MR PRICE GROUP LTD	1,070.0000	13.051096			18,615	13,965		
12/31/2019	MS&AD INSURANCE GROUP HOLDINGS	1,200.0000	33.227512			34,651	39,873		
12/31/2019	MTN GROUP LTD	4,446.0000	5.899095			27,968	26,227		
12/31/2019	MTR CORP LTD	4,500.0000	5.910059			23,832	26,595		
12/31/2019	MTU AERO ENGINES AG	89.0000	285.788500			16,281	25,435		
12/31/2019	MUENCHENER RUECKVERSICHERUNGS-	284.0000	295.217500			60,755	83,842		
12/31/2019	MULTICHOICE GROUP	779.0000	8.331247			6,571	6,490		
12/31/2019	MULTIPLAN EMPREENDIMENTOS IMOB	1,500.0000	8.228304			9,931	12,342		
12/31/2019	MURATA MANUFACTURING CO LTD	1,100.0000	62.074992			49,501	68,282		
12/31/2019	NAGOYA RAILROAD CO LTD	400.0000	31.193926			10,306	12,478		
12/31/2019	NASPERS LTD	842.0000	163.820931			173,298	137,937		
12/31/2019	NATIONAL AUSTRALIA BANK LTD	5,051.0000	17.313659			84,376	87,451		
12/31/2019	NATIONAL AUSTRALIA BANK LTD	37,459.0000	53.800000			1,950,869	2,015,294		
12/31/2019	NATIONAL BANK OF CANADA	952.0000	55.585117			40,221	52,917		

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12/31/2019	NATIONAL GRID PLC	5,277.0000	12.509614			51,588	66,013		
12/31/2019	NATIONWIDE FINANCIAL SERV 144A	220,000.0000	103.873000					227,999	228,521
12/31/2019	NATIXIS SA	4,216.0000	4.442855			20,503	18,731		
12/31/2019	NATURA & CO HOLDING SA	500.0000	9.612947			4,272	4,806		
12/31/2019	NATWEST GROUP PLC	106,661.0000	3.183374			341,123	339,542		
12/31/2019	NATWEST GROUP PLC	11,717.0000	3.183374			32,105	37,300		
12/31/2019	NATWEST GROUP PLC	200.0000	61.470000			10,052	12,294		
12/31/2019	NAVER CORP	261.0000	161.269348			28,210	42,091		
12/31/2019	NCSOFT CORP	33.0000	467.810815			14,031	15,438		
12/31/2019	NEDBANK GROUP LTD	1,424.0000	15.325205			27,256	21,823		
12/31/2019	NELES OYJ	470.0000	39.489550			13,548	18,560		
12/31/2019	NEPI ROCKCASTLE PLC	1,927.0000	8.855437			16,650	17,064		
12/31/2019	NESTE OYJ	906.0000	34.819950			25,059	31,547		
12/31/2019	NESTLE MALAYSIA BHD	600.0000	35.936927			21,011	21,562		
12/31/2019	NESTLE SA	10,800.0000	108.204678			877,612	1,168,611		
12/31/2019	NESTLE SA	6,170.0000	108.204678			516,301	667,623		
12/31/2019	NESTLE SA	100.0000	36.250000			4,883	3,625		
12/31/2019	NEUROCRINE BIOSCIENCES INC	4,760.0000	107.490000			412,617	511,652		
12/31/2019	NEUROCRINE BIOSCIENCES INC	4,170.0000	0.000000			-	-		
12/31/2019	NEW CHINA LIFE INSURANCE CO LT	1,500.0000	4.299392			6,529	6,449		
12/31/2019	NEW WORLD DEVELOPMENT CO LTD	13,000.0000	1.370672			17,642	17,819		
12/31/2019	NEW YORK LIFE GLOBAL FUND 144A	220,000.0000	99.904000					211,968	219,789
12/31/2019	NEWCREST MINING LTD	2,086.0000	21.264238			33,170	44,357		
12/31/2019	NEXON CO LTD	900.0000	13.342535			10,943	12,008		
12/31/2019	NEXT PLC	277.0000	92.970955			14,369	25,753		
12/31/2019	NGK INSULATORS LTD	600.0000	17.520128			7,987	10,512		
12/31/2019	NH FOODS LTD	400.0000	41.499883			14,560	16,600		
12/31/2019	NICE LTD	120.0000	155.066590			12,819	18,608		
12/31/2019	NIDEC CORP	400.0000	138.072229			45,699	55,229		
12/31/2019	NIKON CORP	1,400.0000	12.367149			20,919	17,314		
12/31/2019	NINTENDO CO LTD	200.0000	404.600860			52,778	80,920		
12/31/2019	NIPPON BUILDING FUND INC	3.0000	7,315.389660			19,769	21,946		
12/31/2019	NIPPON EXPRESS CO LTD	300.0000	59.075222			17,294	17,723		
12/31/2019	NIPPON PAINT HOLDINGS CO LTD	600.0000	51.897859			20,591	31,139		
12/31/2019	NIPPON SANJO HOLDINGS CORP	300.0000	22.341844			6,227	6,703		
12/31/2019	NIPPON TELEGRAPH & TELEPHONE C	2,200.0000	25.369219			44,249	55,812		
12/31/2019	NISSAN CHEMICAL CORP	400.0000	42.282032			21,127	16,913		
12/31/2019	NISSAN MOTOR CO LTD	4,800.0000	5.853232			38,764	28,096		
12/31/2019	NISSHIN SEIFUN GROUP INC	1,200.0000	17.538532			25,054	21,046		
12/31/2019	NISSIN FOODS HOLDINGS CO LTD	300.0000	74.718194			18,777	22,415		
12/31/2019	NITORI HOLDINGS CO LTD	200.0000	158.500109			25,894	31,700		
12/31/2019	NITTO DENKO CORP	200.0000	56.866803			9,938	11,373		
12/31/2019	NMC HEALTH PLC	500.0000	23.408333			17,594	11,704		
12/31/2019	NN GROUP NV	897.0000	37.962950			35,589	34,053		
12/31/2019	NOKIA OYJ	11,940.0000	3.699760			70,066	44,175		
12/31/2019	NOMURA HOLDINGS INC	9,000.0000	5.184265			35,037	46,658		
12/31/2019	NOMURA REAL ESTATE HOLDINGS IN	1,100.0000	24.108580			20,494	26,519		
12/31/2019	NOMURA REAL ESTATE MASTER FUND	6.0000	1,707.844429			11,166	10,247		
12/31/2019	NOMURA RESEARCH INSTITUTE LTD	900.0000	21.513687			12,151	19,362		
12/31/2019	NORDEA BANK ABP	6,125.0000	8.080290			52,921	49,492		
12/31/2019	NORSK HYDRO ASA	2,265.0000	3.714452			10,184	8,413		

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12/31/2019	NORTHROP GRUMMAN CORP	220,000.0000	101.516000					217,518	223,335
12/31/2019	NOTRE DAME INTERMEDICA PARTICI	900.0000	16.966217			11,744	15,270		
12/31/2019	NOVARTIS AG	4,384.0000	94.903702			334,601	416,058		
12/31/2019	NOVO NORDISK A/S	3,354.0000	58.081719			154,561	194,806		
12/31/2019	NOVOZYMES A/S	655.0000	48.971008			29,803	32,076		
12/31/2019	NSK LTD	1,800.0000	9.579020			14,897	17,242		
12/31/2019	NTT DATA CORP	2,300.0000	13.498964			26,387	31,048		
12/31/2019	NTT DOCOMO INC	2,500.0000	27.954910			56,222	69,887		
12/31/2019	NUTRIEN LTD	1,327.0000	47.942934			61,936	63,620		
12/31/2019	OBAYASHI CORP	2,400.0000	11.198527			22,033	26,876		
12/31/2019	OBIC CO LTD	100.0000	135.817800			11,264	13,582		
12/31/2019	OCADO GROUP PLC	1,062.0000	16.943553			16,310	17,994		
12/31/2019	ODAKYU ELECTRIC RAILWAY CO LTD	600.0000	23.473659			12,777	14,084		
12/31/2019	OJI HOLDINGS CORP	1,600.0000	5.475040			8,129	8,760		
12/31/2019	OLYMPUS CORP	3,200.0000	15.541752			25,229	49,734		
12/31/2019	OMRON CORP	500.0000	59.075222			18,200	29,538		
12/31/2019	ONEX CORP	439.0000	63.366108			24,120	27,818		
12/31/2019	ONO PHARMACEUTICAL CO LTD	1,300.0000	23.022773			27,491	29,930		
12/31/2019	OPEN TEXT CORPORATION	901.0000	44.125699			29,845	39,757		
12/31/2019	ORANGE SA	3,585.0000	14.727200			57,454	52,797		
12/31/2019	ORBITA ADVANCE CORP SAB DE CV	9,000.0000	2.135141			18,126	19,216		
12/31/2019	ORICA LTD	1,683.0000	15.450841			23,114	26,004		
12/31/2019	ORICA LTD	21,374.0000	52.980000			1,013,174	1,132,395		
12/31/2019	ORIENTAL LAND CO LTD/JAPAN	500.0000	136.922010			50,072	68,461		
12/31/2019	ORION OYJ	248.0000	46.325575			8,742	11,489		
12/31/2019	ORIX CORP	3,000.0000	16.664366			44,464	49,993		
12/31/2019	ORKLA ASA	3,712.0000	10.123701			29,535	37,579		
12/31/2019	ORSTED A/S	487.0000	103.500075			33,205	50,405		
12/31/2019	OTP BANK NYRT	1,040.0000	52.372691			42,392	54,468		
12/31/2019	OTSUKA HOLDINGS CO LTD	900.0000	44.932135			37,610	40,439		
12/31/2019	OVERSEA-CHINESE BANKING CORP L	7,700.0000	8.165694			63,574	62,876		
12/31/2019	PALO ALTO NETWORKS INC	5,275.0000	231.250000			1,223,724	1,219,844		
12/31/2019	PALO ALTO NETWORKS INC	10,480.0000	6.130000			48,707	64,242		
12/31/2019	PAN PACIFIC INTERNATIONAL HOLD	1,200.0000	16.664366			18,884	19,997		
12/31/2019	PANASONIC CORP	5,000.0000	9.473200			45,072	47,366		
12/31/2019	PANDORA A/S	244.0000	43.533123			9,276	10,622		
12/31/2019	PARTNERS GROUP HOLDING AG	55.0000	916.404192			34,041	50,402		
12/31/2019	PAYPAL HOLDINGS INC	17,975.0000	108.170000			1,349,487	1,944,356		
12/31/2019	PAYPAL HOLDINGS INC	4,648.0000	18.380000			92,499	85,430		
12/31/2019	PEARSON PLC	1,971.0000	8.438658			24,592	16,633		
12/31/2019	PEMBINA PIPELINE CORP	1,020.0000	37.115867			30,784	37,858		
12/31/2019	PEPSICO INC	6,500.0000	136.670000			726,748	888,355		
12/31/2019	PEPSICO INC	300.0000	35.370000			11,173	10,611		
12/31/2019	PEPTIDREAM INC	100.0000	51.529789			4,631	5,153		
12/31/2019	PERNOD RICARD SA	425.0000	178.926500			68,715	76,044		
12/31/2019	PERSIMMON PLC	308.0000	35.702013			7,182	10,996		
12/31/2019	PETROBRAS DISTRIBUIDORA SA	1,500.0000	7.475079			10,087	11,213		
12/31/2019	PETRONAS CHEMICALS GROUP BHD	11,500.0000	1.796846			24,778	20,664		
12/31/2019	PETRONAS DAGANGAN BHD	1,500.0000	5.647231			8,464	8,471		
12/31/2019	PETRONAS GAS BHD	3,200.0000	4.063073			11,717	13,002		
12/31/2019	PEUGEOT SA	1,386.0000	23.909250			30,598	33,138		

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12/31/2019	PFIZER INC	53,900.0000	39.180000			1,938,640	2,111,802		
12/31/2019	PFIZER INC	5,144.0000	15.847000			66,720	81,517		
12/31/2019	PHILIP MORRIS INTERNATIONAL IN	325,000.0000	101.075000					318,794	328,494
12/31/2019	PICC PROPERTY & CASUALTY CO LT	16,000.0000	1.205113			15,987	19,282		
12/31/2019	PING AN INSURANCE GROUP CO OF	13,000.0000	11.820119			124,838	153,662		
12/31/2019	PNC BANK NA	220,000.0000	100.596000					217,129	221,311
12/31/2019	POLA ORBIS HOLDINGS INC	300.0000	24.053369			7,926	7,216		
12/31/2019	PORSCHE AUTOMOBIL HOLDING SE	287.0000	74.803400			17,534	21,469		
12/31/2019	PORTO SEGURO SA	400.0000	15.596490			5,508	6,239		
12/31/2019	POSTE ITALIANE SPA	1,541.0000	11.359700			16,272	17,505		
12/31/2019	POWER ASSETS HOLDINGS LTD	2,000.0000	7.315383			14,011	14,631		
12/31/2019	POWER CORP CANADA	300.0000	25.795257			6,112	7,739		
12/31/2019	POWER FINANCIAL CORP	1,173.0000	26.944284			22,999	31,606		
12/31/2019	PPB GROUP BHD	2,900.0000	4.605794			12,198	13,357		
12/31/2019	PRESS METAL ALUMINIUM HOLDINGS	10,100.0000	1.136780			11,484	11,481		
12/31/2019	PRICOA GLOBAL FUNDING I 144A	220,000.0000	101.215000					212,425	222,673
12/31/2019	PROTECTIVE LIFE GLOBAL FU 144A	140,000.0000	103.174000					140,000	144,444
12/31/2019	PROXIMUS SADP	251.0000	28.646200			6,709	7,190		
12/31/2019	PRUDENTIAL PLC	4,891.0000	19.195628			74,423	93,886		
12/31/2019	PRYSMIAN SPA	598.0000	24.122525			12,705	14,425		
12/31/2019	PSEG POWER LLC	320,000.0000	101.150000					319,189	323,680
12/31/2019	PUBLIC BANK BHD	7,700.0000	4.752475			45,956	36,594		
12/31/2019	PUBLIC SERVICE ELECTRIC AND GA	110,000.0000	104.162000					110,363	114,578
12/31/2019	PUBLIC SERVICE ENTERPRISE GROU	330,000.0000	102.232000					332,613	337,366
12/31/2019	PUBLICIS GROUPE SA	543.0000	45.304100			31,599	24,600		
12/31/2019	PUMA SE	200.0000	76.722875			9,579	15,345		
12/31/2019	QBE INSURANCE GROUP LTD	2,903.0000	9.053996			21,392	26,284		
12/31/2019	QIAGEN NV	651.0000	34.191350			22,055	22,259		
12/31/2019	RAIA DROGASIL SA	700.0000	27.752505			14,690	19,427		
12/31/2019	RAIFFEISEN BANK INTERNATIONAL	772.0000	25.132775			20,412	19,403		
12/31/2019	RAKUTEN INC	2,000.0000	8.603634			14,476	17,207		
12/31/2019	RAMSAY HEALTH CARE LTD	359.0000	50.984964			14,694	18,304		
12/31/2019	RAMSAY HEALTH CARE LTD	13,543.0000	226.500000			2,283,721	3,067,490		
12/31/2019	RAND MERCHANT INVESTMENT HOLDI	4,954.0000	2.205456			12,314	10,926		
12/31/2019	RANDSTAD NV	177.0000	61.108900			8,396	10,816		
12/31/2019	RAYTHEON TECHNOLOGIES CORP	220,000.0000	105.441000					225,748	231,970
12/31/2019	REA GROUP LTD	55.0000	72.825620			3,910	4,005		
12/31/2019	REA GROUP LTD	26,621.0000	36.600000			925,986	974,329		
12/31/2019	RECKITT BENCKISER GROUP PLC	1,373.0000	81.193928			108,304	111,479		
12/31/2019	RECORDATI INDUSTRIA CHIMICA E	288.0000	42.172325			9,877	12,146		
12/31/2019	RECRUIT HOLDINGS CO LTD	2,500.0000	37.717965			65,746	94,295		
12/31/2019	RED ELECTRICA CORP SA	1,091.0000	20.120813			24,338	21,952		
12/31/2019	REDEFINE PROPERTIES LTD	17,825.0000	0.540637			11,999	9,637		
12/31/2019	RELX PLC	3,781.0000	25.243111			77,396	95,444		
12/31/2019	REMGRO LTD	1,005.0000	13.945007			13,461	14,015		
12/31/2019	REMY COINTREAU SA	69.0000	122.913750			7,978	8,481		
12/31/2019	RENAULT SA	523.0000	47.347050			33,677	24,763		
12/31/2019	RENEAS ELECTRONICS CORP	1,900.0000	6.901311			9,242	13,112		
12/31/2019	RENTOKIL INITIAL PLC	2,194.0000	6.001118			12,466	13,166		
12/31/2019	RESONA HOLDINGS INC	4,000.0000	4.407637			19,383	17,631		
12/31/2019	RESTAURANT BRANDS INTL INC	680.0000	63.836514			35,747	43,409		

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12/31/2019	RICHTER GEDEON NYRT	1,422.0000	21.773870			29,205	30,962		
12/31/2019	RICOH CO LTD	2,400.0000	10.968484			23,393	26,324		
12/31/2019	RIO TINTO LTD	964.0000	70.576180			66,989	68,035		
12/31/2019	RIO TINTO LTD	12,877.0000	151.430000			1,319,652	1,949,964		
12/31/2019	RIO TINTO PLC	2,448.0000	59.653493			145,951	146,032		
12/31/2019	RIOCAN REIT	470.0000	20.636206			8,175	9,699		
12/31/2019	RMB HOLDINGS LTD	1,549.0000	5.752494			8,737	8,911		
12/31/2019	ROCHE HOLDING AG	7,100.0000	324.262921			1,827,182	2,302,267		
12/31/2019	ROCHE HOLDING AG	1,417.0000	324.262921			354,068	459,481		
12/31/2019	ROCHE HOLDING AG	720.0000	126.400000			104,463	91,008		
12/31/2019	ROCKWELL COLLINS INC	210,000.0000	101.761000					208,475	213,698
12/31/2019	ROGERS COMMUNICATIONS INC	768.0000	49.724311			39,665	38,188		
12/31/2019	ROHM CO LTD	200.0000	80.791347			12,561	16,158		
12/31/2019	ROLLS-ROYCE HOLDINGS PLC	3,790.0000	9.050692			40,457	34,302		
12/31/2019	ROYAL BANK OF CANADA	2,786.0000	79.236553			191,650	220,753		
12/31/2019	ROYAL CARIBBEAN CRUISES LTD	7,400.0000	133.510000			747,312	987,974		
12/31/2019	ROYAL CARIBBEAN CRUISES LTD	14,600.0000	2.478822			29,574	36,191		
12/31/2019	RSA INSURANCE GROUP PLC	3,860.0000	7.492786			25,356	28,922		
12/31/2019	RUMO SA	2,700.0000	6.488180			11,292	17,518		
12/31/2019	RYDER SYSTEM INC	110,000.0000	100.238000					106,396	110,262
12/31/2019	RYDER SYSTEM INC	110,000.0000	104.490000					109,140	114,939
12/31/2019	RYDER SYSTEM INC	325,000.0000	101.539000					325,736	330,002
12/31/2019	RYOHIN KEIKAKU CO LTD	1,000.0000	23.519668			24,004	23,520		
12/31/2019	SAFRAN SA	683.0000	154.512125			82,415	105,532		
12/31/2019	SAGE GROUP PLC/THE	1,739.0000	9.922378			13,565	17,255		
12/31/2019	SAMPO OYJ	907.0000	43.676475			40,067	39,615		
12/31/2019	SAMSUNG BIOLOGICS CO LTD	37.0000	374.421595			12,261	13,854		
12/31/2019	SAMSUNG C&T CORP	173.0000	93.821578			16,080	16,231		
12/31/2019	SAMSUNG ELECTRO-MECHANICS CO L	122.0000	108.089375			11,227	13,187		
12/31/2019	SAMSUNG ELECTRONICS CO LTD	3,100.0000	1,193.000000			2,495,383	3,698,300		
12/31/2019	SAMSUNG ELECTRONICS CO LTD	9,704.0000	48.251097			338,381	468,229		
12/31/2019	SAMSUNG ELECTRONICS CO LTD	2,024.0000	15.100000			32,461	30,562		
12/31/2019	SAMSUNG ELECTRONICS CO LTD	1,978.0000	39.258061			56,377	77,652		
12/31/2019	SAMSUNG FIRE & MARINE INSURANC	125.0000	210.558103			29,968	26,320		
12/31/2019	SAMSUNG LIFE INSURANCE CO LTD	347.0000	64.421268			22,570	22,354		
12/31/2019	SAMSUNG SDI CO LTD	114.0000	204.072740			22,896	23,264		
12/31/2019	SAMSUNG SDS CO LTD	74.0000	168.187068			13,132	12,446		
12/31/2019	SANDS CHINA LTD	8,400.0000	5.345363			37,138	44,901		
12/31/2019	SANDVIK AB	2,806.0000	19.511700			40,659	54,750		
12/31/2019	SANLAM LTD	3,512.0000	5.656667			18,814	19,866		
12/31/2019	SANOFI	2,256.0000	100.598450			192,984	226,950		
12/31/2019	SANTEN PHARMACEUTICAL CO LTD	1,600.0000	19.194846			23,859	30,712		
12/31/2019	SAP SE	1,932.0000	135.059200			197,598	260,934		
12/31/2019	SAPUTO INC	979.0000	31.000578			28,788	30,350		
12/31/2019	SARTORIUS AG	73.0000	214.173000			9,469	15,635		
12/31/2019	SARTORIUS STEDIM BIOTECH	125.0000	165.793250			20,966	20,724		
12/31/2019	SATS LTD	6,700.0000	3.763061			22,868	25,213		
12/31/2019	SBI HOLDINGS INC/JAPAN	700.0000	21.302047			15,990	14,911		
12/31/2019	SCENTRE GROUP	14,359.0000	2.692299			40,263	38,659		
12/31/2019	SCENTRE GROUP	2,129.0000	9.800000			34,272	20,864		
12/31/2019	SCHINDLER HOLDING AG	80.0000	254.246915			16,956	20,340		

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12/31/2019	SCHINDLER HOLDING AG	39.0000	244.952755			8,123	9,553		
12/31/2019	SCHNEIDER ELECTRIC SE	1,071.0000	102.708750			72,690	110,001		
12/31/2019	SCOR SE	582.0000	42.003950			25,728	24,446		
12/31/2019	SECOM CO LTD	500.0000	89.965490			42,227	44,983		
12/31/2019	SECURITAS AB	1,660.0000	17.246997			25,312	28,630		
12/31/2019	SEEK LTD	370.0000	15.851523			4,459	5,865		
12/31/2019	SEEK LTD	1,400.0000	23.350000			27,097	32,690		
12/31/2019	SEGRO PLC	1,711.0000	11.885657			13,019	20,336		
12/31/2019	SEIBU HOLDINGS INC	600.0000	16.517138			10,240	9,910		
12/31/2019	SEIKO EPSON CORP	1,300.0000	15.228893			18,854	19,798		
12/31/2019	SEKISUI CHEMICAL CO LTD	700.0000	17.520128			10,259	12,264		
12/31/2019	SEKISUI HOUSE LTD	900.0000	21.472279			13,035	19,325		
12/31/2019	SEBACORP INDUSTRIES LTD	10,600.0000	1.703045			20,169	18,052		
12/31/2019	SEMICONDUCTOR MANUFACTURING IN	8,500.0000	1.532380			7,507	13,025		
12/31/2019	SES SA	1,062.0000	14.031250			20,532	14,901		
12/31/2019	SEVEN & I HOLDINGS CO LTD	1,400.0000	36.834597			57,185	51,568		
12/31/2019	SEVERN TRENT PLC	515.0000	33.317463			11,880	17,158		
12/31/2019	SGS SA	13.0000	2,737.646511			29,664	35,589		
12/31/2019	SHANGHAI FOSUN PHARMACEUTICAL	2,500.0000	3.015991			9,650	7,540		
12/31/2019	SHANGHAI INDUSTRIAL HOLDINGS L	8,000.0000	1.925101			15,818	15,401		
12/31/2019	SHANGHAI PHARMACEUTICALS HOLDI	3,700.0000	1.945635			7,707	7,199		
12/31/2019	SHAW COMMUNICATIONS INC	1,469.0000	20.320031			27,446	29,850		
12/31/2019	SHENZHEN INTERNATIONAL HOLDING	4,000.0000	2.197182			7,453	8,789		
12/31/2019	SHENZHEN INVESTMENT LTD	86,000.0000	0.400421			28,031	34,436		
12/31/2019	SHENZHOU INTERNATIONAL GROUP H	2,000.0000	14.617932			23,360	29,236		
12/31/2019	SHIMANO INC	200.0000	163.515062			27,265	32,703		
12/31/2019	SHIMIZU CORP	2,200.0000	10.269151			17,785	22,592		
12/31/2019	SHIN-ETSU CHEMICAL CO LTD	700.0000	110.973081			53,721	77,681		
12/31/2019	SHINHAN FINANCIAL GROUP CO LTD	9,500.0000	38.070000			395,765	361,665		
12/31/2019	SHINHAN FINANCIAL GROUP CO LTD	744.0000	37.485395			26,024	27,889		
12/31/2019	SHINHAN FINANCIAL GROUP CO LTD	6,233.0000	11.057000			63,304	68,918		
12/31/2019	SHINSEI BANK LTD	300.0000	15.412928			4,202	4,624		
12/31/2019	SHIONOGI & CO LTD	600.0000	62.268229			35,105	37,361		
12/31/2019	SHIRE ACQUISITIONS INVESTMENTS	290,000.0000	100.569000					286,773	291,650
12/31/2019	SHISEIDO CO LTD	700.0000	71.608003			42,368	50,126		
12/31/2019	SHOPIFY INC	200.0000	398.149219			31,877	79,630		
12/31/2019	SHOPRITE HOLDINGS LTD	762.0000	9.004899			9,973	6,862		
12/31/2019	SIAM COMMERCIAL BANK PCL/THE	145,600.0000	4.072946			630,864	593,021		
12/31/2019	SIAM COMMERCIAL BANK PCL/THE	100.0000	33.120000			4,392	3,312		
12/31/2019	SIBANYE GOLD LTD	3,920.0000	2.566596			7,594	10,061		
12/31/2019	SIEMENS AG	1,327.0000	130.816150			148,454	173,593		
12/31/2019	SIEMENS GAMESA RENEWABLE ENERG	1,107.0000	17.550288			14,859	19,428		
12/31/2019	SIEMENS HEALTHINEERS AG	613.0000	48.065450			25,156	29,464		
12/31/2019	SIKA AG	337.0000	187.793670			42,816	63,286		
12/31/2019	SIME DARBY PLANTATION BHD	18,600.0000	1.332355			21,907	24,782		
12/31/2019	SIMON PROPERTY GROUP LP	110,000.0000	102.229000					106,590	112,452
12/31/2019	SINGAPORE AIRLINES LTD	3,900.0000	6.722939			27,112	26,219		
12/31/2019	SINGAPORE EXCHANGE LTD	5,500.0000	6.589075			29,125	36,240		
12/31/2019	SINGAPORE PRESS HOLDINGS LTD	11,500.0000	1.621240			20,427	18,644		
12/31/2019	SINGAPORE TECHNOLOGIES ENGINEE	6,000.0000	2.930131			15,303	17,581		
12/31/2019	SINGAPORE TELECOMMUNICATIONS L	18,700.0000	2.506228			40,278	46,866		

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12/31/2019	SINO BIOPHARMACEUTICAL LTD	16,000.0000	1.398907			10,715	22,383		
12/31/2019	SINO LAND CO LTD	16,000.0000	1.452809			28,990	23,245		
12/31/2019	SINO-OCEAN GROUP HOLDING LTD	35,500.0000	0.401704			13,556	14,261		
12/31/2019	SINOPHARM GROUP CO LTD	2,400.0000	3.651274			9,743	8,763		
12/31/2019	SIRIUS XM HOLDINGS INC	140,950.0000	7.150000			828,351	1,007,793		
12/31/2019	SIRIUS XM HOLDINGS INC	6,265.0000	7.560000			69,497	47,363		
12/31/2019	SJM HOLDINGS LTD	9,000.0000	1.138376			10,106	10,245		
12/31/2019	SK HYNIX INC	1,235.0000	81.369682			68,257	100,492		
12/31/2019	SK TELECOM CO LTD	109.0000	205.802170			25,872	22,432		
12/31/2019	SKANDINAVISKA ENSKILDA BANKEN	4,165.0000	9.409201			40,570	39,189		
12/31/2019	SKANSKA AB	417.0000	22.614984			6,542	9,430		
12/31/2019	SKF AB	908.0000	20.232773			14,709	18,371		
12/31/2019	SMC CORP/JAPAN	100.0000	462.755907			29,818	46,276		
12/31/2019	SMITH & NEPHEW PLC	1,843.0000	24.276044			33,657	44,741		
12/31/2019	SMITHS GROUP PLC	1,272.0000	22.348533			22,860	28,427		
12/31/2019	SMURFIT KAPPA GROUP PLC	448.0000	38.456850			12,109	17,229		
12/31/2019	SNAM SPA	4,712.0000	5.260035			20,851	24,785		
12/31/2019	SOCIETE GENERALE SA	1,734.0000	34.814338			55,611	60,368		
12/31/2019	SODEXO SA	291.0000	118.592125			29,931	34,510		
12/31/2019	SOFTBANK CORP	3,100.0000	13.429951			39,918	41,633		
12/31/2019	SOFTBANK GROUP CORP	3,200.0000	43.763513			107,362	140,043		
12/31/2019	SOHO CHINA LTD	13,500.0000	0.377320			4,796	5,094		
12/31/2019	S-OIL CORP	101.0000	82.407340			9,295	8,323		
12/31/2019	SOLVAY SA	144.0000	115.954250			14,537	16,697		
12/31/2019	SOMPO HOLDINGS INC	800.0000	39.567516			27,309	31,654		
12/31/2019	SONIC HEALTHCARE LTD	1,830.0000	20.209813			28,555	36,984		
12/31/2019	SONOVA HOLDING AG	175.0000	228.739609			28,721	40,029		
12/31/2019	SONY CORP	2,500.0000	68.102137			121,784	170,255		
12/31/2019	SONY FINANCIAL HOLDINGS INC	400.0000	24.182194			9,188	9,673		
12/31/2019	SOUTHERN CALIFORNIA EDISON CO	73,214.2800	98.785000					70,286	72,325
12/31/2019	ST JAMES'S PLACE PLC	1,869.0000	15.426714			23,094	28,833		
12/31/2019	STANDARD BANK GROUP LTD	3,248.0000	12.037044			40,996	39,096		
12/31/2019	STANDARD CHARTERED PLC	6,071.0000	9.437519			46,174	57,295		
12/31/2019	STANDARD LIFE ABERDEEN PLC	7,681.0000	4.346505			25,568	33,386		
12/31/2019	STARS GROUP INC (THE)	300.0000	26.134567			4,642	7,840		
12/31/2019	STMICROELECTRONICS NV	1,747.0000	26.906325			24,215	47,005		
12/31/2019	STOCKLAND	3,806.0000	3.247629			9,794	12,360		
12/31/2019	STORA ENSO OYJ	1,464.0000	14.553213			18,052	21,306		
12/31/2019	STRAUMANN HOLDING AG	21.0000	981.463314			12,999	20,611		
12/31/2019	SUBARU CORP	1,800.0000	24.968943			38,918	44,944		
12/31/2019	SUEZ SA	1,561.0000	15.136913			20,976	23,629		
12/31/2019	SUL AMERICA SA	600.0000	14.895468			7,002	8,937		
12/31/2019	SUMITOMO CHEMICAL CO LTD	5,400.0000	4.582471			26,405	24,745		
12/31/2019	SUMITOMO DAINIPPON PHARMA CO L	400.0000	19.599723			13,176	7,840		
12/31/2019	SUMITOMO ELECTRIC INDUSTRIES L	2,400.0000	15.201288			32,144	36,483		
12/31/2019	SUMITOMO HEAVY INDUSTRIES LTD	600.0000	28.801471			18,379	17,281		
12/31/2019	SUMITOMO METAL MINING CO LTD	17,500.0000	32.610995			650,499	570,692		
12/31/2019	SUMITOMO METAL MINING CO LTD	800.0000	32.610995			21,847	26,089		
12/31/2019	SUMITOMO METAL MINING CO LTD	2,679.0000	7.447000			25,731	19,951		
12/31/2019	SUMITOMO MITSUI FINANCIAL GROU	35,600.0000	37.156658			1,416,006	1,322,777		
12/31/2019	SUMITOMO MITSUI FINANCIAL GROU	2,300.0000	37.156658			77,555	85,460		

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12/31/2019	SUMITOMO MITSUI FINANCIAL GROU	1,429.0000	18.187600			27,591	25,990		
12/31/2019	SUMITOMO MITSUI TRUST HOLDINGS	800.0000	39.907981			28,779	31,926		
12/31/2019	SUMITOMO REALTY & DEVELOPMENT	900.0000	35.077063			33,217	31,569		
12/31/2019	SUN HUNG KAI PROPERTIES LTD	3,500.0000	15.310968			51,588	53,588		
12/31/2019	SUN LIFE FINANCIAL INC	1,030.0000	45.660305			33,487	47,030		
12/31/2019	SUNAC CHINA HOLDINGS LTD	5,000.0000	5.974229			16,211	29,871		
12/31/2019	SUNCOR ENERGY INC	57,200.0000	32.820513			2,189,507	1,877,333		
12/31/2019	SUNCOR ENERGY INC	2,300.0000	5.710000			21,226	13,133		
12/31/2019	SUNCORP GROUP LTD	2,801.0000	9.110232			25,568	25,518		
12/31/2019	SUNCORP GROUP LTD	5,962.0000	77.800000			420,855	463,844		
12/31/2019	SUNNY OPTICAL TECHNOLOGY GROUP	1,500.0000	17.313073			13,248	25,970		
12/31/2019	SUNTEC REAL ESTATE INVESTMENT	10,900.0000	1.368386			14,504	14,915		
12/31/2019	SUNTORY BEVERAGE & FOOD LTD	600.0000	41.821945			26,755	25,093		
12/31/2019	SUZANO SA	1,000.0000	9.864022			9,688	9,864		
12/31/2019	SUZUKEN CO LTD/AICHI JAPAN	300.0000	41.085805			15,796	12,326		
12/31/2019	SUZUKI MOTOR CORP	700.0000	42.024383			35,251	29,417		
12/31/2019	SVENSKA HANDELSBANKEN AB	4,881.0000	10.778705			48,104	52,611		
12/31/2019	SWATCH GROUP AG/THE	60.0000	278.824805			18,098	16,729		
12/31/2019	SWEDBANK AB	2,178.0000	14.896833			48,448	32,445		
12/31/2019	SWEDISH MATCH AB	375.0000	51.575411			14,639	19,341		
12/31/2019	SWIRE PACIFIC LTD	2,500.0000	9.291820			27,255	23,230		
12/31/2019	SWIRE PROPERTIES LTD	7,400.0000	3.317590			25,857	24,550		
12/31/2019	SWISS LIFE HOLDING AG	82.0000	501.678112			31,912	41,138		
12/31/2019	SWISS PRIME SITE AG	158.0000	115.557391			12,809	18,258		
12/31/2019	SWISS RE AG	594.0000	112.252801			54,217	66,678		
12/31/2019	SWISSCOM AG	77.0000	529.354056			37,509	40,760		
12/31/2019	SYDNEY AIRPORT	5,576.0000	6.087547			26,695	33,944		
12/31/2019	SYDNEY AIRPORT	1,269.0000	1,847.840000			1,244,599	2,344,909		
12/31/2019	SYMRISE AG	386.0000	105.290500			29,824	40,642		
12/31/2019	SYNCHRONY FINANCIAL	7,828.0000	36.010000			210,270	281,886		
12/31/2019	SYNCHRONY FINANCIAL	1,977.0000	28.500000			51,902	56,345		
12/31/2019	SYSMEX CORP	300.0000	68.543821			16,172	20,563		
12/31/2019	T&D HOLDINGS INC	1,000.0000	12.836438			11,696	12,836		
12/31/2019	TAIHEIYO CEMENT CORP	400.0000	29.583620			12,253	11,833		
12/31/2019	TAISEI CORP	500.0000	41.821945			19,701	20,911		
12/31/2019	TAIWAN SEMICONDUCTOR MANUFACTU	70,700.0000	58.100000			2,135,554	4,107,670		
12/31/2019	TAIWAN SEMICONDUCTOR MANUFACTU	800.0000	37.820000			25,721	30,256		
12/31/2019	TAKEDA PHARMACEUTICAL CO LTD	2,200.0000	39.861972			77,818	87,696		
12/31/2019	TAYLOR WIMPEY PLC	8,277.0000	2.562067			15,336	21,206		
12/31/2019	TC ENERGY CORP	1,860.0000	53.333333			70,969	99,200		
12/31/2019	TDK CORP	200.0000	114.009658			13,813	22,802		
12/31/2019	TECHTRONIC INDUSTRIES CO LTD	3,000.0000	8.156010			15,556	24,468		
12/31/2019	TEIJIN LTD	1,000.0000	18.817575			16,858	18,818		
12/31/2019	TELE2 AB	1,579.0000	14.512261			19,686	22,915		
12/31/2019	TELECOM ITALIA SPA/MILANO	20,804.0000	0.624559			11,786	12,993		
12/31/2019	TELEFONAKTIEBOLAGET LM ERICSSO	6,874.0000	8.712698			60,780	59,891		
12/31/2019	TELEFONICA BRASIL SA	1,700.0000	14.413205			22,390	24,502		
12/31/2019	TELEFONICA SA	8,898.0000	6.989808			75,280	62,195		
12/31/2019	TELENOR ASA	1,159.0000	17.917904			22,032	20,767		
12/31/2019	TELEPERFORMANCE	173.0000	244.031500			27,691	42,217		
12/31/2019	TELIA CO AB	3,379.0000	4.299731			15,866	14,529		

Schedule of Investments
Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	TELSTRA CORP LTD	12,662.0000	2.488443			25,888	31,509		
12/31/2019	TELSTRA CORP LTD	279.0000	144.630000			30,718	40,352		
12/31/2019	TEMENOS AG	114.0000	158.207260			13,558	18,036		
12/31/2019	TENAGA NASIONAL BHD	9,300.0000	3.241657			30,647	30,147		
12/31/2019	TENARIS SA	2,653.0000	11.281125			30,836	29,929		
12/31/2019	TENCENT HOLDINGS LTD	52,450.0000	48.205000			1,392,302	2,528,352		
12/31/2019	TENCENT HOLDINGS LTD	11,700.0000	48.204523			480,610	563,993		
12/31/2019	TENCENT HOLDINGS LTD	924.0000	12.055000			11,702	11,139		
12/31/2019	TERNA RETE ELETTRICA NAZIONALE	5,346.0000	6.683365			30,822	35,729		
12/31/2019	TERUMO CORP	1,200.0000	35.748791			33,831	42,899		
12/31/2019	TESCO PLC	13,930.0000	3.380762			34,040	47,094		
12/31/2019	THALES SA	330.0000	103.853700			38,274	34,272		
12/31/2019	THERMO FISHER SCIENTIFIC INC	3,050.0000	324.870000			715,605	990,854		
12/31/2019	THERMO FISHER SCIENTIFIC INC	6,895.0000	16.440000			77,811	113,354		
12/31/2019	THOMSON REUTERS CORP	614.0000	71.632928			29,453	43,983		
12/31/2019	THYSSENKRUPP AG	1,249.0000	13.514900			23,002	16,880		
12/31/2019	TIGER BRANDS LTD	211.0000	15.068473			4,028	3,179		
12/31/2019	TIM PARTICIPACOES SA	2,000.0000	3.895394			6,415	7,791		
12/31/2019	TINGYI CAYMAN ISLANDS HOLDING	6,000.0000	1.706923			7,766	10,242		
12/31/2019	TOBU RAILWAY CO LTD	900.0000	36.392913			24,649	32,754		
12/31/2019	TOHOKU ELECTRIC POWER CO INC	700.0000	9.956291			9,161	6,969		
12/31/2019	TOKIO MARINE HOLDINGS INC	1,500.0000	56.305496			72,906	84,458		
12/31/2019	TOKYO ELECTRIC POWER CO HOLDIN	4,100.0000	4.297216			25,693	17,619		
12/31/2019	TOKYO ELECTRON LTD	400.0000	220.151821			46,208	88,061		
12/31/2019	TOKYU CORP	1,600.0000	18.578329			26,393	29,725		
12/31/2019	TOKYU FUDOSAN HOLDINGS CORP	2,900.0000	6.947320			16,142	20,147		
12/31/2019	TOPPAN PRINTING CO LTD	500.0000	20.805152			7,288	10,403		
12/31/2019	TORAY INDUSTRIES INC	2,300.0000	6.819415			16,087	15,685		
12/31/2019	TORONTO DOMINION BANK	3,572.0000	56.163486			179,222	200,616		
12/31/2019	TOSHIBA CORP	1,400.0000	34.092476			39,311	47,729		
12/31/2019	TOSOH CORP	1,400.0000	15.596963			18,220	21,836		
12/31/2019	TOTO LTD	400.0000	42.696111			14,523	17,078		
12/31/2019	TOYO SUISAN KAISHA LTD	200.0000	42.604093			6,824	8,521		
12/31/2019	TOYOTA INDUSTRIES CORP	600.0000	58.247065			28,366	34,948		
12/31/2019	TOYOTA MOTOR CORP	3,500.0000	70.982284			206,142	248,438		
12/31/2019	TOYOTA MOTOR CREDIT CORP	210,000.0000	100.779000					205,816	211,636
12/31/2019	TRANSURBAN GROUP	5,917.0000	10.480985			52,551	62,016		
12/31/2019	TRANSURBAN GROUP	10,093.0000	229.930000			2,004,128	2,320,683		
12/31/2019	TREASURY WINE ESTATES LTD	1,694.0000	11.415908			18,118	19,339		
12/31/2019	TREASURY WINE ESTATES LTD	2,872.0000	1,337.020000			2,383,756	3,839,921		
12/31/2019	TREND MICRO INC/JAPAN	400.0000	51.529789			22,072	20,612		
12/31/2019	TRUIST BANK	470,000.0000	101.342000					466,310	476,307
12/31/2019	TRUIST FINANCIAL CORP	340,000.0000	100.664000					339,748	342,258
12/31/2019	TRYG A/S	621.0000	29.668019			15,597	18,424		
12/31/2019	TUI AG	1,046.0000	12.635466			16,040	13,217		
12/31/2019	TYSON FOODS INC	55,000.0000	100.346000					53,191	55,190
12/31/2019	U S TREASURY NOTE	725,000.0000	100.762000	732,760	730,525				
12/31/2019	U S TREASURY NOTE	3,160,000.0000	100.391000	3,141,341	3,172,356				
12/31/2019	U S TREASURY NOTE	4,580,000.0000	99.191000	4,528,113	4,542,948				
12/31/2019	UBISOFT ENTERTAINMENT SA	140.0000	69.123550			12,304	9,677		
12/31/2019	UBS AG/LONDON 144A	220,000.0000	100.341000					216,183	220,750

Schedule of Investments
Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	UBS GROUP AG	7,832.0000	12.624568			98,144	98,876		
12/31/2019	UCB SA	420.0000	79.585250			35,420	33,426		
12/31/2019	ULTRAPAR PARTICIPACOES SA	1,800.0000	6.334054			11,507	11,401		
12/31/2019	UMICORE SA	493.0000	48.671600			20,766	23,995		
12/31/2019	UNIBAIL-RODAMCO-WESTFIELD	314.0000	157.879625			48,999	49,574		
12/31/2019	UNICHARM CORP	1,100.0000	34.046468			34,814	37,451		
12/31/2019	UNICREDIT SPA	4,093.0000	14.614950			46,793	59,819		
12/31/2019	UNILEVER NV	3,216.0000	57.505675			175,055	184,938		
12/31/2019	UNILEVER PLC	2,135.0000	57.633249			112,278	123,047		
12/31/2019	UNION PACIFIC CORP	330,000.0000	104.831000					335,601	345,942
12/31/2019	UNITED INTERNET AG	183.0000	32.866800			8,295	6,015		
12/31/2019	UNITED OVERSEAS BANK LTD	36,978.0000	19.640799			630,543	726,277		
12/31/2019	UNITED OVERSEAS BANK LTD	3,200.0000	19.640799			57,937	62,851		
12/31/2019	UNITED OVERSEAS BANK LTD	167.0000	80.010000			12,889	13,362		
12/31/2019	UNITED UTILITIES GROUP PLC	2,579.0000	12.497692			24,428	32,232		
12/31/2019	UNITEDHEALTH GROUP INC	9,300.0000	293.980000			1,584,912	2,734,014		
12/31/2019	UNITEDHEALTH GROUP INC	11,578.0000	58.100000			423,934	672,682		
12/31/2019	UOL GROUP LTD	1,600.0000	6.187484			7,567	9,900		
12/31/2019	UPM-KYMMENE OYJ	1,316.0000	34.696475			34,380	45,661		
12/31/2019	USS CO LTD	600.0000	19.010811			9,882	11,406		
12/31/2019	VALEO SA	366.0000	35.257725			11,700	12,904		
12/31/2019	VANGUARD FTSE ALL-WORLD EX-U	32,500.0000	53.750000			1,616,223	1,746,875		
12/31/2019	VANGUARD FTSE ALL-WORLD EX-U	1,403.0000	48.200000			45,304	67,625		
12/31/2019	VENTAS REALTY LP	110,000.0000	100.754000					109,399	110,829
12/31/2019	VENTURE CORP LTD	1,300.0000	12.047745			14,107	15,662		
12/31/2019	VEOLIA ENVIRONNEMENT SA	1,300.0000	26.614475			15,611	20,254		
12/31/2019	VERBUND AG	104.0000	50.220650			5,582	5,223		
12/31/2019	VERIZON COMMUNICATIONS INC	25,800.0000	61.400000			1,410,043	1,584,120		
12/31/2019	VERIZON COMMUNICATIONS INC	2,934.0000	12.930000			36,241	37,937		
12/31/2019	VERIZON COMMUNICATIONS INC	320,000.0000	102.640000					322,262	328,448
12/31/2019	VESTAS WIND SYSTEMS A/S	322.0000	101.126634			24,957	32,563		
12/31/2019	VICINITY CENTRES	10,857.0000	1.750346			20,157	19,004		
12/31/2019	VICINITY CENTRES	26,800.0000	2.680000			48,402	71,824		
12/31/2019	VIFOR PHARMA AG	103.0000	182.423710			12,251	18,790		
12/31/2019	VINCI SA	864.0000	111.127500			73,288	96,014		
12/31/2019	VISA INC	14,450.0000	187.900000			1,647,809	2,715,155		
12/31/2019	VISA INC	4,532.0000	12.700000			58,171	57,556		
12/31/2019	VIVENDI SA	2,122.0000	28.982950			51,966	61,502		
12/31/2019	VODACOM GROUP LTD	1,293.0000	8.245432			10,103	10,661		
12/31/2019	VODAFONE GROUP PLC	48,121.0000	1.944203			94,283	93,557		
12/31/2019	VODAFONE GROUP PLC	220,000.0000	105.781000					223,814	232,718
12/31/2019	VOESTALPINE AG	1,074.0000	27.905350			28,812	29,970		
12/31/2019	VOLKSWAGEN AG	436.0000	197.829400			71,724	86,254		
12/31/2019	VOLVO AB	3,671.0000	16.760940			48,405	61,529		
12/31/2019	VONOVIA SE	1,083.0000	53.880000			50,510	58,352		
12/31/2019	WALGREENS BOOTS ALLIANCE INC	35,000.0000	104.240000					35,928	36,484
12/31/2019	WAL-MART DE MEXICO SAB DE CV	13,300.0000	2.867507			34,782	38,138		
12/31/2019	WALT DISNEY CO/THE	220,000.0000	103.055000					227,795	226,721
12/31/2019	WANT WANT CHINA HOLDINGS LTD	14,000.0000	0.934316			9,977	13,080		
12/31/2019	WARTSILA OYJ ABP	1,315.0000	11.056625			21,465	14,539		
12/31/2019	WEICHAI POWER CO LTD	11,000.0000	2.109910			17,032	23,209		

Schedule of Investments
Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	WEIR GROUP PLC/THE	271.0000	19.997101			4,991	5,419		
12/31/2019	WELLS FARGO & CO	32,100.0000	53.800000			1,728,256	1,726,980		
12/31/2019	WELLS FARGO & CO	600.0000	11.740000			7,551	7,044		
12/31/2019	WELLS FARGO & CO	110,000.0000	112.572000					122,752	123,829
12/31/2019	WELLS FARGO & CO	315,000.0000	103.271000					320,774	325,304
12/31/2019	WELLS FARGO & CO	120,000.0000	100.382000					118,883	120,458
12/31/2019	WELLS FARGO & CO	325,000.0000	100.147000					316,589	325,478
12/31/2019	WELLS FARGO COMMERCIAL C34 A1	460,243.5000	99.412000					457,978	457,537
12/31/2019	WELLS FARGO COMMERCIAL C40 A1	90,737.0500	99.965000					89,061	90,705
12/31/2019	WELLS FARGO COMMERCIAL C41 A1	378,618.3770	100.162000					379,890	379,232
12/31/2019	WESFARMERS LTD	2,912.0000	29.102130			70,989	84,745		
12/31/2019	WEST FRASER TIMBER CO LTD	263.0000	44.171968			12,255	11,617		
12/31/2019	WEST JAPAN RAILWAY CO	500.0000	86.873703			35,767	43,437		
12/31/2019	WESTPAC BANKING CORP	6,669.0000	17.032479			115,745	113,590		
12/31/2019	WESTPAC BANKING CORP	35,773.0000	79.890000			2,133,095	2,857,905		
12/31/2019	WFRBS COMMERCIAL MORTGA C18 A3	126,000.0000	100.879000					126,477	127,108
12/31/2019	WH GROUP LTD	18,500.0000	1.033137			14,277	19,113		
12/31/2019	WHARF REAL ESTATE INVESTMENT C	4,000.0000	6.102569			24,353	24,410		
12/31/2019	WHEATON PRECIOUS METALS CORP	1,130.0000	29.797571			24,153	33,671		
12/31/2019	WHELLOCK & CO LTD	2,000.0000	6.667266			11,396	13,335		
12/31/2019	WHITBREAD PLC	196.0000	64.197385			12,426	12,583		
12/31/2019	WILMAR INTERNATIONAL LTD	13,800.0000	3.063994			33,503	42,283		
12/31/2019	WIRECARD AG	239.0000	120.668750			36,764	28,840		
12/31/2019	WISETECH GLOBAL LTD	439.0000	16.427942			7,926	7,212		
12/31/2019	WISETECH GLOBAL LTD	1,300.0000	14.170000			10,992	18,421		
12/31/2019	WM MORRISON SUPERMARKETS PLC	7,749.0000	2.646851			19,103	20,510		
12/31/2019	WOLTERS KLUWER NV	504.0000	72.984950			29,504	36,784		
12/31/2019	WOOLWORTHS GROUP LTD	2,978.0000	25.418672			64,963	75,697		
12/31/2019	WOOLWORTHS HOLDINGS LTD/SOUTH	1,691.0000	3.475525			6,436	5,877		
12/31/2019	WOORI FINANCIAL GROUP INC	954.0000	10.030694			13,425	9,569		
12/31/2019	WORLDLINE SA/FRANCE	116.0000	70.885875			7,350	8,223		
12/31/2019	WPP PLC	25,100.0000	14.128459			466,321	354,624		
12/31/2019	WPP PLC	2,351.0000	14.128459			25,901	33,216		
12/31/2019	WPP PLC	688.0000	127.260000			49,375	87,555		
12/31/2019	WSP GLOBAL INC	506.0000	68.378639			22,904	34,600		
12/31/2019	WUXI BIOLOGICS CAYMAN INC	1,000.0000	12.660746			9,506	12,661		
12/31/2019	WYNN MACAU LTD	7,200.0000	2.464129			15,319	17,742		
12/31/2019	XIAOMI CORP	9,800.0000	1.383506			12,271	13,558		
12/31/2019	XINYI SOLAR HOLDINGS LTD	18,000.0000	0.709720			11,005	12,775		
12/31/2019	YAKULT HONSHA CO LTD	300.0000	55.486540			21,005	16,646		
12/31/2019	YAMAHA CORP	400.0000	55.946628			17,158	22,379		
12/31/2019	YAMAHA MOTOR CO LTD	600.0000	20.271451			11,319	12,163		
12/31/2019	YAMATO HOLDINGS CO LTD	900.0000	17.179664			24,660	15,462		
12/31/2019	YANGZHIJIANG SHIPBUILDING HOLDI	10,100.0000	0.832931			10,126	8,413		
12/31/2019	YARA INTERNATIONAL ASA	604.0000	41.559979			24,293	25,102		
12/31/2019	YASKAWA ELECTRIC CORP	700.0000	38.371289			18,117	26,860		
12/31/2019	YIHAI INTERNATIONAL HOLDING LT	1,000.0000	5.865140			6,104	5,865		
12/31/2019	YOKOGAWA ELECTRIC CORP	500.0000	17.750172			8,956	8,875		
12/31/2019	YOKOHAMA RUBBER CO LTD/THE	400.0000	19.608925			8,049	7,844		
12/31/2019	YUEXIU PROPERTY CO LTD	56,000.0000	0.231012			10,377	12,937		
12/31/2019	YUHAN CORP	19.0000	204.505098			4,000	3,886		

Schedule of Investments
 Part II, lines 10 (a,b,c), col. (b) & (c)

As Of Date	Security Description	Shares/Par	Price	line 10a U.S. & State Gov't Obligations		line 10b Corporate Stock		line 10c Corporate Bonds	
				Cost	Market Value	Cost	Market Value	Cost	Market Value
12/31/2019	YUZHOU GROUP HOLDINGS CO LTD	12,000.0000	0.550579			5,049	6,607		
12/31/2019	Z HOLDINGS CORP	5,200.0000	4.242006			12,564	22,058		
12/31/2019	ZALANDO SE	271.0000	50.714550			11,282	13,744		
12/31/2019	ZHONGAN ONLINE P&C INSURANCE C	1,900.0000	3.606355			4,169	6,852		
12/31/2019	ZHUZHOU CRRC TIMES ELECTRIC CO	1,600.0000	3.619189			8,899	5,791		
12/31/2019	ZIONS BANCORP NA	120,000.0000	102.610000					119,926	123,132
12/31/2019	ZOETIS INC	14,000.0000	132.350000			1,030,845	1,852,900		
12/31/2019	ZOETIS INC	1,345.0000	46.800000			57,086	62,946		
12/31/2019	ZOZO INC	200.0000	19.204048			3,768	3,841		
12/31/2019	ZTE CORP	4,600.0000	3.060910			12,267	14,080		
12/31/2019	ZURICH INSURANCE GROUP AG	268.0000	410.079000			81,337	109,901		
	Investment Accruals						247,850		233,941
				Total line 10a		Total line 10b		Total line 10c	
				\$ 12,674,741		\$ 201,576,369		\$ 28,456,799	

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10015

STATEMENT 7B
FORM 990-PF
YEAR 2019

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

Description:	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
Exchange Traded Funds		
Vanguard Short-Term TIPS ETF	23,314,319	23,314,319
Vanguard Global ex-U.S. Real Estate ETF	5,197,911	5,197,911
Vanguard Real Estate ETF	6,973,169	6,973,169
	<u>35,485,399</u>	<u>35,485,399</u>
Futures	148,480	148,480
Hedge Funds		
Aeolus Property Catastrophe Keystone PF Fund	17,784,735	17,784,735
Agility - Global Equity Impact	72,713,594	72,713,594
Arrowpoint Income Opportunity Fund QP, LP	22,428,324	22,428,324
Arrowpoint Global Opportunity Fund, Ltd.	2,167,352	2,167,352
Beach Point Dynamic Income Offshore Fund Ltd.	19,513,054	19,513,054
Brandywine Global Investment Management Trust	32,306,608	32,306,608
Cantillon Global Equity L.P.	75,668,249	75,668,249
Elevar Equity III, L.P.	17,303,331	17,303,331
Farallon Capital F5 Investors I, L.P.	34,194,438	34,194,438
ETON PARK OVERSEAS FUND	3,841	3,841
Generation IM Global Equity Fund LLC	120,159,535	120,159,535
Good Hill Overseas Fund Ltd.	17,616,751	17,616,751
Hudson Bay Intl Fund Ltd	31,516,320	31,516,320
Kylin Offshore Fund Ltd	2,317,954	2,317,954
Mahout Worldwide Sustainability	25,239,855	25,239,855
Moon Capital Global Equity Partners Offshore Fund Ltd.	6,764,073	6,764,073
Ownership Capital Global Equity (USD) Fund LP	69,294,774	69,294,774
OZ Credit Opportunities Overseas Fund, L.P.	508,876	508,876
PFM Healthcare Offshore Fund Ltd	25,095,935	25,095,935
Tensile Capital Partners	29,669,598	29,669,598
Turner Multifamily Impact Fund LP	18,116,014	18,116,014
Tybourne Equity Offshore	21,666,757	21,666,757
Colchester Global Bond Fund	22,317,160	22,317,160
Ishana Capital Offshore	21,946,632	21,946,632
Timbercreek Global RE	4,841,305	4,841,305
Lazard Global Listed Infrastructure	18,504,649	18,504,649
	<u>729,659,714</u>	<u>729,659,714</u>
Private Equity & Real Estate Funds		
Ampersand 2001	5,770	5,770
ARCH Venture Fund IX, L.P.	21,530,807	21,530,807
Arch Venture Fund IX Overage	8,058,986	8,058,986
CenterOak Equity Fund I, L.P.	12,847,053	12,847,053
ClearVue Partners II Ltd.	10,981,876	10,981,876
CSFB Strategic Partners III	34,962	34,962
CSFB Strategic Partners III VC	97,541	97,541
Europa II	13,031	13,031
Europa Fund IV, L.P.	5,382,911	5,382,911
Generation IM Climate Solutions Fund II	11,521,994	11,521,994
IEF - 2008 Term Tranche	17,759,624	17,759,624
IEF - 2010 Special Term Tranche	10,427,170	10,427,170
IEF - 2011 Special Term Tranche	19,228,481	19,228,481

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10015

STATEMENT 7B
 FORM 990-PF
 YEAR 2019

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

	End Of Year	
	col. (b)	col. (c)
	Book Value	Fair Market Value
IEF - 2012 Term Tranche	26,048,112	26,048,112
HSREP IV Co-Investment LP	2,172,367	2,172,367
KA Energy Fund IV	96,691	96,691
Mainstream Renewable Power Limited	7,157,183	7,157,183
Morgan Stanley RE Fund V	468,006	468,006
NB Co-Investment Partners LP	683,592	683,592
NEA 10	853,456	853,456
New Energy Capital Infrastructure Credit Fund, L.P.	9,051,790	9,051,790
Orion European Real Estate II	3,847	3,847
OSP Value Fund II	8,362,312	8,362,312
P2 Brasil Private Infrastructure Fund III Feeder	13,964,488	13,964,488
Prime Finance Partners V, L.P.	5,053,653	5,053,653
Prospect II	49,092	49,092
Riverstone/Carlyle Global Energy III	1,220,884	1,220,884
Siguler Guff Small Buyout Opportunities Fund LP	2,075,417	2,075,417
TIFF Partners IV, LLC	67,013	67,013
Vision Ridge Sustainable Asset Fund	24,882,305	24,882,305
Weston Presidio V	484,369	484,369
AMBIENTA III SCSP	1,686,326	1,686,326
STIRLING SQ CAP PTRS	14,358	14,358
RALLY VENTURE FD III	2,464,985	2,464,985
RALLY TEC PTR FD III	599,808	599,808
ARCH VENTURE X LP	1,496,983	1,496,983
ARCH VEN X OVER LP	1,427,114	1,427,114
Warburg Pincus China-Southeast Asia II LP	370,929	370,929
Vision Ridge Sustainable Asset Fund II	1,683,372	1,683,372
Centre Lane Partners V, L.P.	307,314	307,314
New Energy Capital Infrastructure Credit Fund II LP	2,976,250	2,976,250
	233,612,222	233,612,222
Part II, line 13	998,905,815	998,905,815

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10015

SCHEDULE 8
 FORM 990-PF
 YEAR 2019

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

<u>Description</u>	<u>Cost</u>	<u>Accumulated Depreciation</u>	<u>Net Basis</u>
Office Equipment	2,857,226	2,528,695	\$ 328,531
Computer Equipment & Software	2,443,733	2,346,107	97,626
Fine Art	4,280	4,780	(500)
Leasehold Improvements	34,411,416	27,816,968	6,594,448
Other	66,746	72,864	(6,118)
Automobile	69,118	52,540	16,578
	<u>\$ 39,852,519</u>	<u>\$ 32,821,954</u>	
		Total line 14	<u><u>\$ 7,030,565</u></u>

ROCKEFELLER BROTHERS FUND, INC.
EIN# 13-1760106
CONFORMED COPY OF AMENDMENT OF BYLAWS

I CERTIFY THAT THE ATTACHED AMENDMENT OF BYLAWS IS COMPLETE
AND ACCURATE.

Signature: Nancy L. Muirhead

Name: Nancy L. Muirhead
Title: Corporate Secretary

As Amended November 21, 2019

BYLAWS
of
ROCKEFELLER BROTHERS FUND, INC.

ARTICLE I

Board of Trustees

A Board of Trustees (the "Board") shall exercise oversight of the affairs of the corporation.

(a) The trustees shall set the mission and elect the officers of the corporation; establish clear goals and objectives for the philanthropic activity of the corporation; approve grants other than those delegated to the president for approval; determine major policies governing management of the finances and operations of the corporation; undertake periodic evaluation of the performance of the corporation; and ensure that the corporation has a well qualified and committed Board.

(b) The Board shall consist of not less than seven nor more than eighteen trustees, provided that no decrease shall shorten the term of any incumbent trustee. The entire Board shall consist of the number of trustees most recently elected.

(c) The trustees shall be at all times divided into three classes, equal numerically as nearly as may be, and they shall be so arranged that the membership of the respective classes shall expire in succeeding years.

(d) Subject to paragraphs (c) and (e), each trustee shall be elected at an annual meeting of the Board, or at any adjournment thereof, for the term of three years and until the election and qualification of the trustee's successor.

(e) Any vacancy in the Board may be filled by the vote of a majority of the entire board, at any meeting of the Board. Any trustee elected at a special meeting shall hold office until the next annual meeting of the Board and until the election and qualification of the trustee's successor.

(f) Any trustee may resign by submitting a notice in writing to the president or to the secretary. The acceptance of any such resignation, unless required by the terms thereof, shall not be necessary to make the same effective.

(g) Any trustee may be removed at any time for cause by the vote of the trustees, provided there is a quorum of not less than a majority of the entire Board present at the meeting at which such action is taken.

(h) Trustees shall not be eligible for re-election after serving three consecutive full three-year terms of office without an interruption of at least one year, provided that the president shall be re-elected as a trustee as long as the president continues in office, and provided further that the president's service as a trustee shall end upon termination of service in such office.

(i) Founding trustees who shall have retired shall automatically become life trustees. The Board may elect any former trustee an advisory trustee. Advisory trustees shall be elected for a term of three years. Advisory trustees shall not be eligible for re-election after serving three consecutive full three-year terms without an interruption of at least one year. Advisory and life trustees shall receive all notices and other information sent to trustees and may participate in all

meetings of the Board without vote, but shall not be counted in determining a quorum at any meeting of the Board. Advisory and life trustees may be called upon by the Board for consultation and advice but shall have no legal responsibilities for the conduct of affairs of the corporation except when acting as voting members of any committee.

(j) A majority of the entire Board, present in person, shall constitute a quorum for the transaction of business. Except as otherwise required by these bylaws or by applicable law, the act of a majority of trustees present at any meeting of the Board at which a quorum exists shall be the act of the Board.

(k) Trustees, except for the president, shall not receive compensation from the corporation. Trustees shall be reimbursed for reasonable expenses incurred with respect to their duties.

(l) The Board shall meet from time to time without management of the corporation to review performance of management, set compensation for the president of the corporation, and discuss other matters as the Board deems appropriate.

(m) The corporation shall have no members.

ARTICLE II

Officers

At the annual meeting of the Board, or an adjournment thereof, or at any meeting duly called for the purpose, the Board shall elect the following officers, viz: a chair, a president, both of whom shall be or become by their election trustees, and a corporate secretary. The Board may, at any meeting, elect one or more vice chairs, one or more vice presidents, one or more assistant secretaries, and such other officers as it may from time to time determine.

ARTICLE III

Chair and Vice Chair

The chair shall lead the Board in overseeing the affairs of the corporation and its several officers, subject to the control of the Board. The chair and vice chair shall not be employees of the corporation. The chair shall preside at all meetings of the Board at which the chair shall be present; be a member ex officio of all committees; and perform such other duties as the Board may from time to time determine. The chair shall be entitled to have notice of committee meetings and to attend and vote at such meetings, provided that, with the exception of the executive committee, the chair shall be under no obligation to attend and shall not be counted to determine the number necessary to make a quorum or to determine whether a quorum is present.

Any vice chair shall discharge such functions as may be assigned by the chair or by resolution duly adopted at any meeting of the Board. A vice chair, or if no vice chair is elected, the chair of the nominating committee shall, in the absence of the chair, preside at meetings and during the absence or disability of the chair shall perform the duties of the chair.

In the absence or disability of the chair, vice chair, or chair of the nominating committee, another member of the Board may be appointed by resolution duly adopted at any meeting of the Board to discharge the chair's functions or any of them specified in the resolution.

ARTICLE IV

President

The president shall be the chief executive officer of the corporation and shall manage the business of the corporation and have supervision over its several officers, subject, however, to the control of the chair and the Board. The president shall prepare and present the business to be acted upon at meetings. Except as otherwise hereinafter provided by these bylaws, or by resolution duly adopted at any meeting, the president shall be a member *ex officio* of all committees except the audit and compensation committees; the president shall be entitled to have notice of committee meetings and to attend and vote at meetings of committees of which the president is a member, but the president shall be under no obligation to attend and shall not be counted to determine the number necessary to make a quorum or to determine whether a quorum is present.

ARTICLE V

Vice President(s)

The vice president(s), if any, shall discharge such functions as may be assigned by the president or by resolution duly adopted at any meeting of the Board.

One vice president, as designated by the Board or the president, subject to the provision of these bylaws and to such regulations as may from time to time be prescribed by the Board, the president, or the investment committee shall:

- 1) have custody of the funds and securities of the corporation and shall arrange for the deposit and custody of the funds and securities of the corporation in such banks, trust companies, or other institutions as may from time to time be designated by the Board, the president, or the investment committee.
- 2) oversee the maintenance of the financial books of the corporation, the preparation of the annual financial statements, and annual audit of the corporation's books and records, and perform such other duties as may be assigned by the president or by resolution duly adopted at any meeting of the Board or the audit committee.

During the absence or disability of the president, the vice president, or if there be more than one, such of them as the chair may designate, shall perform the duties of the president.

ARTICLE VI

Corporate Secretary and Assistant Corporate Secretary(ies)

The corporate secretary ("secretary") shall take and keep true minutes of all meetings of the Board and of committees, shall have custody of the corporate seal and shall have the authority to affix the same to any instrument requiring it, and when so affixed, to attest it. The secretary shall notify trustees of their election and of any meetings as required by these bylaws, and shall in general perform all duties incident to the office of secretary, and shall perform such other duties as may be assigned by the president or by resolution duly adopted at any meeting of the Board.

Any assistant secretary shall discharge such functions as may be assigned by the secretary or by resolution duly adopted at any meeting of the Board. In the absence or disability of the secretary, an assistant secretary shall perform the duties of the secretary.

ARTICLE VII

Meetings

The annual meeting of the Board shall be held on such date as may be prescribed by the president or the Board. The chair, any vice chair, or president, or any two trustees, may at any time call a special meeting. Written notice of each meeting shall be given, personally or by mail, electronic means, or fax to each person entitled to vote thereat, and to advisory and life trustees, not less than ten nor more than fifty days prior to the day named for the meeting. Such notice shall specify the place, date, and hour of the meeting and, in the case of a special meeting, shall also state the purpose or purposes of the meeting and indicate that the notice is being issued by or at the discretion of the person or persons calling the meeting.

All meetings shall be held at such place in the City of New York or elsewhere as the Board may from time to time direct. In the absence of such direction, meetings shall be held at the office of the corporation in the City of New York.

Any action required or permitted to be taken by the Board or any committee may be taken without a meeting, if all members of the Board or the committee consent in writing or electronically to the adoption of a resolution authorizing the action. If written, the consent must be executed by the trustee or committee member by signing the consent or causing his or her signature to be affixed to the consent by any reasonable means, including but not limited to facsimile signature. If sent electronically, the consent must set forth or be submitted with information from which it can reasonably be determined that the transmission of the consent was authorized by the trustee or committee member. The resolution and the consents thereto by the members of the Board or of such committee shall be filed with the minutes of the proceedings of the Board or of such committee.

Any one or more members of the Board or of a committee may participate in a meeting of the Board or the committee by means of a conference telephone, videoconference, or similar communications equipment as long as all persons participating in the meeting can hear each other at the same time. Participation by such means shall constitute presence in person at the meeting.

Pursuant to the provisions of the New York Not-for-Profit Corporation Law, a report shall be presented at the annual meeting of the Board, showing in appropriate detail the following:

- (1) the assets and liabilities, including any trust funds, of the corporation as of the end of a twelve-month fiscal period terminating not more than six months prior to said meeting;
- (2) the principal changes in assets and liabilities, including any trust funds, during said fiscal period;
- (3) the revenue or receipts of the corporation, both unrestricted and restricted to particular purposes, during said fiscal period; and
- (4) the expenses or disbursements of the corporation, for both general and restricted purposes, during said fiscal period.

This annual report shall be filed with the records of the corporation and either a copy or an abstract thereof entered in the minutes of the proceedings of the annual meeting.

ARTICLE VIII

Committees

(a) There shall be an executive committee of the Board consisting of the chair and up to seven but no less than six other trustees elected by the vote of a majority of the entire Board at the annual meeting each year. The chair of the executive committee shall be the chair of the Board. A vacancy in the committee may be filled by the Board at any meeting. A meeting of the committee may be called at any time when the Board is not in session by any member of the committee. A majority of members of the committee shall constitute a quorum for the transaction of business, and the committee may act upon the vote of a majority of all members present. The committee shall serve at the pleasure of the Board and shall have all powers of the Board when the Board is not in session, except that it shall have no authority as to the following matters:

- (1) the filling of vacancies in the Board or in any committee thereof;
- (2) the fixing of compensation for the president of the corporation;
- (3) the amendment or repeal of the bylaws or the adoption of new bylaws;
and
- (4) the amendment or repeal of any resolution of the Board which by its terms shall not be so amendable or repealable.

The committee shall keep regular minutes of its proceedings and shall report all actions to the Board at the next meeting of the Board following such action.

(b) There shall be a compensation committee consisting of not less than three nor more than five trustees elected by the Board at the annual meeting each year. Members of the committee shall serve at the pleasure of the Board. The chair of the committee shall be elected by the Board. A vacancy in the committee may be filled by the Board at any meeting.

The committee shall recommend to the Board the compensation for the president of the corporation. The president shall not be present at or otherwise participate in any committee or board deliberation or vote concerning his or her compensation. The committee shall review management's recommendations regarding the overall compensation budget for all employees and other matters of compensation policy.

(c) There shall be an investment committee consisting of not less than four nor more than nine persons, at least two of whom shall be trustees. Members of the committee shall be elected by the Board at the annual meeting each year and shall serve at the pleasure of the Board. The chair of the committee, who shall be a trustee, shall be elected by the Board. A vacancy in the committee may be filled by the Board at any meeting.

The committee shall formulate and propose investment policy to the Board and shall implement such policy as is approved by the Board. Pursuant to such policy, the committee shall establish investment guidelines and shall have the authority to exercise those powers relating to the investment management of the corporation's assets which are conferred upon the corporation by law and by its certificate of incorporation. In addition, the committee shall oversee the investment assets of the Fund, and monitor the management of the Fund's assets for compliance with investment policies and guidelines and for meeting performance objectives over time. Between meetings of the committee, the chair of the committee and the president of the corporation, acting together, shall have the authority to exercise all such powers, subject to the investment policy set by the Board and

investment guidelines established by the committee.

The committee shall keep regular minutes of its proceedings and shall report all actions to the Board at the next meeting of the Board following such action.

(d) There shall be an audit committee consisting of not less than three, nor more than five "independent" trustees as defined by the New York Not-for-Profit Corporation Law¹. Members of the committee shall be elected by the Board at the annual meeting each year and shall serve at the pleasure of the Board. The chair of the committee shall be elected by the Board. A vacancy in the committee may be filled by the Board at any meeting.

The committee shall be responsible for making an annual selection of an independent auditor and communicating this selection to the Board, for reviewing with the independent auditor the scope and planning of the audit prior to commencement, for reviewing and discussing with the auditor any material risks and weaknesses in internal controls, management's restrictions on the scope of access, and adequacies of processes, for overseeing the accounting and financial reporting processes, supervising the audit, reviewing with the auditor the results of the audit, including the management letter, for considering annually the auditor's performance and independence, for overseeing the adoption, implementation, and compliance with the conflict of interest and whistleblower policies adopted by the Board, and for presenting the annual audited financial statements of the corporation, with appropriate comments thereon, and reporting on the committee's activities to the Board at the annual meeting of the corporation each year. The auditor shall serve at the pleasure of the committee. The committee shall also be responsible for conducting a periodic review of the compliance of the corporation with the provisions of the Internal Revenue Code of 1986, as amended. The committee shall report any findings of noncompliance to the executive committee without delay. The committee may hire one or more outside advisors and utilize additional resources as needed to fulfill its responsibilities.

(e) There shall be a nominating committee of the Board consisting of not less than three, nor more than six trustees. The members of the committee shall be elected by the Board at the annual meeting each year and shall serve at the pleasure of the Board. The chair of the committee shall be elected by the Board. A vacancy in the committee may be filled by the Board at any meeting. No elected member of the committee shall be eligible for re-election thereto after serving on the committee for five consecutive years without an interruption of at least one year.

The committee shall submit to the Board at the annual meeting each year, and at other meetings as requested by the chair of the Board, the names of persons recommended by the committee for election as trustees, officers, members of committees and chairs of committees, after having circulated to the trustees, fifteen days in advance of the applicable meeting, written notification of the committee's intent to make the nomination, along with such information about the nominee as may be relevant.

(f) There shall be a Pocantico committee consisting of not less than five nor more than eleven members, a majority of whom shall be trustees or former trustees, and a majority of whom

¹ The New York Non-Profit Revitalization Act of 2013 defines "independent trustee" as a trustee who (i) is not, and has not been within the last three years, an employee of the non-profit corporation or an affiliate thereof, and does not have a relative who is, or who has been within the last three years, a key employee of the non-profit corporation or an affiliate thereof; (ii) has not received, and does not have a relative who has received, in any of the last three fiscal years, more than \$10,000 in direct compensation from the non-profit corporation or an affiliate thereof (other than reimbursement for expenses reasonably incurred as a trustee or reasonable compensation for service as a trustee); and (iii) is not a current employee of or does not have a substantial financial interest in, and does not have a relative who is a current officer of or has a substantial financial interest in, any entity that has made payments (other than charitable contributions) to, or received payments (other than charitable contributions) from the non-profit corporation or an affiliate thereof, for property or services in an amount that exceeds the lesser of \$25,000 or 2% of such entity's consolidated gross revenue in any of the last three fiscal years.

shall not be “disqualified persons”² with respect to the Fund as defined by section 4946(a) of the Internal Revenue Code of 1986, as amended, other than by reason of being a trustee of the Fund. The members of the committee shall be elected by the Board at the annual meeting each year and shall serve at the pleasure of the Board. The chair of the committee shall be a trustee elected by the Board. A vacancy in the committee may be filled by the Board at any meeting.

The committee shall be responsible for making recommendations to the Board regarding policy governing management of the corporation’s responsibilities for all the National Trust properties in the Pocantico area that have been leased to the Fund and that may in the future be leased to the Fund, including but not limited to the Pocantico Center of the corporation.

(g) Unless otherwise specified in these bylaws, a majority of the members of each committee shall constitute a quorum for the transaction of business and an act of the majority of members present at the meeting shall be an act of the committee.

(h) Members of committees who are not trustees or who are former trustees who have served for nine consecutive full one-year terms as committee members shall not be eligible for re-election without an interruption of at least one year, except that any former trustee who was a committee chair at the conclusion of the former trustee’s term as trustee shall be eligible for re-election to the committee for two additional one-year terms beyond this limit.

(i) The Board may by resolution provide for additional committees, and may delegate to such committees such powers as it may deem desirable.

ARTICLE IX

General Fiscal Provisions

Any two of the following persons, viz: chair, vice chair, president, any vice president, corporate secretary and any assistant corporate secretary, and counsel, or any two persons designated by the Board shall have authority to execute under seal such form of transfer and assignment as may be customary or necessary to constitute a transfer of stocks, bonds, or other securities standing in the name of or belonging to the corporation. A corporation or person transferring any such stocks, bonds, or other securities pursuant to a form of transfer or assignment so executed shall be fully protected and shall be under no duty to inquire whether or not the Board has taken action in respect thereof.

If required to do so by the Board, the president, or the investment committee, any financial officer shall give a bond for the faithful discharge of the officer’s duties in such sum, and with such sureties, as the Board, the president, or the investment committee shall require.

The fiscal year of the corporation shall begin on the first day of January in each year, and end on the following 31st day of December.

The Board shall establish the procedures and guidelines under which the grant appropriations of the corporation are made. These procedures and guidelines may include provisions under which grant appropriations may be authorized when neither the Board nor the executive committee is in session.

² A “disqualified person” with respect to the Fund includes all substantial contributors to the Fund, all officers, directors, or trustees of the Fund, the spouse and all ancestors and descendants of any of the above individuals, and certain family-related entities as well as public officials, as defined by section 4946(a) of the Internal Revenue Code of 1986, as amended.

ARTICLE X

Indemnification of Trustees, Officers, and Employees

The corporation shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil or criminal, by reason of the fact that such person, or such person's testator or intestate, is or was a trustee, advisory or life trustee, officer or committee member of the corporation or is or was serving at the request of the corporation as a director, trustee, advisory or life trustee, officer, committee member, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, and may indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil or criminal, by reason of the fact that such person is or was an employee of the corporation against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by such person in connection with such action, suit or proceeding to the full extent permitted by the New York Not-for-Profit Corporation Law, upon such determination having been made as to such person's good faith and conduct as is required by said Not-for-Profit Corporation Law. Expenses incurred in defending a civil or criminal action, suit or proceeding shall be paid by the corporation in advance of the final disposition of such action, suit or proceeding to the extent, if any, authorized by the Board in accordance with the provisions of said Not-for-Profit Corporation Law, upon receipt of an undertaking by or on behalf of the trustee, advisory or life trustee, officer, committee member, or employee, to repay such amount unless it shall ultimately be determined that such trustee, advisory or life trustee, officer, committee member or employee is entitled to be indemnified by the corporation as authorized by these bylaws.

ARTICLE XI

Waiver of Notice

Whenever any notice of any meeting is required to be given to a trustee or committee member under the provisions of the laws of the State of New York or under the provisions of the Certificate of Incorporation or these bylaws, such notice need not be given if such trustee or committee member submits a written or electronic waiver of notice, whether before or after the meeting, or attends such a meeting without protesting at its commencement the lack of notice to such person. If the waiver is written, such trustee or committee member must execute the waiver by signing the waiver or causing his or her signature to be affixed to the waiver by an reasonable means, including but not limited to facsimile signature. If the waiver is sent by electronically, the waiver must set forth or be submitted with information from which it can reasonably be determined that the electronic transmission was authorized by the trustee or committee member.

ARTICLE XII

Amendments

These bylaws may be amended or repealed at any meeting of the Board, provided that written notice of the proposed amendment or repeal has been given to each trustee personally or by mail, fax, or electronic means not less than ten days in advance of the date set for the meeting. Any amendment of these bylaws which adds, changes or strikes out a trustees' quorum or voting requirement greater than the applicable statutory requirement shall be adopted by the affirmative vote of two-thirds of the Board.

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

Statement 13B
FORM 990-PF
Year 2019



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
Association for the Development and Research of Performing Arts in BiH "Kontakt"
Bihacka 5
Sarajevo 71000
Bosnia and Herzegovina
2. Date and Amount of Grant:
9/18/2019 \$40,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 2/28/2020 and 8/31/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

Statement 13B
FORM 990-PF
Year 2019



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
BEIJING GREENOVATION INSTITUTE FOR PUBLIC WELFARE DEVELOPMENT
Room 309, Wanbo Building
No. 53, Ganyu Hutong
Beijing
China
2. Date and Amount of Grant:
8/3/2018 \$198,887.62
3. Purpose of Grant:
For its research on low-carbon development in China and the Greater Mekong Subregion
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 4/19/2019 and 9/1/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

Statement 13B
FORM 990-PF
Year 2019



Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
BEIJING HEYI GREEN FOUNDATION
Room 1606, Building 2, Bixing Garden, 36 Zhichun Road, Haidian District
Beijing
China
2. Date and Amount of Grant:
7/10/2018 \$223,340.98
12/18/2018 \$1,659.02
3. Purpose of Grant:
To develop a sustainable food system platform
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 7/1/2019 and 9/11/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
BELGRADE OPEN SCHOOL
Masarikova 5/16
Belgrade 11000
Serbia
2. Date and Amount of Grant:
4/25/2019 \$50,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
\$47,528.96. Grantor received from Grantee narrative and financial reports certifying that \$47,528.96 of the grant was expended for the purpose for which it was made. A report on the balance of \$2,471.04 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 2/29/2020, Grantor received from Grantee narrative and financial reports certifying that \$47,528.96 of the grant was expended for the purpose for which it was made. A report on the balance of \$2,471.04 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
CHINA GLOBAL PHILANTHROPY INSTITUTE
8th Floor, Hopson Fortune Plaza, No. 13 Deshengmen Wai Street, Xicheng District
Beijing 100088
China
2. Date and Amount of Grant:
12/5/2018 \$201,352.30
3. Purpose of Grant:
To support philanthropic engagement on environmental and climate issues
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 7/1/2019 and 7/31/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
DEMOCRATIZATION POLICY COUNCIL E.V.
Sybelstr. 10
Berlin 10629
Germany
2. Date and Amount of Grant:
9/26/2018 \$60,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 3/13/2019 and 9/26/2019, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
DEMOCRATIZATION POLICY COUNCIL E.V.
Sybelstr. 10
Berlin 10629
Germany
2. Date and Amount of Grant:
10/2/2019 \$60,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
\$58,508.38. Grantor received from Grantee narrative and financial reports certifying that \$58,508.38 of the grant was expended for the purpose for which it was made. A report on the balance of \$1,491.62 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 3/17/2020 and 9/11/2020, Grantor received from Grantee narrative and financial reports certifying that \$58,508.38 of the grant was expended for the purpose for which it was made. A report on the balance of \$1,491.62 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
EUROPEAN MIDDLE EAST PROJECT
Huidevettersstraat 165
Brussels B-1000
Belgium
2. Date and Amount of Grant:
7/24/2019 \$50,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 4/2/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
FOCUS ON THE GLOBAL SOUTH
19 Maginhawa Street, UP Village
Diliman, Quezon City 1104
Philippines
2. Date and Amount of Grant:
12/14/2018 \$15,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
\$14,769. Grantor received from Grantee narrative and financial reports certifying that \$14,769 of the grant was expended for the purpose for which it was made. The amount expended is equal to the total amount received by Grantee and is less than the amount granted because of the wire transfer fee.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 5/22/2019 and 8/31/2020, Grantor received from Grantee narrative and financial reports certifying that \$14,769 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
GLOBAL LEGAL ACTION NETWORK
57-62 Lincoln's Inn Fields
London WC2A 3LJ
United Kingdom
2. Date and Amount of Grant:
9/11/2019 \$100,000
3. Purpose of Grant:
For its work on Israel/Palestine
4. Amount Expended by Grantee:
\$88,250. Grantor received from Grantee narrative and financial reports certifying that \$88,250 of the grant was expended for the purpose for which it was made. A report on the balance of \$11,750 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 8/31/2020, Grantor received from Grantee narrative and financial reports certifying that \$88,250 of the grant was expended for the purpose for which it was made. A report on the balance of \$11,750 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
 475 Riverside Drive, Suite 900
 New York, NY 10115

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Rockefeller Brothers Fund
 Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
 GROWALD FAMILY FUND, INC.
 55 Pond Avenue, Suite 202E
 Brookline, MA 02445
 United States
2. Date and Amount of Grant:
 7/10/2019 \$75,000
3. Purpose of Grant:
 For co-funding its staff position of Asia Climate Finance Director
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No
6. Date of Reports received from Grantee:
 On 2/25/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
 Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
GROWALD FAMILY FUND, INC.
55 Pond Avenue, Suite 202E
Brookline, MA 02445
United States
2. Date and Amount of Grant:
12/13/2019 \$30,000
3. Purpose of Grant:
For management support of the ALFIE network
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 7/15/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
GUANGDONG HARMONY FOUNDATION
Room 515, Haizhu Science Park, Sun Yat-sen University, No. 135 Xingang Road
Guangzhou 510275
China
2. Date and Amount of Grant:
4/29/2019 \$300,000
3. Purpose of Grant:
For the Guangdong Harmony Environmental Fund
4. Amount Expended by Grantee:
\$287,611.94. Grantor received from Grantee narrative and financial reports certifying that \$287,611.94 of the grant was expended for the purpose for which it was made. A report on the balance of \$12,388.06 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 3/31/2020, Grantor received from Grantee narrative and financial reports certifying that \$287,611.94 of the grant was expended for the purpose for which it was made. A report on the balance of \$12,388.06 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
INITIATIVE FOR PROGRESS
St. Latif Hasani, nn
Ferizaj 70000
Kosovo
2. Date and Amount of Grant:
12/13/2018 \$30,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 8/4/2019, 12/12/2019, and 6/2/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
 475 Riverside Drive, Suite 900
 New York, NY 10115

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Rockefeller Brothers Fund
 Philanthropy for an Interdependent World

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
 MATTIN GROUP
 Square Baron Alfred Bouvier 6/4
 Brussels 1060
 Belgium
2. Date and Amount of Grant:
 9/11/2019 \$50,000
 12/2/2019 \$50,000
3. Purpose of Grant:
 For general support
4. Amount Expended by Grantee:
\$47,727. Grantor received from Grantee narrative and financial reports certifying that \$47,727 of the grant was expended for the purpose for which it was made. A report on the balance of \$52,273 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No
6. Date of Reports received from Grantee:
 On 6/11/2020, Grantor received from Grantee narrative and financial reports certifying that \$47,727 of the grant was expended for the purpose for which it was made. A report on the balance of \$52,273 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
 Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
MERCK FAMILY FUND
P.O. Box 870245
Milton, MA 02187
United States
2. Date and Amount of Grant:
4/17/2019 \$25,000
3. Purpose of Grant:
For a Mass Transit Study of Infrastructure Costs
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 1/6/2020 and 9/11/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
Manila 1004
Philippines
2. Date and Amount of Grant:
8/19/2010 \$150,000
3. Purpose of Grant:
To support the 2010 Ramon Magsaysay Awards
4. Amount Expended by Grantee:
\$135,000. Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2021. Grantee is equivalent to a private operating foundation and therefore not subject to a pass-through requirement.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 10/11/2010, 9/15/2011, 11/3/2013, 9/24/2014, 10/23/2015, 10/14/2017, 9/14/2018, 9/20/2019, and 6/24/2020, Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
RES FOUNDATION
3A Zmaja od Nocaja St.
Belgrade 11000
Serbia
2. Date and Amount of Grant:
12/10/2018 \$60,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 5/22/2019 and 10/11/2019, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
TALENT BEYOND BOUNDARIES, INC.
2000 Massachusetts Avenue, NW
Washington, DC 20036
United States
2. Date and Amount of Grant:
12/11/2019 \$35,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
\$8,098.31. Grantor received from Grantee narrative and financial reports certifying that \$8,098.31 of the grant was expended for the purpose for which it was made. A report on the balance of \$26,901.69 will be due in 2021.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 4/9/2020, Grantor received from Grantee narrative and financial reports certifying that \$8,098.31 of the grant was expended for the purpose for which it was made. A report on the balance of \$26,901.69 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
THE ADM CAPITAL FOUNDATION LIMITED
2405 9 Queen's Road Central
Hong Kong
China
2. Date and Amount of Grant:
12/13/2019 \$200,000
3. Purpose of Grant:
For its China Water Risk initiative
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 2/26/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
 475 Riverside Drive, Suite 900
 New York, NY 10115

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Rockefeller Brothers Fund
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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
 THE BALKAN FORUM
 Sejdi Kryeziu Str. 13
 Pristina
 Kosovo
2. Date and Amount of Grant:

4/17/2019	\$100,000
12/9/2019	\$68,000
3. Purpose of Grant:
 For general support
4. Amount Expended by Grantee:
\$90,568. Grantor received from Grantee narrative and financial reports certifying that \$90,568 of the grant was expended for the purpose for which it was made. A report on the balance of \$77,365 will be due in 2021. The amount expended and the unexpended balance equal \$167,933, which is equal to the total amount received by Grantee and is less than the amount granted because of the exchange rate.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No
6. Date of Reports received from Grantee:
 On 3/1/2020, Grantor received from Grantee narrative and financial reports certifying that \$90,568 of the grant was expended for the purpose for which it was made. A report on the balance of \$77,365 will be due in 2021.
7. Dates and Results of the Verification of Grantee's Report(s):
 Not required

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

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GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2019

1. Name and Address of Recipient:
WELLBEING ECONOMY ALLIANCE
c/o The Equality Trust, 356 Holloway Road
London N7 6PA
United Kingdom
2. Date and Amount of Grant:
7/24/2019 \$100,000
3. Purpose of Grant:
For general support
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No
6. Date of Reports received from Grantee:
On 7/13/2020, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required

Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
TRUSTEES:					
David Rockefeller, Jr. c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Valerie Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Chair	(3) 1-3 hrs.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	400
Miranda M. Kaiser c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Wendy Gordon Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Vice Chair	1-3 hrs.	- 0 -	None	None
Ayad Akhtar c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	None
Marsha Simms c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	400
Kavita Ramdas c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	400
Daniel Levy c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	400

13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

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Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Peter O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Hugh Lawson c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Marnie S. Pillsbury c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Jennifer Nolan c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Ryan Crocker c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Heather McGhee c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Wyatt Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Michael Quattrone c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
OFFICERS:					
Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, President	(2) 40-50 hrs.	681,900	186,492	7,186
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President for Programs	(2) 40-50 hrs.	384,929	62,888	4,712

Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>		<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President, Finance and Operations	(2)	40-50 hrs.	379,199	75,654	5,839
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Corporate Secretary	(2)	40-50 hrs.	264,106	73,566	4,559
Judy A. Clark 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Executive Director, Pocantico Center	(2)	40-50 hrs.	242,146	58,664	4,432
				<u>\$ 1,952,280</u>	<u>\$ 457,264</u>	<u>\$ 28,328</u>

*(1) Elected to the Board effective November 15, 2018.**(2) Elected as Officers effective June 19, 2019.**(3) Re-elected to Board effective June 19, 2019.*

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Part XIII Undistributed Income - Line 7

Line 7 consists of the sum of the following two items:

Rockefeller Brothers Fund, Inc. received contributions from other private foundations which it expensed or redistributed. In accordance with IRS Reg. 53.4942(a)-3(d) the Foundation is electing to treat the \$1,315,000 not as a qualifying distribution but as a distribution out of corpus.

Pursuant to Reg. 53.4942(a)-3(c)(2)(iv), the Foundation hereby elects to treat, as a current corpus distribution, the following unused prior tax years' distributions that were treated as corpus distributions under Reg. 53.4942(a)-3(d)(1)(iii) in such prior tax years:

Tax Year	Amount
2015	1,315,000

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PART XV, Line 2a

Grant Inquiries are accepted throughout the year at <https://www.rbf.org/grantmaking/grant-opportunities>. The online Grant Inquiry is the starting point for applying for a grant from the Rockefeller Brothers Fund.

PART XV, Line 2b

Founded in 1940, the Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. The Fund's grantmaking is organized in three thematic programs that support work in the United States and at the global level: Democratic Practice, Sustainable Development, and Peacebuilding, and in two pivotal place programs that address these themes in specific contexts: China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city.

Rockefeller Brothers Fund staff are highly engaged in the fields in which they provides support. Staff members actively identify organizations that are well-positioned to meet programmatic goals. While the Fund remains open to unsolicited requests, applicants should be aware that the likelihood of an unsolicited request becoming a grant is low. Applications for funding are considered throughout the year. The first step for prospective applicants is to submit an online grant inquiry. The review process may take up to three months from the time the inquiry is received.

Staff review each inquiry for fit within current program guidelines, funding priorities, and budget constraints. If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. The review process may take up to three months from the time the inquiry is received.

Once a grant is approved, grantees will receive an agreement letter from the Fund outlining the terms of the grant, including the expected reporting requirements and payment schedule. The first payment on the grant is generally issued upon return of the countersigned award letter to the Fund.

RBF staff members monitor grants throughout their life cycle. Each grantee is required to submit narrative and financial reports at specified intervals during the grant as well as at its conclusion. Grant reports become part of the Fund's permanent records.

PART XV, Line 2c

The Charles E. Culpeper Arts & Culture program honors the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. These grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life.

ELIGIBILITY

- Organizations based within the five boroughs of New York City
- Organizations with 501(c)(3) tax status or projects of sponsoring organizations with 501(c)(3) tax status
- For capacity-building grants, organizations with annual operating budgets between \$200,000 and

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\$4 million

- Generally, no capital campaigns, festivals, meetings, cash reserve funds, or endowments
- Support for arts education has been phased out

PART XV, Line 2d

The Rockefeller Brothers Fund awards grants throughout the year to support a variety of charitable projects in the United States and abroad that seek to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

To be eligible for a grant, organizations should be considered tax-exempt or seeking support for a project that would qualify as educational or charitable. The Fund's grantmaking is organized around three thematic programs and three place-based programs. The RBF awards a limited number of Philanthropic Stewardship and Special Opportunities grants that support nonprofit infrastructure organizations and help to further the Fund's mission. The Fund does not accept unsolicited applications in these areas.

Please also see What We Do Not Fund at <https://www.rbf.org/grantmaking/what-we-fund#notfund>.

RBF PROGRAM STATEMENT

The Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around three themes: Democratic Practice; Peacebuilding; and Sustainable Development. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in two pivotal places: China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city. The Pocantico Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues, reach new levels of

understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

Long View. Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

Commitment. Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

Synergy. Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

Initiative. The Fund initiates or participates in the development of many of the projects that it supports.

Engagement. In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work

Collaboration. The Fund actively seeks opportunities to collaborate with other funders.

Convening. The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section [“How to Apply”](#) at www.rbf.org for specific guidance on the application process.

RBF PROGRAMS

DEMOCRATIC PRACTICE PROGRAM GUIDELINES

For democracy to flourish and deliver on its promises—including political participation, human rights, access to justice, a good education, an improved quality of life, a healthy environment, and personal security—its citizens must be informed, engaged, empowered, and assertive. Similarly, institutions of governance must be inclusive, transparent, accountable, and responsive.

The frequent failure of both new and established democracies to deliver on their promises undermines the commitment to democratic practices. Wealthy and powerful actors exercise undue influence, and voices that historically have been excluded remain unheard in decision-making processes.

The United States continues to face a number of democratic deficits: a decline in many traditional forms of civic engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. These

deficits are being exacerbated by deeply rooted economic inequality, and American society is becoming increasingly polarized, socially, economically, and politically.

At the same time, the process of globalization has similarly produced democratic deficits in global governance. Global power and wealth inequities have deepened, while the significance of decisions made by transnational institutions such as multilateral organizations, multinational corporations, and international financial institutions has increased. In this patchwork of institutions and practices, global governance decisions are made with inadequate inclusiveness, accountability, and transparency, often pre-empting or distorting legitimate national and local decision-making processes.

The Fund's Democratic Practice program has two parts: advancing a vital and inclusive democracy in the United States and strengthening democratic practice in global governance. Based on a careful assessment of local needs and priorities, the Fund also pursues one or more of the democratic principles underlying the program in its "pivotal places." Recognizing that there is no single model of effective democratic practice, the Fund emphasizes flexibility and adaptability to different contexts in these pivotal places.

DEMOCRACY IN THE UNITED STATES

Democracy in the United States is facing myriad challenges as persistent and deep divisions continue to undermine the nation's social, economic, and political vitality. The current U.S. political system suffers from outsized influence of money in politics, extreme partisanship, retrenchment of voting rights, issues with outdated and inefficient election administration, and concentrations of power in narrow segments of society not reflective of the larger population. Alternatively, new opportunities for systemic reform are developing and gaining traction. The nation is seeing a resurgence of grassroots political activism, protest, and a democratization of both traditional and social media. Digital resources are fueling different kinds of engagement and activism that are reaching people in entirely new ways. Further, the ability to leverage creative investigative and solution-based journalism and broadly available government and election data to improve both democratic systems and grassroots civic engagement provides exciting opportunities to build a vital and inclusive 21st-century democracy.

The Fund recognizes that the gaps between rich and poor, and white and non-white, are widening, while the diversity of elected officials remains misaligned with the electorate, fundamentally undermining the quality of representative democracy. Exorbitant amounts of private money spent on political campaigns and lobbying by a very small percentage of the electorate profoundly distort the political system. Others without the financial resources to influence public policy are further marginalized, undermining the ability of voters and constituents to hold elected officials accountable and fostering public cynicism and distrust of elected officials and public institutions.

The quality of our political culture continues to deteriorate. Consequently, there are fewer and fewer examples of true bipartisanship and constructive compromise in state and federal legislatures. Additionally, partisan actors, with a goal of achieving partisan supremacy rather than ensuring democratic fairness, exert disproportionate control over voting rights, poll access, and redistricting. Participation in national elections remains below that of most advanced democracies, and turnout for local elections is persistently low. Moreover, fair, efficient, and effective election administration is undermined by inaccurate voter rolls and outdated processes and technology. In addition, eligible voters have been kept from the polls by restrictive voting laws, or worse, by overt voter-suppression efforts.

Meaningful and informed public participation in all phases of democracy in the United States provides the foundation for a truly vibrant democracy. The Fund believes that innovation in traditional grassroots organizing strategies, development of opportunities for underrepresented populations in civic leadership, and effective integration of digital media and communications into civic life are promising ways to improve public participation in governance. Authentic public participation in democracy lays the groundwork for substantive policy reforms that are a true reflection of our representative democracy.

Goal: Advance a Vital and Inclusive Democracy in the United States

In the United States, the Fund supports innovative strategies to strengthen and broaden participation in the practices and institutions of democratic governance, foster greater transparency, accountability, and responsiveness of government institutions, and promote social, economic, and racial justice in our democratic systems.

Strategies:

- Combating the corrupting influence of money in politics by supporting the adoption of public financing of electoral campaigns including judicial elections and selected other reforms to enhance the integrity of representative democracy.
- Increasing opportunities for meaningful citizen participation in democratic systems through election and voting reforms, including improvements in voting rights, election laws, redistricting processes, and election administration.
- Supporting movement-building strategies for systemic reform of democratic institutions to advance economic and racial justice.

The Democratic Practice—U.S. program works to enhance the quality of American democracy through support for high leverage opportunities at the federal, state, and local levels (including New York City as the Fund's home).

GLOBAL CHALLENGES

The dramatic increase in cross-border flows of capital, goods, and people and their values and ideas—“globalization”—is producing deep interdependencies and changes in power relations. It is a defining process of the 21st century, offering both challenges and opportunities.

Public engagement in decision making across all levels of governance must contend nowadays with powerful global actors, forces, and institutions, presenting profound challenges to democracy. Economic interests have largely overshadowed democratic practices, social equity, and environmental concerns in the evolution of global institutions. Powerful international trade and financial institutions remain opaque and exclusive, and the power and reach of multinational corporations often escape public scrutiny or effective regulation. Thus, although the impact of global forces on peoples' lives is growing, they face enormous impediments to both defend their existing rights and engage to meet new global challenges.

At the same time, globalization has opened up new opportunities for building cross-border, citizen-based coalitions, which are finding innovative ways to frame, address, and resolve global problems. Evolving

understandings of planetary limits and the drivers of climate change have given rise to citizen groups pressing for economic and environmental rights. Common experiences of inequality and the erosion of democracy have led to demands for changes in how rules of the global economy are written—and in whose interest. New technologies and ways of organizing undergird citizen networks working across languages, geographies, and cultures. These novel combinations of grassroots, professional, public, private, intergovernmental, and nongovernmental organizations are analyzing global processes, articulating alternatives, and advancing in democratic practice and accountability to address global challenges.

Goal: Advance Democratic Practices to Address Global Challenges

Strategies:

- Supporting evidence-based analysis and communication of the workings and impact of global processes and institutions.
- Supporting citizen organizing and other initiatives to align the rules of global commerce and energy and infrastructure finance, with social equity and environmental sustainability.
- Advancing select innovative ideas to frame and address global challenges and opportunities.

Priority is given to initiatives led by and in support of constituencies and perspectives underrepresented in efforts to forge solutions to global challenges.

SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES

Human activity is causing climate change, rapid loss of biodiversity, and accelerating degradation of Earth's life support systems. These developments threaten the livelihoods, health, and security of people in all nations and cultures as well as the well-being of the greater community of life. The RBF's sustainable development grantmaking endeavors to address these challenges by supporting development that meets the needs of the present without compromising the ability of future generations to meet their own needs. The program supports global stewardship that is ecologically based, economically sound, socially just, culturally appropriate, and consistent with intergenerational equity. The Fund encourages government, business, and civil society to work collaboratively on climate change, to acknowledge the moral and ethical consequences of inaction, and to make it an integral part of all development planning and activity. Recognizing the global nature of many environmental problems, the Fund also promotes international cooperation in addressing these challenges.

The Sustainable Development program maintains a significant focus on the United States in light of its disproportionate impact on the global economy, politics, and the environment. The program's work is also advanced in collaboration with the Fund's "pivotal place" programs—New York City, China, and the Western Balkans—and with the Democratic Practice program's Global Governance portfolio. Pivotal place programs support work in specific countries or regions to build the knowledge, policies, organizational capacity, and leadership needed to advance sustainable development in locally appropriate ways. The Fund's Global Governance portfolio supports broad participation in forging the international agreements and institutional arrangements needed to encourage investment in sustainable development. Fund staff work to ensure that global developments inform work in specific places and that locally

grounded efforts generate lessons and innovations needed for global impact.

With the recognition that the impact of unchecked climate change threatens all other conservation efforts, the Sustainable Development program focuses its U.S. grantmaking on building a green economy at the federal, state, and local levels.

Goal: Advance Solutions to Climate Change

Strategies:

- Building public and policymaker understanding and support for a range of actions to address the threat of climate change.
- Supporting implementation efforts to build a clean energy economy at the federal, state, and local levels.
- Supporting efforts to reduce reliance on carbon-intensive energy sources.
- Supporting targeted efforts to advance international progress on climate change.

The Sustainable Development program is focused on efforts to promote effective climate policies in the United States through support for high-leverage opportunities at the federal, state, and municipal levels (including New York City as the Fund's home). In addition, the program provides support for select international efforts to advance global climate negotiations.

PEACEBUILDING PROGRAM GUIDELINES

Numerous and diverse factors drive threats to global peace and security. From crises of governance between nation states and their citizens to environmental threats, weapons flows, and violent conflict—the effects of which transcend borders—the magnitude of today's challenges requires systemic approaches. In light of unchecked xenophobic nationalism, the mass displacement of people, and weakening multilateral institutions, civil society has a critical role to play. A new generation of leaders is urgently needed to adapt existing frameworks for conflict transformation to address human security needs.

As the world evolves rapidly toward a multipolar reality in which a growing array of actors pursue their interests from positions of political and economic power, multilayered strategies are needed to create the conditions for just and durable peace. Local communities are best placed to diffuse conflict and mediate its prevention and resolution. Policy choices in the United States and other influential countries also remain critical to correcting the structural inequities and injustices that drive and exacerbate conflict. As isolationist tendencies and pressures on transnational alliances grow, promoting local resiliency and strengthening effective mechanisms for international and regional policy collaboration are essential for promoting nonviolence and cultivating peace.

The Fund believes that conflict transformation requires long-term strategic investments and a diverse constellation of actors to advance justice and equality. The Peacebuilding program aspires, therefore, to strengthen grassroots constituencies for peace and to promote innovative policy efforts that address drivers of conflict and are grounded in the realities and perspectives of affected women, youth, and local

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communities. The program focuses on conflicts that have a disproportionate influence on global insecurity and violence and in which the United States has a significant involvement.

Goal: Advance Just and Durable Peace

The Fund pursues the following interrelated strategies with respect to conflicts in the wider Middle East, focusing on Afghanistan, Israel/Palestine, and the U.S.-Iran relationship.

Strategies:

- Supporting innovative and collaborative approaches to and policies for conflict prevention and transformation at the multilateral, regional, and national levels.
- Strengthening constituencies and political will for conflict transformation and durable peace.

CULPEPER ARTS & CULTURE PROGRAM GUIDELINES

The Charles E. Culpeper Arts & Culture grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. The Fund is inspired by the conviction that arts and culture promote free expression, foster a deeper understanding of human experience and diverse communities, and provide a fresh lens on persistent problems and emerging challenges. The Fund aims to strengthen the cultural environment of the city by supporting its rich artistic community and the diversity of the city's population. Special interests of the Fund are to sustain and advance small and mid-size cultural organizations, particularly those that are community-based and/or culturally specific, and to provide opportunities for artists of color and other underrepresented artists to contribute to the cultural vitality of New York City. The Fund also seeks to encourage mainstream, larger institutions to present underrepresented artists and to find innovative ways to engage new and diverse audiences.

The Pocantico Center, located in Tarrytown, New York, is an important resource in the Fund's efforts to support artists and the creative process, advance cultural awareness, and foster artistic collaborations. The center provides time and space for aesthetic exploration and opportunities for nurturing artistic imagination.

Grants support the creative process and build the capacity of small and mid-size arts and cultural institutions across all arts disciplines, and promote diversity in the city's creative life. Capacity-building grants support strategic planning, technology enhancements, board and staff development, marketing, and outreach initiatives.

Goal: Nurture a Vibrant and Inclusive Arts Community in New York City

Strategies:

- Supporting organizations that assist individual artists and the creative process with an emphasis on providing opportunities for artists of color and other underrepresented artists.

- Providing artist residencies, in partnership with grantee organizations, at The Pocantico Center.
- Building capacity in small and mid-size cultural organizations, particularly those that are community-based and/or reflect the city's diversity.

The Fund prioritizes support for organizations with annual budgets under \$4 million. Given limited resources and to enable the Fund to be responsive to a wider range of applicants, grantee organizations must wait a minimum of three years from their grant final payment before reapplying. The Fund does not make grants to individuals.

PIVOTAL PLACE: CHINA PROGRAM GUIDELINES

The Rockefeller Brothers Fund established its China-focused grantmaking program in 2005 with an emphasis on southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues more than a century of Rockefeller family philanthropy in China, which includes the founding of the Peking Union Medical College in 1917.

At the core of the RBF's work in China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. With innovative government policy, a vibrant marketplace, and growing public participation, China has the potential to lead the world in sustainable approaches to development.

China has registered extraordinary progress since it launched an era of reform and opening 40 years ago, which includes, among many achievements, the elevation of hundreds of millions of people out of extreme poverty and the growth of China's economy into the second largest in the world. This rapid development, however, has been accompanied by profound challenges, including severe environmental degradation with adverse human health and economic impacts and significant threats associated with climate change.

More recently, government and citizen concerns over the health impacts of environmental pollution have become a powerful driver for change in China, prompting a shift in expectations about the relationship between development and the environment. In 2017 China embarked on a new era of development, one that strives for an "ecological civilization" and harmony between people and nature. Furthermore, China has emerged as a determined leader in global environmental and climate governance. Still largely untapped is its vast potential to shape environmental policies as well as climate action in the Asian region and beyond through its investment patterns, sharing of lessons learned, and support for sustainable finance for development.

These developments point to a transformative moment in China's environmental and climate policymaking and governance. As the Chinese government and people take significant steps to address environmental pollution, climate change, and their impact on public health in an increasingly integrated way, both domestically and internationally, the Fund will assist these efforts by engaging with and fostering collaborations among the government, business, civil society, and academia. The Fund will encourage solutions that take into account social, economic, and cultural considerations and will emphasize capacity building at all levels.

Goal: Advance Healthy and Low-Carbon Development

Strategies:

- Supporting evidence-based policies that mitigate environmental pollution, climate change, and risks to human health.
- Strengthening environmental governance by promoting accountability, transparency, access to information, and legal protection.
- Engaging the private sector and catalyzing market forces to advance sustainable practices.
- Encouraging the development of indigenous philanthropy in support of a healthy and low-carbon future.

SUSTAINABLE DEVELOPMENT

Goal: Advance Healthy and Low-Carbon Development

Strategies:

- Supporting evidence-based policies that mitigate environmental pollution, climate change, and risks to human health.
- Strengthening environmental governance by promoting accountability, transparency, access to information, and legal protection.
- Engaging the private sector and catalyzing market forces to advance sustainable practices.
- Encouraging the development of indigenous philanthropy in support of a healthy and low-carbon future.

PIVOTAL PLACE: WESTERN BALKANS PROGRAM GUIDELINES

The Rockefeller Brothers Fund (RBF) has been engaged in grantmaking in the Western Balkans since 2001, following 20 years of experience supporting transition processes and EU accession in Central and Eastern Europe. The Fund's work has been predicated on the belief that long-term peace and prosperity in Europe can only be achieved with successful post-communist and post-conflict transitions of the countries of the Balkans. RBF grantmaking in the Western Balkans has aimed to nurture healthy democratic processes, support regional initiatives to debunk persistent myths and prejudices, and encourage development of indigenous philanthropy and a vibrant civil society.

The countries of the Western Balkans are still in a process of transformation to truly democratic societies where institutions of governance are transparent, accountable, and responsive, and citizens are informed and assertive. Although some reforms have been achieved, many challenges remain. Some of the obstacles reflect the legacy of conflict and unresolved tensions among countries and communities, and these must be addressed to realize justice, equality, and peace for all people in the region.

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The Fund supports efforts of civil society groups, governmental institutions, and other partners to strengthen democratic practice, advance reconciliation and durable peace, enable sustainable development, and promote a European future for the region. The Fund values and highlights the richness of the region's ethnic, gender, age, cultural, and racial diversity and promotes pluralistic and inclusive societies. Efforts at the regional, national, and local levels, including grassroots engagement and movement building, are supported.

The Fund focuses on Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina, with Albania and North Macedonia also included in regional initiatives. The interdependence among the countries, the common challenges they face, and the potential they share as a subregion within Europe present a unique opportunity for successful transformation, integration, and long-term stability.

The RBF is pursuing the following goal and strategies across the Western Balkans region:

Goal: Advance a peaceful, democratic, and European Western Balkan

Strategies:

- Supporting efforts to improve transparency and accountability in governance.
- Strengthening constituencies for reconciliation and enduring peace.
- Advancing sustainable development, focusing on renewable energy and healthy environments.
- Supporting the development of indigenous philanthropy to advance social transformation.

The Fund prioritizes support for organizations in the Western Balkans.

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Organization & Request	Tax Status	Beginning Balance 2019	Approved in 2019	Paid in 2019	Modifications or Lapses	Ending Balance
<u>2 Degrees Investing Initiative</u>						
\$400,000						
For general support						
19-97						
97 Rue La Fayette						
Paris 75009						
France	PC	\$ -	\$ 400,000	\$ 250,000	\$ -	\$ 150,000
<u>2030, Inc.</u>						
\$550,000						
For its Achieving Zero project						
17-07						
607 Cerrillos Road, Suite G						
Santa Fe, NM 87505						
United States	PC	\$ 125,000	\$ -	\$ 125,000	\$ -	\$ -
<u>2030, Inc.</u>						
\$500,000						
For general support						
18-75						
607 Cerrillos Road, Suite G						
Santa Fe, NM 87505						
United States	PC	\$ 250,000	\$ -	\$ 250,000	\$ -	\$ -
<u>2030, Inc.</u>						
\$200,000						
For achieving a zero net carbon building sector in China						
19-279						
607 Cerrillos Road, Suite G						
Santa Fe, NM 87505						
United States	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>2030, Inc.</u>						
\$50,000						
For its Carbon Positive project						
19-770						
607 Cerrillos Road, Suite G						
Santa Fe, NM 87505						
United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>350.org</u>						
\$200,000						
For general support						
19-751						
20 Jay Street, Suite 732						
Brooklyn, NY 11201						
United States	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>35mm</u>						
\$80,000						
For its Regional Robin Hood project						
19-367						
Studentska ulica 21/A						
Podgorica 81000						
Montenegro	PC	\$ -	\$ 80,000	\$ 80,000	\$ -	\$ -
<u>A Jewish Voice for Peace, Inc.</u>						
\$175,000						
For general support						
19-504						
P.O. Box 589						
Berkeley, CA 94701						
United States	PC	\$ -	\$ 175,000	\$ 75,000	\$ -	\$ 100,000

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Organization & Request	Tax Status	Beginning Balance 2019	Approved in 2019	Paid in 2019	Modifications or Lapses	Ending Balance
<u>Action Center on Race and the Economy Institute</u>						
\$41,502 For general support 19-456 1901 West Carroll Avenue Chicago, IL 60612 United States	PC	\$ -	\$ 41,502	\$ 41,502	\$ -	\$ -
<u>Afghan Women's Network</u>						
\$100,000 For general support 19-555 Karti-e-Parwan, Next to Naderya High School, Line #1, House #22 Kabul 1003 Afghanistan	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Afghanistan Analysts Network</u>						
\$100,000 For general support 18-161 Weitzgruender Strasse 48 Berlin 12623 Germany	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Afghanistan Independent Human Rights Commission</u>						
\$150,000 For general support 19-813 Faiz Mohammad Katib Street, Darul Aman Road, Between the MRRD and Supreme Audit Office, District 6 Kabul 829 Afghanistan	GOV	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>Akevot Institute for Israeli-Palestinian Conflict Research</u>						
\$75,000 For general support 18-401 12 David Hachmi Street Tel Aviv-Jaffa 6777812 Israel	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Alliance of Resident Theatres/New York, Inc.</u>						
\$100,000 For enhancing development and branding 18-375 520 Eighth Avenue, Suite 319 New York, NY 10018 United States	PC	\$ 43,134	\$ -	\$ 43,134	\$ -	\$ -
<u>Amanda + James Incorporated</u>						
\$20,000 For a residency at The Pocantico Center 19-392 94 Mercer Street New York, NY 10012 United States	PC	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -

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<u>Amazon Watch</u> \$75,000 For a Strategic Communications project 19-735 502 3rd Street, Suite 108 Oakland, CA 94607 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>American Council for an Energy Efficient Economy</u> \$60,000 For its project, Defending Fuel Economy Standards 19-67 529 14th Street, NW, Suite 600 Washington, D.C. 20045 United States	PC	\$ -	\$ 60,000	\$ 60,000	\$ -	\$ -
<u>American Friends Service Committee</u> \$35,000 For its Israel Program 19-568 1501 Cherry Street Philadelphia, PA 19102 United States	PC	\$ -	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>American Prospect, Inc.</u> \$50,000 For its project, Prospect Magazine: Green New Deal Edition 19-370 1225 I Street, NW, Suite 600 Washington, D.C. 20005 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Americans for a Vibrant Palestinian Economy</u> \$40,000 For general support 19-159 2700 Patriot Boulevard, Suite 250 Glenview, IL 60026 United States	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>Amnesty International of the USA, Inc.</u> \$50,000 For its Global Human Rights and Climate Change Civil Society Summit 19-491 5 Penn Plaza New York, NY 10001 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Arms Control Association</u> \$40,000 For its project, the Forum on the Arms Trade 19-637 1200 18th Street, NW, Suite 1175 Washington, D.C. 20036-1207 United States	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>ArteEast, Inc.</u> \$50,000 For general support 19-57 320 7th Avenue #182 Brooklyn, NY 11215 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>Ascension Music Chorus and Orchestra, Inc.</u> \$10,000 For support of the 2019-2020 Voices of Ascension programming series 19-528 12 West 11th Street New York, NY 10011 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Asia Society</u> \$25,000 For fostering greater understanding of China's NGO environment 19-50 725 Park Avenue New York, NY 10021-5088 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Asociacion Nacional de Afrocolombianos Desplazados</u> \$25,000 For its project, the Ethnic Alliance for Peace 19-682 Calle 17 10 11 P 3 Bogota Colombia	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Asociacion Sotz'il</u> \$25,000 For its project Ija'tz: one grain of maize 19-686 4to. callejón casa 1-222 Colonia San Rafael zona 2 Chimaltenango 4001 Guatemala	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Aspen Global Change Institute, Inc.</u> \$75,000 For its Climate Communication project 19-622 104 Midland Avenue, Suite 205 Basalt, CO 81621 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Association for the Development and Research of Performing Arts in BiH "Kontakt"</u> \$80,000 For general support 19-136 Bihacka 5 Sarajevo 71000 Bosnia and Herzegovina	PF	\$ -	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>Association Network for Building Peace</u> \$50,000 For general support 19-162 Obala Kulina bana 39 Sarajevo 71000 Bosnia and Herzegovina	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Atlantic Council of the U.S., Inc.</u> \$225,000 For its South Asia Center 18-129 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -

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<u>Balkan Civil Society Development Network</u>						
\$100,000 For general support 19-158 20ti Oktomvri No. 1 / 2 Skopje 1000 Macedonia	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Balkan Green Foundation</u>						
\$270,000 For general support 19-112 2 Korriku 2/3 Pristina 10000 Republic of Kosovo	PC	\$ -	\$ 270,000	\$ 135,000	\$ -	\$ 135,000
<u>Balkan Green Foundation</u>						
\$6,000 For redesigning its Philanthropy for Green Ideas' website 19-814 2 Korriku 2/3 Pristina 10000 Republic of Kosovo	PC	\$ -	\$ 6,000	\$ 6,000	\$ -	\$ -
<u>Balkan Investigative Reporting Network</u>						
\$100,000 For general support 19-127 Mesa e Studenteve, Kati i Pare Pristina 10000 Republic of Kosovo	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Balkan Investigative Reporting Regional Network</u>						
\$360,000 For general support 19-129 Branilaca Sarajeva 14 Sarajevo 71000 Bosnia and Herzegovina	PC	\$ -	\$ 360,000	\$ 120,000	\$ -	\$ 240,000
<u>Ballet Theatre Foundation, Inc.</u>						
\$100,000 For a Studio Company residency and performance at The Pocantico Center 19-674 890 Broadway, 3rd Floor New York, NY 10003 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Bard College</u>						
\$100,000 For a new music theater co-commission production at its Fisher Center and residency at The Pocantico Center 19-204 P.O. Box 5000, 30 Campus Road Annandale-on-Hudson, NY 12504-5000 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Bard College</u>						
\$100,000 For its program, The Orchestra Now 18-204 P.O. Box 5000, 30 Campus Road Annandale-on-Hudson, NY 12504-5000 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -

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<u>Beijing Chaoyang District Yongxu Global Environmental Institute</u>						
\$600,000						
For general support						
18-113						
Tayuan Diplomatic Residence, Office Building No. 14, Liangmahe South Road						
Beijing 100062						
China	PC	\$ 302,212	\$ -	\$ 302,212	\$ -	\$ -
<u>Beijing Greenovation Institute for Public Welfare Development</u>						
\$400,000						
For its research on low-carbon development in China and the Greater Mekong Subregion						
18-219						
Room 309, Wanbo Building No. 53, Ganyu Hutong Beijing						
China	PC	\$ 201,112	\$ -	\$ 201,112	\$ -	\$ -
<u>Belgrade Open School</u>						
\$100,000						
For general support						
19-122						
Masarikova 5/16 Belgrade 11000						
Serbia	PF	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Better Future Project, Inc.</u>						
\$15,000						
For its Divest Harvard project						
19-383						
30 Bow Street Cambridge, MA 02138						
United States	PC	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -
<u>Bold Education Fund, Inc.</u>						
\$50,000						
For Keystone XL Mobilization						
19-422						
P.O. Box 254 Hastings, NE 68902						
United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Borealis Philanthropy</u>						
\$100,000						
For its Racial Equity in Philanthropy Fund						
18-252						
P.O. Box 3295 Minneapolis, MN 55403						
United States	PC	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -
<u>Breaking the Silence</u>						
\$120,000						
For general support						
18-86						
Mekor Haim 11, Apt. 10 Jerusalem						
Israel	PC	\$ 60,000	\$ -	\$ 60,000	\$ -	\$ -

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<u>BRIC Arts Media Bklyn, Inc.</u>						
\$100,000 For its BRIClab commissioning and residency program 19-74 647 Fulton Street Brooklyn, NY 11217 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Broadway Cares/Equity Fights AIDS, Inc.</u>						
\$25,000 For the development of new work and performance opportunities in its Dancers Responding to AIDS program 19-278 165 West 46th Street, Suite 1300 New York, NY 10036 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Bronx Museum of the Arts</u>						
\$250,000 For upcoming exhibits 19-752 1040 Grand Concourse Bronx, NY 10456 United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>Bronx River Alliance, Inc.</u>						
\$10,000 For conservation work in New York City 19-519 1 Bronx River Parkway Bronx, NY 10462 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Brooklyn Academy of Music, Inc.</u>						
\$100,000 For 2019 programming and support of a leadership transition 19-38 30 Lafayette Avenue Brooklyn, NY 11217 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>C40 Cities Climate Leadership Group, Inc.</u>						
\$40,000 For its Divest/Invest forum 18-331 120 Park Avenue, 23rd Floor New York, NY 10017 United States	PC	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -
<u>Campaign Legal Center, Inc.</u>						
\$200,000 For general support 18-268 1411 K Street, NW, Suite 1400 Washington, D.C. 20005 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Carbon Tracker Initiative, Inc.</u>						
\$200,000 For general support 19-24 300 Park Avenue New York, NY 10022 United States	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000

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<u>Carnegie Endowment for International Peace</u>						
\$200,000 For its U.S.-Iran initiative. 18-222 1779 Massachusetts Avenue, NW Washington, D.C. 20036 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Carnegie Endowment for International Peace</u>						
\$150,000 For its Middle East program 19-506 1779 Massachusetts Avenue, NW Washington, D.C. 20036 United States	PC	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>Carnegie Hall Corporation</u>						
\$45,000 For a residency for its Ensemble Connect fellows at The Pocantico Center 19-231 881 Seventh Avenue New York, NY 10019 United States	PC	\$ -	\$ 45,000	\$ 45,000	\$ -	\$ -
<u>Center for a New American Security, Inc.</u>						
\$100,000 For its project, Escalation or Negotiation: The Future of U.S. Policy Toward Iran and U.S.-Iran Relations 19-580 1152 15th Street, NW, Suite 950 Washington, D.C. 20005 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Center for American Progress</u>						
\$400,000 For its U.S.-China Rising Climate Leaders Dialogue and Research program 19-562 1333 H Street, NW, 10th Floor Washington, D.C. 20005 United States	PC	\$ -	\$ 400,000	\$ 200,000	\$ -	\$ 200,000
<u>Center for Community Change</u>						
\$50,000 For general support 19-458 1536 U Street, NW Washington, D.C. 20009 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Center for Cultural Decontamination</u>						
\$60,000 For general support 18-412 Bircaninova 21 Belgrade 11000 Serbia	PC	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -
<u>Center for Democratic Transition</u>						
\$100,000 For general support 19-119 Vladike Danila 28 Podgorica Montenegro	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000

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<u>Center for Economic and Policy Research</u> \$60,000 For general support 19-210 1611 Connecticut Avenue, NW, Suite 400 Washington, D.C. 20009 United States	PC	\$ -	\$ 60,000	\$ 60,000	\$ -	\$ -
<u>Center for Economic Research and Social Change</u> \$40,000 For its project, Mondoweiss 18-27 4015 N. Rockwell Avenue Chicago, IL 60618 United States	PC	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -
<u>Center for Effective Philanthropy, Inc.</u> \$45,000 For general support. 18-473 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139 United States	PC	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -
<u>Center for Euro-Atlantic Studies</u> \$90,000 For general support 19-124 Dr. Dragoslava Popovica 15/15 Belgrade 11000 Serbia	PC	\$ -	\$ 90,000	\$ 45,000	\$ -	\$ 45,000
<u>Center for International Policy, Inc.</u> \$80,000 For its Security Assistance Monitor project 18-03 2000 M Street, NW, Suite 720 Washington, D.C. 20036 United States	PC	\$ 40,000	\$ -	\$ 40,000	\$ -	\$ -
<u>Center for International Policy, Inc.</u> \$150,000 For general support 19-565 2000 M Street, NW, Suite 720 Washington, D.C. 20036 United States	PC	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>Center for Investigative Journalism of Serbia</u> \$80,000 For general support 19-125 Resavska 28 Belgrade 11000 Serbia	PC	\$ -	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>Center for Political Accountability</u> \$90,000 For general support 19-282 1233 20th Street, NW, Suite 205 Washington, D.C. 20036 United States	PC	\$ -	\$ 90,000	\$ 90,000	\$ -	\$ -

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<u>Center for Strategic and International Studies, Inc.</u> \$120,000 For its project, Transforming U.S. Diplomacy and Assistance Toward the Western Balkans 19-133 1616 Rhode Island Avenue, NW Washington, D.C. 20036 United States	PC	\$ -	\$ 120,000	\$ 70,000	\$ -	\$ 50,000
<u>Center for Technology and Civic Life</u> \$250,000 For general support 18-326 220 N. Green Street Chicago, IL 60607 United States	PC	\$ 150,000	\$ -	\$ 150,000	\$ -	\$ -
<u>Chashama, Inc.</u> \$110,000 For space subsidies and programming 19-66 675 Third Avenue, 32nd Floor New York, NY 10017 United States	PC	\$ -	\$ 110,000	\$ 55,000	\$ -	\$ 55,000
<u>Chesapeake Climate Action Network</u> \$115,000 For general support. 18-257 P.O. Box 11138 Takoma Park, MD 20912 United States	PC	\$ 65,000	\$ -	\$ 65,000	\$ -	\$ -
<u>China Council for International Cooperation on Environment and Development</u> \$750,000 For Collaborative Research and Capacity Building on Environmental Governance, Low-carbon Urban Development, Green Finance, and Sustainable Consumption 17-234 115 Xizhimennan Street, Nanxiaojie Beijing 100035 China	GOV	\$ 250,000	\$ -	\$ 250,000	\$ -	\$ -
<u>China Dialogue Trust</u> \$400,000 For general support 18-425 Suite 306, Grayston Centre, 28 Charles Square London N1 6HT United Kingdom	PC	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -
<u>China Environmental Protection Foundation</u> \$400,000 For its NGO Platform on Environmental Governance project 18-404 Room 720, Environmental Protection Building No. 16, Guangqumen Nei Street, Dongcheng District Beijing 100062 China	PC	\$ 198,648	\$ -	\$ 198,648	\$ -	\$ -

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<u>China Environmental Protection Foundation</u> \$200,000 For its environmental law project 19-621 Room 720, Environmental Protection Building No. 16, Guangqumen Nei Street, Dongcheng District Beijing 100062 China	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>China Global Philanthropy Institute</u> \$400,000 To support philanthropic engagement on environmental and climate issues 18-407 8th Floor, Hopson Fortune Plaza, No. 13 Deshengmen Wai Street, Xicheng District Beijing 100088 China	PF	\$ 198,648	\$ -	\$ -	\$ -	\$ 198,648
<u>Chinese Academy of Sciences</u> \$100,000 For the Renewable Energy Planning Tools: Development and Pilot Application project of the Guangzhou Institute of Energy Conversion 19-192 No. 2, Nengyuan Road, Wushan, Tianhe District Guangzhou 510640 China	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Chinese Progressive Association</u> \$300,000 For its project, the Black Futures Lab Education Fund 19-432 1042 Grant Avenue, Suite 5 San Francisco, CA 94133 United States	PC	\$ -	\$ 300,000	\$ 100,000	\$ -	\$ 200,000
<u>Choose Love, Inc.</u> \$75,000 For general support 19-576 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Citizens' Association for Democracy and Civic Education - Civic Initiatives</u> \$40,000 For its project, International Civil Society Week 19-148 Kneza Miloša 4 Belgrade 11000 Serbia	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>City Futures, Inc.</u> \$25,000 For its report, The Power and Potential of Immigrant Artists 19-32 120 Wall Street, 20th Floor New York, NY 10005 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -

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<u>Civic Alliance</u>						
\$120,000						
For general support						
19-111						
Studentska ulica Lamela 9, br. 5						
Podgorica 8100						
Montenegro						
PC	\$	-	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Civic Association CRTA-The Center for Research, Transparency and Accountability</u>						
\$190,000						
For general support						
18-315						
Topolska 22						
Belgrade 11000						
Serbia						
PC	\$	95,000	\$ -	\$ 95,000	\$ -	\$ -
<u>Clean Air Initiative for Asian Cities Center, Inc.</u>						
\$300,000						
For promoting air-quality management in China						
18-215						
Unit 3504-3505, Robinsons-Equitable Tower, ADB						
Avenue						
Pasig City, NCR 1605						
Philippines						
PC	\$	150,834	\$ -	\$ 150,834	\$ -	\$ -
<u>Climate Accountability Institute</u>						
\$60,000						
For general support						
19-209						
1626 Gateway Road						
Snowmass, CO 81654						
United States						
PC	\$	-	\$ 60,000	\$ 60,000	\$ -	\$ -
<u>Climate Analytics, Inc.</u>						
\$140,000						
For its work on NDC ambition analyses and scenarios						
19-451						
115 E. 23rd Street, Suite 511						
New York, NY 10010						
United States						
PC	\$	-	\$ 140,000	\$ 70,000	\$ -	\$ 70,000
<u>Climate Jobs NY Education Fund, Inc.</u>						
\$100,000						
For its New York Offshore Wind project						
19-399						
c/o Central Labor Council, 275 7th Avenue						
New York, NY 10001						
United States						
PC	\$	-	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Climate Policy Initiative, Inc.</u>						
\$100,000						
For the Risk Analytics project of its Advisory Finance						
Group						
19-394						
180 Sansome Street, 10th Floor						
San Francisco, CA 94104						
United States						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -

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<u>Climate Policy Initiative, Inc.</u> \$200,000 For a climate finance project on frontier oil development 19-675 180 Sansome Street, 10th Floor San Francisco, CA 94104 United States	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>Clubbed Thumb, Inc.</u> \$50,000 For the production of a new play Plano, directed by Taylor Reynolds 19-326 440 Lafayette Street, 4th Floor New York, NY 10003 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>CoalSwarm</u> \$50,000 For its project, the SourceWatch Energy Wiki 19-384 1254 Utah Street San Francisco, CA 94110 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Colorado State University Foundation</u> \$100,000 For its Center for the New Energy Economy 18-256 P.O. Box 1870 Fort Collins, CO 80522-1870 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Colorado State University Foundation</u> \$100,000 For its Center for the New Energy Economy 19-23 P.O. Box 1870 Fort Collins, CO 80522-1870 United States	PC	\$ -	\$ 100,000	\$ 69,687	\$ -	\$ 30,313
<u>ColorOfChange.org Education Fund, Inc.</u> \$450,000 For general support and its Fight Back Table project 18-71 1714 Franklin Street, Suite 100-136 Oakland, CA 94612 United States	PC	\$ 175,000	\$ -	\$ 175,000	\$ -	\$ -
<u>COMET-ME</u> \$110,000 For general support 18-46 Tel Hai 3 Jerusalem 9210703 Israel	PC	\$ 55,000	\$ -	\$ 55,000	\$ -	\$ -
<u>Common Cause Education Fund</u> \$300,000 For general support and capacity building to support its strategic plan implementation 18-60 805 15th Street, NW, Suite 800 Washington, D.C. 20005 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -

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<u>Confluence Philanthropy</u>						
\$10,000						
For general support						
19-33						
436 14th Street, Suite 900						
Oakland, CA 94612						
United States						
PC	\$	-	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Consejo Nacional Anticorrupcion</u>						
\$25,000						
For its project, Tecnopolis 2019						
19-726						
Colonia San Carlos, Republic of Mexico Street						
Tegucigalpa						
Honduras						
PC	\$	-	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Conservative Energy Network</u>						
\$150,000						
For its States and Regions program						
19-16						
106 W. Allegan Street, Suite 200						
Lansing, MI 48933						
United States						
PC	\$	-	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>Consultative Group on Biological Diversity</u>						
\$32,550						
For 2019 membership and general support						
19-296						
P.O. Box 29361						
San Francisco, CA 94129						
United States						
PC	\$	-	\$ 32,550	\$ 32,550	\$ -	\$ -
<u>Consumers Association of Penang</u>						
\$50,000						
For general support						
19-633						
10, Jalan Masjid Negeri						
Pulau Penang 11600						
Malaysia						
PC	\$	-	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Council on Foreign Relations, Inc.</u>						
\$125,000						
For its Contingency Planning series						
19-516						
58 East 68th Street						
New York, NY 10065						
United States						
PC	\$	-	\$ 125,000	\$ 125,000	\$ -	\$ -
<u>Council on Foundations, Inc.</u>						
\$47,000						
For general support and membership for 2018 and 2019						
17-374						
1255 23rd Street, NW, Suite 200						
Washington, D.C. 20037						
United States						
PC	\$	23,500	\$ -	\$ 23,500	\$ -	\$ -
<u>Creative Capital Foundation</u>						
\$100,000						
For its 20th anniversary artist retreat						
19-75						
15 Maiden Lane, 18th Floor						
New York, NY 10038						
United States						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -

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<u>Crime and Corruption Reporting Network</u>						
\$150,000						
For general support						
19-116						
Makenzijeveva 46/12						
Belgrade 11000						
Serbia						
PC	\$	-	\$ 150,000	\$ 50,000	\$ -	\$ 100,000
<u>Crisis Action, Inc.</u>						
\$75,000						
For its Yemen campaign						
19-308						
866 United Nations Plaza, Suite 4018						
New York, NY 10017						
United States						
PC	\$	-	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Crisis Management Initiative</u>						
\$100,000						
For its project, Dialogue for Unity						
19-566						
Etelaranta 12						
Helsinki 00130						
Finland						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Cuban Artists Fund, Inc.</u>						
\$120,000						
For general support						
18-21						
10 Park Avenue, Apt. 12A						
New York, NY 10016						
United States						
PC	\$	60,000	\$ -	\$ 60,000	\$ -	\$ -
<u>CUSO International</u>						
\$50,000						
For its project, the World Refugee Council						
19-170						
44 Eccles Street						
Ottawa K1R 6S4						
Canada						
PC	\$	-	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Dance Service New York City, Inc.</u>						
\$100,000						
For its Immigrant Artists initiative						
18-97						
218 East 18th Street, 4th Floor						
New York, NY 10003						
United States						
PC	\$	50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Dance Works, Inc.</u>						
\$35,000						
For its Administrative Resource Toolkit						
19-337						
75 Broad Street, Suite 304						
New York, NY 10004						
United States						
PC	\$	-	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>Defence for Children International - Palestine</u>						
\$40,000						
For its U.S. program						
19-569						
Al-Sartawi Building, 3rd Floor						
Ramallah 90624						
Palestine						
PC	\$	-	\$ 40,000	\$ 40,000	\$ -	\$ -

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<u>Democracy 21 Education Fund</u>						
\$150,000						
For general support.						
18-155						
2000 Massachusetts Avenue, NW						
Washington, D.C. 20036						
United States	PC	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -
<u>Democracy Works, Inc.</u>						
\$450,000						
For general support						
19-51						
20 Jay Street, Suite 840						
Brooklyn, NY 11201						
United States	PC	\$ -	\$ 450,000	\$ 225,000	\$ -	\$ 225,000
<u>Democratization Policy Council e.V.</u>						
\$120,000						
For general support						
19-110						
Sybelstr. 10						
Berlin 10629						
Germany	PF	\$ -	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Demos: A Network for Ideas and Action, Ltd.</u>						
\$350,000						
For general support						
18-70						
80 Broad Street, 4th Floor						
New York, NY 10004						
United States	PC	\$ 175,000	\$ -	\$ 175,000	\$ -	\$ -
<u>DokuFest</u>						
\$70,000						
For general support						
19-137						
Marin Barleti nn						
Prizren 20000						
Republic of Kosovo	PC	\$ -	\$ 70,000	\$ 35,000	\$ -	\$ 35,000
<u>DRUM-Desis Rising Up and Moving, Inc.</u>						
\$10,000						
For its Census project						
19-525						
72-18 Roosevelt Avenue						
Jackson Heights, NY 11372						
United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Earth Island Institute, Inc.</u>						
\$10,000						
For its Women's Earth Alliance project, Strengthening Ethical Sourcing in Fashion by Centering Women's Environmental Leadership in Value Chains						
19-515						
2150 Allston Way, Suite 460						
Berkeley, CA 94704						
United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Earthworks</u>						
\$20,000						
For its COP 25 activities						
19-737						
1612 K Street, NW, Suite 904						
Washington, D.C. 20006						
United States	PC	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -

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<u>East West Center</u> \$80,000 For general support 19-123 Hamdije Kresevljakovica, No. 27 Sarajevo 71000 Bosnia and Herzegovina	PC	\$ -	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>Educapaz</u> \$75,000 For its commission for the Clarification of Truth, Coexistence and Non-Repetition project 19-680 Cra. 11 #75-71 Bogota Colombia	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Education for Just Peace in the Middle East</u> \$140,000 For general support 19-567 1011 Arlington Boulevard, Suite 230 Arlington, VA 22209 United States	PC	\$ -	\$ 140,000	\$ 65,000	\$ -	\$ 75,000
<u>Electrification Coalition Foundation</u> \$50,000 For general support 19-12 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Environmental Foundation Michael Otto</u> \$20,000 For its F20 Initiative 19-736 Glockengießerwall 26 Hamburg 20095 Germany	PC	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -
<u>Environmental Grantmakers Association</u> \$24,800 For 2019 membership and general support 19-27 475 Riverside Drive New York, NY 10115 United States	PC	\$ -	\$ 24,800	\$ 24,800	\$ -	\$ -
<u>Environmental Law & Policy Center of the Midwest</u> \$150,000 For its Midwest Climate Cities Solution Actions initiative 18-184 35 East Wacker Drive, Suite 1600 Chicago, IL 60601-2110 United States	PC	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -
<u>Environmental Protection Network</u> \$100,000 For general support 18-260 P.O. Box 42022 Washington, D.C. 20015 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -

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<u>Equal Rights Beyond Borders e.V.</u>						
\$50,000						
For its Family Reunification and Legal Assistance for Asylum Seekers in Greece project						
19-656						
Reinickendorfer Str. 97						
Berlin 13347						
Germany						
PC	\$	-	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Euro-Mediterranean Foundation of Support to Human Rights Defenders</u>						
\$75,000						
For its project to scale up the provision of legal aid and representation services for Egyptian activists and nongovernmental organizations						
19-514						
Vestergade 16, 2nd Floor						
Copenhagen DK-1456						
Denmark						
PC	\$	-	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>European Council on Foreign Relations</u>						
\$100,000						
For its Dayton2020 project						
19-598						
Unter den Linden 17						
Berlin 10117						
Germany						
PC	\$	-	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>European Council on Foreign Relations</u>						
\$120,000						
For its Transatlantic Taskforce on Iran						
19-259						
Unter den Linden 17						
Berlin 10117						
Germany						
PC	\$	-	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>European Foundation Centre</u>						
\$45,000						
For its Grantmakers East Forum						
19-322						
Rue Royale 94, Philanthropy House						
Brussels 1000						
Belgium						
PC	\$	-	\$ 45,000	\$ 15,000	\$ -	\$ 30,000
<u>European Leadership Network</u>						
\$140,000						
For its Iran project						
19-305						
Southbank House, Black Prince Road						
London SE1 7SJ						
United Kingdom						
PC	\$	-	\$ 140,000	\$ 70,000	\$ -	\$ 70,000
<u>European Middle East Project</u>						
\$100,000						
For general support						
18-05						
Huidevettersstraat 165						
Brussels B-1000						
Belgium						
PF	\$	50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>European Middle East Research Group</u>						
\$80,000						
For general support						
18-196						
Center for Middle East Studies, Lund University						
Lund 221 00						
Sweden						
PC	\$	40,000	\$ -	\$ -	\$ -	\$ 40,000

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<u>Forum for Civic Initiatives</u>						
\$130,000						
For its Civil Society House project in Kosovo						
18-119						
Sejdi Kryeziu, Blloku 20, Nr. 3						
Pristina 10000						
Republic of Kosovo						
PC	\$	40,000	\$ -	\$ -	\$ -	\$ 40,000
<u>Forum MNE</u>						
\$120,000						
For its project, International Youth Village						
19-130						
ul. Bratstva i jedinstva br. 4						
Podgorica 81000						
Montenegro						
PC	\$	-	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Forward Thinking</u>						
\$140,000						
For its project, Engaging the Israeli Religious and Political						
Right						
19-160						
83 Clerkenwell Road						
London EC1R 5AR						
United Kingdom						
PC	\$	-	\$ 140,000	\$ 87,279	\$ -	\$ 52,721
<u>Foundation Catalyst</u>						
\$80,000						
For general support						
19-437						
Takovska 38/23						
Belgrade 11000						
Serbia						
PC	\$	-	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>Foundation Center</u>						
\$525,000						
For its merger with GuideStar and general support						
19-08						
32 Old Slip, 24th Floor						
New York, NY 10008						
United States						
PC	\$	-	\$ 525,000	\$ 480,674	\$ -	\$ 44,326
<u>Fractured Atlas, Inc.</u>						
\$100,000						
For its project, The Dreamers						
19-76						
248 W. 35th Street, 10th Floor						
New York, NY 10001-2505						
United States						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Fractured Atlas, Inc.</u>						
\$40,000						
For its Donkeysaddle projects						
19-571						
248 W. 35th Street, 10th Floor						
New York, NY 10001-2505						
United States						
PC	\$	-	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>Fractured Atlas, Inc.</u>						
\$250,000						
For its project, Wallplay						
19-496						
248 W. 35th Street, 10th Floor						
New York, NY 10001-2505						
United States						
PC	\$	-	\$ 250,000	\$ 125,000	\$ -	\$ 125,000

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<u>Free Press</u>						
\$300,000 For general support 19-53 40 Main Street, Suite 301 Florence, MA 01062 United States	PC	\$ -	\$ 300,000	\$ 150,000	\$ -	\$ 150,000
<u>Friends of FAFG, Inc.</u>						
\$25,000 For a local outreach campaign to increase participation of affected families in the search for and documentation of the disappeared 19-757 1666 Connecticut Avenue, NW, Suite 420 Washington, D.C. 20009 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Friends of Nature</u>						
\$450,000 For general support 18-115 Apt. 201 Building A, Huazhan Guoji Gongyu, No. 12 Yumin Road, Chaoyang District Beijing 10029 China	PC	\$ 226,659	\$ -	\$ 226,659	\$ -	\$ -
<u>Friends of the Cuttyhunk Public Library, Inc.</u>						
\$25,000 For its rebuilding project 19-264 P.O. Box 10 Cuttyhunk, MA 02713 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Friends of the Earth</u>						
\$200,000 For continued support of its Economic Policy Program's initiative, Advancing and Protecting Sustainability Standards in Development Financing: Addressing the Coal Challenge 18-278 1101 15th Street, NW, 11th Floor Washington, D.C. 20005 United States	PC	\$ 24,172	\$ -	\$ 24,172	\$ -	\$ -
<u>Friends of the Earth</u>						
\$100,000 For its China Sustainable Finance Program 19-608 1101 15th Street, NW, 11th Floor Washington, D.C. 20005 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Friends of the Earth Europe</u>						
\$58,000 For its work on trade 19-163 Mundo-b building, 26 rue d'Edimbourg Brussels 1050 Belgium	PC	\$ -	\$ 58,000	\$ 58,000	\$ -	\$ -

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<u>Fund for Active Citizenship</u>						
\$130,000						
For its Civil Society House project in Montenegro						
18-435						
Vaka Djurovica - Nova Varos II						
Podgorica 81000						
Montenegro	PC	\$ 87,000	\$ -	\$ 87,000	\$ -	\$ -
<u>Fund for Active Citizenship</u>						
\$120,000						
For general support						
19-131						
Vaka Djurovica - Nova Varos II						
Podgorica 81000						
Montenegro	PC	\$ -	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Fund for Constitutional Government</u>						
\$70,000						
For its project, the Peace and Security Funders Group						
18-30						
122 Maryland Avenue, NE						
Washington, D.C. 20002						
United States	PC	\$ 35,000	\$ -	\$ 35,000	\$ -	\$ -
<u>Fund for Global Human Rights</u>						
\$75,000						
For its Egypt work						
19-398						
1301 Connecticut Avenue, NW, Suite 400						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Fund for Global Human Rights</u>						
\$100,000						
For support of collaborative activities to advance human rights and justice in Mexico						
19-684						
1301 Connecticut Avenue, NW, Suite 400						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Fundacion Paz y Reconciliacion</u>						
\$25,000						
For research and reporting on the conflict in Colombia						
19-683						
La Macarena						
Bogota						
Colombia	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Fundacion Programa Salvadoreno de Investigacion Sobre Desarrollo y Medio Ambiente</u>						
\$25,000						
For its project, Voices from the Front Lines						
19-725						
Sacred Heart Passage, No. 821, Step Colony						
San Salvador						
El Salvador	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Genetic Resources Action International</u>						
\$48,000						
For its project, bilaterals.org						
19-206						
Girona 25, principal						
Barcelona 08010						
Spain	PC	\$ -	\$ 48,000	\$ 23,132	\$ -	\$ 24,868

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<u>Gisha - Legal Center for Freedom of Movement</u> \$50,000 For general support 19-277 42 Harakevet Street Tel Aviv-Jaffa 6777008 Israel	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Glasswing International USA, Inc.</u> \$25,000 For general support 19-687 25 Broadway, 9th Floor New York, NY 10004 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Global Catholic Climate Movement</u> \$100,000 For its Divest-Invest Campaign 19-28 1050 K Street, NW, Suite 400 Washington, D.C. 20001 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Global Exchange</u> \$75,000 For its project, the Our World Is Not For Sale network, for its work related to the World Trade Organization 19-225 1446 Market Street San Francisco, CA 94102 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Global Legal Action Network</u> \$200,000 For its work on Israel/Palestine 18-132 57-62 Lincoln's Inn Fields London WC2A 3LJ United Kingdom	PF	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Grantmakers for Effective Organizations</u> \$24,330 For general support and membership for 2018 and 2019 17-350 1310 L Street, NW, Suite 650 Washington, D.C. 20005 United States	PC	\$ 7,640	\$ -	\$ 9,050	\$ 1,410	\$ -
<u>Grantmakers for Effective Organizations</u> \$15,000 For its internal diversity, equity, and inclusion work 19-269 1310 L Street, NW, Suite 650 Washington, D.C. 20005 United States	PC	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -
<u>Grantmakers in the Arts</u> \$50,000 For its annual conference 19-335 522 Courtlandt Avenue, First Floor Bronx, NY 10451 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>Grassroots International, Inc.</u> \$25,000 For its donor-activist giving circle 19-657 179 Boylston Street, 4th Floor Boston, MA 02130 United States	PC	\$ -	\$ 25,000	\$ 15,000	\$ -	\$ 10,000
<u>Grassroots Jerusalem</u> \$125,000 For general support 19-572 Harun al Rashid 9 Jerusalem 9149302 Israel	PC	\$ -	\$ 125,000	\$ 50,000	\$ -	\$ 75,000
<u>GreenFaith</u> \$75,000 For general support 19-10 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Greenpeace Fund, Inc.</u> \$325,000 For its international climate work 19-502 702 H Street, NW, Suite 300 Washington, D.C. 20001 United States	PC	\$ -	\$ 325,000	\$ 175,000	\$ -	\$ 150,000
<u>groundWork Trust</u> \$100,000 For strengthening CSO networks working on energy and infrastructure in Africa 18-467 P.O. Box 2375 Pietermaritzburg 3200 South Africa	PC	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
<u>Growald Family Fund, Inc.</u> \$75,000 For co-funding its staff position of Asia Climate Finance Director 19-42 55 Pond Avenue, Suite 202E Brookline, MA 02445 United States	POF	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Growald Family Fund, Inc.</u> \$30,000 For management support of the ALFIE network 19-816 55 Pond Avenue, Suite 202E Brookline, MA 02445 United States	POF	\$ -	\$ 30,000	\$ 30,000	\$ -	\$ -
<u>Guangdong Harmony Foundation</u> \$600,000 For the Guangdong Harmony Environmental Fund 19-198 Room 515, Haizhu Science Park, Sun Yat-sen University, No. 135 Xingang Road Guangzhou 510275 China	PF	\$ -	\$ 600,000	\$ 300,000	\$ -	\$ 300,000

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<u>Guangzhou Yuexiu Kelaimete Environmental Protection Exchange Center</u>						
\$200,000						
For general support						
19-609						
Room 1221, Unit 4, Building 4, Dahezhuangyuan, No. 3						
Suzhou Street, Haidian District						
Beijing 100080						
China	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Hazel Incorporated</u>						
\$10,000						
For the development of a digital educational resource						
19-524						
720 West 181st Street, #31						
New York, NY 10033						
United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Heartland Initiative, Inc.</u>						
\$200,000						
For general support.						
18-170						
P.O. Box 369						
Michigan City, IN 46361						
United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Hebrew University of Jerusalem</u>						
\$35,000						
For its Community-Campus Partnership						
19-570						
Mount Scopus						
Jerusalem 91905						
Israel	PC	\$ -	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>Heinrich-Boll-Stiftung e.V.</u>						
\$100,000						
For its work on climate engineering						
19-189						
Schumannstrasse 8						
Berlin 10117						
Germany	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Hispanics in Philanthropy</u>						
\$20,000						
For general support and membership for 2018 and 2019						
17-347						
414 13th Street, Suite 200						
Oakland, CA 94612						
United States	PC	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -
<u>Hispanics in Philanthropy</u>						
\$15,000						
For its annual conference						
19-187						
414 13th Street, Suite 200						
Oakland, CA 94612						
United States	PC	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -
<u>Hopewell Fund</u>						
\$50,000						
For its project, Localized						
19-185						
1201 Connecticut Avenue, NW, Suite 300						
Washington, DC 20036						
United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>Humanitarian Law Center - Documentation and Memory</u> \$220,000 For its project, Strengthening Support for the RECOM Process, and for general support 19-121 Decanska 12 III/IV Belgrade 11110 Serbia	PC	\$ -	\$ 220,000	\$ 110,000	\$ -	\$ 110,000
<u>If Not Now</u> \$40,000 For general support 18-165 P.O. Box 26425 Washington, D.C. 20001 United States	PC	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -
<u>IM01 Limited</u> \$145,000 For its Afghanistan work 19-307 3 Dean Trench Street London SW1P 3HB United Kingdom	PC	\$ -	\$ 145,000	\$ 145,000	\$ -	\$ -
<u>ImpactAssets, Inc.</u> \$150,000 For its New Media Ventures Innovation Fund 19-178 7315 Wisconsin Avenue, Suite 1000W Bethesda, MD 201814 United States	PC	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>Inclusive Development International</u> \$150,000 For building capacity for sustainable Chinese overseas investment and finance 19-324 9 SW Pack Square, Suite 302 Asheville, NC 28801 United States	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>Independent Curators International</u> \$100,000 For diversifying the curatorial field 19-58 401 Broadway, Suite 1620 New York, NY 10013 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Independent Diplomat, Inc.</u> \$100,000 For general support 19-590 45 East 20th Street, 6th Floor New York, NY 10003 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Independent Journalists' Association of Vojvodina</u> \$180,000 For general support 19-109 Zmaj Jovina 3/1 Novi Sad 21000 Serbia	PC	\$ -	\$ 180,000	\$ 90,000	\$ -	\$ 90,000

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<u>Independent Sector</u>						
\$24,300						
For general support and membership for 2018 and 2019						
17-370						
1602 L Street, NW, Suite 900						
Washington, D.C. 20036						
United States	PC	\$ 12,150	\$ -	\$ 12,150	\$ -	\$ -
<u>Independent Sector</u>						
\$60,000						
For its public policy program.						
18-288						
1602 L Street, NW, Suite 900						
Washington, D.C. 20036						
United States	PC	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -
<u>Initiative for Progress</u>						
\$60,000						
For general support						
18-410						
St. Latif Hasani, nn						
Ferizaj 70000						
Republic of Kosovo	PC	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -
<u>Innovation Center for Energy and Transportation</u>						
\$320,000						
For general support						
19-274						
592 Garfield Avenue						
South Pasadena, CA 91030						
United States	PC	\$ -	\$ 320,000	\$ 160,000	\$ -	\$ 160,000
<u>Innovation Network for Communities</u>						
\$63,158						
For its High Ambition Climate Action Network						
Coordination project						
18-332						
156 Grover Lane						
Tamworth, NH 03886						
United States	PC	\$ 43,158	\$ -	\$ 43,158	\$ -	\$ -
<u>Innovation Network for Communities</u>						
\$25,000						
For its City Scale project						
19-29						
156 Grover Lane						
Tamworth, NH 03886						
United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Innovation Network for Communities</u>						
\$300,000						
For its Building Electrification initiative						
18-212						
156 Grover Lane						
Tamworth, NH 03886						
United States	PC	\$ 150,000	\$ -	\$ 150,000	\$ -	\$ -
<u>Innovation Network for Communities</u>						
\$120,000						
For its High Ambition Climate Action Network						
Coordination project						
19-673						
156 Grover Lane						
Tamworth, NH 03886						
United States	PC	\$ -	\$ 120,000	\$ 60,000	\$ -	\$ 60,000

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<u>Institute for Advanced Studies GAP</u>						
\$90,000						
For general support						
18-476						
Sejdi Kryeziu, 4/4						
Pristina 10000						
Republic of Kosovo						
PC	\$	45,000	\$ -	\$ 45,000	\$ -	\$ -
<u>Institute for Agriculture and Trade Policy</u>						
\$120,000						
For mapping and capacity building work to promote sustainable agriculture in China						
19-742						
2105 First Avenue South						
Minneapolis, MN 55404-2505						
United States						
PC	\$	-	\$ 120,000	\$ 120,000	\$ -	\$ -
<u>Institute for Agriculture and Trade Policy</u>						
\$75,000						
For its Climate and Livestock program						
19-450						
2105 First Avenue South						
Minneapolis, MN 55404-2505						
United States						
PC	\$	-	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Institute for Development Policy</u>						
\$145,000						
For general support						
19-135						
St. Fehmi Agani, no. 50, A/4						
Pristina 10000						
Republic of Kosovo						
PC	\$	-	\$ 145,000	\$ 60,000	\$ -	\$ 85,000
<u>Institute for Energy Economics and Financial Analysis, Inc.</u>						
\$307,000						
For general support						
19-211						
River's Edge, 3430 Rocky River Drive						
Cleveland, OH 44111						
United States						
PC	\$	-	\$ 307,000	\$ 157,000	\$ -	\$ 150,000
<u>Institute for Energy Economics and Financial Analysis, Inc.</u>						
\$25,000						
For its Puerto Rico Electric System Reform project						
19-424						
River's Edge, 3430 Rocky River Drive						
Cleveland, OH 44111						
United States						
PC	\$	-	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Institute for European Affairs</u>						
\$60,000						
For general support						
19-120						
27. marta 25a						
Belgrade 11120						
Serbia						
PC	\$	-	\$ 60,000	\$ 30,000	\$ -	\$ 30,000
<u>Institute for Governance and Sustainable Development, Inc.</u>						
\$150,000						
For its Greenhouse Gas Mitigation campaign						
17-338						
2300 Wisconsin Avenue, NW, Suite 300B						
Washington, D.C. 20007						
United States						
PC	\$	75,000	\$ -	\$ 75,000	\$ -	\$ -

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<u>Institute for Middle East Understanding</u> \$60,000 For general support 19-511 2913 El Camino Real, Suite 436 Tustin, CA 92782 United States	PC	\$ -	\$ 60,000	\$ 60,000	\$ -	\$ -
<u>Institute for Policy Studies</u> \$105,000 For its project, LobeLog 18-84 1301 Connecticut Avenue, NW, Suite 600 Washington, D.C. 20036 United States	PC	\$ 40,000	\$ -	\$ 40,000	\$ -	\$ -
<u>Institute for State Effectiveness</u> \$300,000 For general support 18-49 1050 30th Street, NW Washington, D.C. 20007 United States	PC	\$ 150,000	\$ -	\$ 150,000	\$ -	\$ -
<u>Institute for State Effectiveness</u> \$56,650 For its project, Communicating for Peace 19-587 1050 30th Street, NW Washington, D.C. 20007 United States	PC	\$ -	\$ 56,650	\$ 56,650	\$ -	\$ -
<u>Institute for State Effectiveness</u> \$200,000 For its Afghanistan Engagement project 19-434 1050 30th Street, NW Washington, D.C. 20007 United States	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>Institute for Urban Politics</u> \$90,000 For general support 19-108 Dusina 34/6 Belgrade 11000 Serbia	PC	\$ -	\$ 90,000	\$ 45,000	\$ -	\$ 45,000
<u>Institute of International Education, Inc.</u> \$145,500 For the Richard Rockefeller Fellowship 19-102 809 United Nations Plaza New York, NY 10017 United States	PC	\$ -	\$ 145,500	\$ 125,000	\$ -	\$ 20,500
<u>Institute of International Education, Inc.</u> \$235,000 For the Rockefeller Brothers Fund's Global Travel and Learning Fund 19-49 809 United Nations Plaza New York, NY 10017 United States	PC	\$ -	\$ 235,000	\$ 210,000	\$ -	\$ 25,000

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<u>Institute of International Education, Inc.</u> \$25,000 For its Refugee Scholarship project 19-147 809 United Nations Plaza New York, NY 10017 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Institute of International Education, Inc.</u> \$153,000 For the Richard Rockefeller Fellowship 17-236 809 United Nations Plaza New York, NY 10017 United States	PC	\$ 28,000	\$ -	\$ 12,452	\$ (15,548)	\$ -
<u>Institute of International Education, Inc.</u> \$300,000 For the Rockefeller Brothers Fund's Global Travel and Learning Fund 18-202 809 United Nations Plaza New York, NY 10017 United States	PC	\$ 105,000	\$ -	\$ 105,000	\$ -	\$ -
<u>Institute of Public and Environmental Affairs</u> \$1,000,000 For general support 18-114 Room 1, Floor 9, Building 6-1, Jianguomen Diplomatic Residence Compound Beijing China	PC	\$ 500,232	\$ -	\$ 500,232	\$ -	\$ -
<u>Instituto Centroamericano de Estudios Fiscales</u> \$25,000 For general support 19-727 12 Avenida 14-41, zone 10 Colonia Oakland Guatemala	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Integra</u> \$80,000 For general support 19-114 Rrustem Statovci n.n. 2/3 Pristina Republic of Kosovo	PC	\$ -	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>International Center for Not-for-Profit Law</u> \$60,000 For its project on safeguarding civil society in the United States 18-470 1126 16th Street, NW, Suite 400 Washington, D.C. 20036 United States	PC	\$ 34,443	\$ -	\$ 34,443	\$ -	\$ -
<u>International Council on Clean Transportation</u> \$400,000 For its project, Reducing Air Pollution from Transport in Southern China 17-397 1225 I Street, NW, Suite 900 Washington, D.C. 20005 United States	PC	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -

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<u>International Crisis Group</u>						
\$150,000						
For its project, Preventing a U.S.-Iran Confrontation: The Iran Trigger List						
19-574						
1629 K Street, NW, Room 450						
Washington, D.C. 20006						
United States						
PC	\$	-	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>International Federation for Human Rights</u>						
\$120,000						
For its Middle East and North Africa program						
19-276						
17, Passage de la Main-d'Or						
Paris 75011						
France						
PC	\$	-	\$ 120,000	\$ 120,000	\$ -	\$ -
<u>International Refugee Assistance Project, Inc.</u>						
\$200,000						
For general support						
19-518						
One Battery Park Plaza, 4th Floor						
New York, NY 10004						
United States						
PC	\$	-	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>International Rivers Network</u>						
\$100,000						
For general support						
19-212						
1330 Broadway, Third Floor						
Oakland, CA 94612						
United States						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Investor Watch</u>						
\$65,000						
For its project, the Nigeria Climate Finance Landscape Map						
19-321						
40 Bermondsey Street						
London SE1 3UD						
United Kingdom						
PC	\$	-	\$ 65,000	\$ 65,000	\$ -	\$ -
<u>Isha L'Isha Feminist Center - Haifa Women's Center</u>						
\$10,000						
For its project, Gun Free Kitchen Tables						
19-658						
Arlozorov 118						
Haifa 3327626						
Israel						
PC	\$	-	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Issue One</u>						
\$50,000						
For its Democracy Entrepreneurs project						
19-101						
1401 K Street, NW, Suite 350						
Washington, D.C. 20005						
United States						
PC	\$	-	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>J Street Education Fund, Inc.</u>						
\$150,000						
For general support						
18-16						
P.O. Box 66073						
Washington, DC 20035						
United States						
PC	\$	75,000	\$ -	\$ 75,000	\$ -	\$ -

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<u>Jazz Foundation of America, Inc.</u> \$10,000 For its project, Jazz Generation 19-530 247 West 37th Street New York, NY 10018 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Jobs with Justice Education Fund</u> \$75,000 For general support 19-461 1616 P Street, NW, Suite 150 Washington, DC 20036 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Journalism Development Network, Inc.</u> \$300,000 For its Organized Crime and Corruption Reporting Project in the Western Balkans 19-107 4401A Connecticut Avenue, NW, Suite 321 Washington, D.C. 20008 United States	PC	\$ -	\$ 300,000	\$ 100,000	\$ -	\$ 200,000
<u>Joyce Theatre Foundation, Inc.</u> \$125,000 For its Open Channels project 19-362 175 Eighth Avenue New York, NY 10011 United States	PC	\$ -	\$ 125,000	\$ 125,000	\$ -	\$ -
<u>Jubilee South Asia Pacific Movement, Inc.</u> \$75,000 For trans-local citizen organizing in Southeast Asia on coal and energy policy 19-230 Unit 1806, Tower C, M Place Condominium, Mother Ignacia Avenue Quezon City 1103 Philippines	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Just Vision, Inc.</u> \$250,000 For general support 19-258 1250 H Street, NW, Suite 300 Washington, D.C. 20005 United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>Khazaaen</u> \$30,000 For general support 19-508 al-Sheikh Jarrah, Toubler 14 Street Jerusalem 91000 Palestine	PC	\$ -	\$ 30,000	\$ 30,000	\$ -	\$ -
<u>Kyle Abraham Abraham in Motion, Inc.</u> \$145,000 For the creation of four new works entitled SOUL STORIES and a residency at The Pocantico Center 19-201 P.O. Box 986 New York, NY 10113 United States	PC	\$ -	\$ 145,000	\$ 65,000	\$ -	\$ 80,000

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<u>Lancang-Mekong Environmental Cooperation Center of the Ministry of Ecology and Environment</u>						
\$100,000						
For its Mekong Water and Environment project						
19-191						
No. 5, Houyingfang Hutong, Xicheng District						
Beijing 100035						
China						
	GOV	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Lawyers' Committee for Civil Rights Under Law</u>						
\$300,000						
For its Voting Rights project						
18-325						
1500 K Street, NW, Suite 900						
Washington, D.C. 20005						
United States						
	PC	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -
<u>Leadership Conference Education Fund</u>						
\$25,000						
For its Census Data Quality project						
19-697						
1620 L Street, NW, Suite 1100						
Washington, D.C. 20036						
United States						
	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Lost Light Projects, Inc.</u>						
\$400,000						
For general support						
18-42						
16 Court Street, Suite 2307						
Brooklyn, NY 11241						
United States						
	PC	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -
<u>Lower Manhattan Cultural Council, Inc.</u>						
\$150,000						
For its inaugural season of programming at its Arts Center and its 2019 River to River Festival						
19-333						
125 Maiden Lane, 2nd Floor						
New York, NY 10038						
United States						
	PC	\$ -	\$ 150,000	\$ 100,000	\$ -	\$ 50,000
<u>MADRE, Inc.</u>						
\$25,000						
For its project, Generating Movement-driven Foreign Policy for Peace: A Critical Conversation at the Pocantico Center						
19-730						
121 West 27th Street, Suite 301						
New York, NY 10001-6207						
United States						
	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Marshall-Wythe School of Law Foundation</u>						
\$25,000						
For the William and Mary Law School and National Center for State Courts' Election Law program's State Election Law eBenchbook project						
19-791						
613 South Henry Street						
Williamsburg, VA 23185						
United States						
	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -

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<u>MATTIN Group</u>						
\$200,000						
For general support						
18-164						
Square Baron Alfred Bouvier 6/4						
Brussels 1060						
Belgium						
PF		\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Media Impact Funders, Inc.</u>						
\$2,000						
For 2019 membership and general support						
19-330						
200 West Washington Square, Suite 220						
Philadelphia, PA 19106						
United States						
PC		\$ -	\$ 2,000	\$ 2,000	\$ -	\$ -
<u>Merck Family Fund</u>						
\$25,000						
For a Mass Transit Study of Infrastructure Costs						
19-295						
P.O. Box 870245						
Milton, MA 02187						
United States						
PF		\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Mexican Coalition for the Empowerment of Youth and Families</u>						
\$20,000						
For its project, Drawing Immigrants						
19-36						
389 East 150th Street, Office 3						
Bronx, NY 10455						
United States						
PC		\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -
<u>Mexicanos Contra la Corrupcion y la Impunidad</u>						
\$25,000						
For general support						
19-685						
Torre Omega, Campos Eliseos No. 345 col. Chapultepec						
Polanco, del. Miguel Hidalgo						
DF, Distrito Federal 11560						
Mexico						
PC		\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Middle East Children's Alliance</u>						
\$140,000						
For its project, Rawa: Creative Palestinian Communities						
Fund						
19-164						
1101 Eighth Street, Suite 100						
Berkeley, CA 94710						
United States						
PC		\$ -	\$ 140,000	\$ 70,000	\$ -	\$ 70,000
<u>Middle East Institute</u>						
\$75,000						
For its Middle East dialogue						
19-303						
1763 N Street, NW						
Washington, D.C. 20036						
United States						
PC		\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>Middle East Policy Network, Inc.</u>						
\$150,000						
For general support						
19-505						
P.O. Box 9613						
Washington, D.C. 20016-9997						
United States						
PC		\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000

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<u>Mission Investors Exchange, Inc.</u> \$14,000 For general support and membership for 2019 19-592 417 Fifth Avenue, 8th Floor New York, NY 10016 United States	PC	\$ -	\$ 14,000	\$ 14,000	\$ -	\$ -
<u>MOLAD-The Center for the Renewal of Democracy</u> \$100,000 For general support 18-169 Lloyd George 6 Jerusalem 9311006 Israel	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Monica Bill Barnes & Company, Inc.</u> \$25,000 For the premiere and second phase of development of Days Go By 19-415 41 Perry Street, Suite 2A New York, NY 10014 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Mozaik Foundation</u> \$200,000 For general support 19-139 Splitska 14 Sarajevo 71 000 Bosnia and Herzegovina	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Music Forward</u> \$40,000 For a concert at The Pocantico Center 19-497 1275 Prospect Avenue Brooklyn, NY 11218 United States	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>NAACP Legal Defense and Educational Fund, Inc.</u> \$125,000 For its Political Participation project 19-52 40 Rector Street, 5th Floor New York, NY 10006 United States	PC	\$ -	\$ 125,000	\$ 125,000	\$ -	\$ -
<u>National Asian Pacific American Women's Forum</u> \$10,000 For its Power Up Conference: Building Leadership with AAPI Women and Girls 19-532 1225 New York Avenue, NW, Suite 800 Washington, D.C. 20005 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>National Committee for Responsive Philanthropy</u> \$50,000 For its public policy work 19-453 1900 L Street, NW, Suite 825 Washington, D.C. 20036 United States	PC	\$ -	\$ 50,000	\$ 25,000	\$ -	\$ 25,000

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<u>National Conference on Citizenship</u> \$40,000 For NCoC's annual conference and for its Purple Project for Democracy 19-819 1920 L Street, NW, Suite 450 Washington, D.C. 20036 United States	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>National Council of Nonprofits</u> \$95,000 For general support 19-679 1001 G Street, NW, Suite 700 East Washington, D.C. 20001 United States	PC	\$ -	\$ 95,000	\$ 10,000	\$ -	\$ 85,000
<u>National Council of Nonprofits</u> \$85,000 For general support 18-289 1001 G Street, NW, Suite 700 East Washington, D.C. 20001 United States	PC	\$ 40,000	\$ -	\$ 40,000	\$ -	\$ -
<u>National Institute on Money In State Politics</u> \$300,000 For general support 18-73 833 N. Last Chance Gulch Helena, MT 59601-3352 United States	PC	\$ 150,000	\$ -	\$ 150,000	\$ -	\$ -
<u>National Iranian American Council</u> \$80,000 For its project, Comprehensive Approaches to Support Peace and Nonproliferation with Iran 18-221 1629 K Street, Suite 503 Washington, D.C. 20006 United States	PC	\$ 40,000	\$ -	\$ 40,000	\$ -	\$ -
<u>National Queer Asian Pacific Islander Alliance, Inc.</u> \$10,000 For its 2020 Census work 19-531 P.O. Box 1277, Old Chelsea Station New York, NY 10113 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>National Wildlife Federation</u> \$250,000 For its Northeast Campaign for Atlantic Offshore Wind Power project 19-17 11100 Wildlife Center Drive Reston, VA 20190 United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>Natural Capitalism Solutions, Inc.</u> \$100,000 For its Presidential Climate Action project 18-339 11823 N. 75th Street Longmont, CO 80503 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -

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<u>NEO Philanthropy, Inc.</u> \$91,000 For the Protective Shield project of its Charity and Security Network project 18-287 45 West 36th Street, 6th Floor New York, NY 10018 United States	PC	\$ 15,641	\$ -	\$ 15,641	\$ -	\$ -
<u>NEO Philanthropy, Inc.</u> \$155,000 For its project, the Online Progressive Engagement Network 18-205 45 West 36th Street, 6th Floor New York, NY 10018 United States	PC	\$ 68,400	\$ -	\$ 68,400	\$ -	\$ -
<u>NEO Philanthropy, Inc.</u> \$97,000 For membership in 2018 and 2019 in and general support of its project, the Funders' Committee on Civic Participation 18-277 45 West 36th Street, 6th Floor New York, NY 10018 United States	PC	\$ 48,500	\$ -	\$ 48,500	\$ -	\$ -
<u>NEO Philanthropy, Inc.</u> \$200,000 For its project, Blackbird 19-55 45 West 36th Street, 6th Floor New York, NY 10018 United States	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>NEO Philanthropy, Inc.</u> \$135,000 For the Protective Shield and Lawfare Pushback projects of its Charity and Security Network project 19-575 45 West 36th Street, 6th Floor New York, NY 10018 United States	PC	\$ -	\$ 135,000	\$ 50,000	\$ -	\$ 85,000
<u>New American Leaders Project, Inc.</u> \$150,000 For general support 19-436 530 7th Avenue, Floor M1 New York, NY 10018 United States	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>New Florida Majority Education Fund, Inc.</u> \$200,000 For general support 18-285 10800 Biscayne Boulevard, Suite 1050 Miami, FL 33161 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -

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<u>New Social Initiative</u>						
\$70,000						
For general support						
19-560						
Cika Jovina IV/3						
North Mitrovica 40000						
Republic of Kosovo	PC	\$ -	\$ 70,000	\$ 35,000	\$ -	\$ 35,000
<u>New Venture Fund</u>						
\$25,000						
For its Mission 2020 project, It's Going To Be						
Tremendous - A New Podcast about Living with						
Optimism in a Time of Change						
19-385						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>New Venture Fund</u>						
\$75,000						
For its project, The Pluribus Project						
18-290						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ 2,641	\$ -	\$ 2,641	\$ -	\$ -
<u>New Venture Fund</u>						
\$265,000						
For its project, Climate Interactive						
19-105						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 265,000	\$ 165,000	\$ -	\$ 100,000
<u>New Venture Fund</u>						
\$250,000						
For the work of the Presidents' Council of its U.S. Impact						
Investing Alliance						
17-366						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ 146,000	\$ -	\$ 71,000	\$ -	\$ 75,000
<u>New Venture Fund</u>						
\$250,000						
For its Census 2020 Project						
19-69						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>New Venture Fund</u>						
\$75,000						
For its project, Media Democracy Fund						
19-208						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>New Venture Fund</u>						
\$300,000						
For its project, The Partnership Fund						
19-177						
1201 Connecticut Ave, NW, Suite 300						
Washington, D.C. 20036						
United States	PC	\$ -	\$ 300,000	\$ 300,000	\$ -	\$ -

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<u>New Venture Fund</u>						
\$300,000 For its project, The Tipping Point Fund 19-261 1201 Connecticut Ave, NW, Suite 300 Washington, D.C. 20036 United States	PC	\$ -	\$ 300,000	\$ 53,165	\$ -	\$ 246,835
<u>New York City Environmental Justice Alliance, Inc.</u>						
\$10,000 For work on transportation electrification in New York City 19-533 166A 22nd Street Brooklyn, NY 11232 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>New York Foundation for the Arts, Inc.</u>						
\$10,000 For its project, the American Artists' Hand Archive 19-529 20 Jay Street, Suite 740 Brooklyn, NY 11201 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>New York League of Conservation Voters Education Fund, Inc.</u>						
\$50,000 For its Getting to 2050 Climate Tracker project 19-627 30 Broad Street, 30th Floor New York, NY 10004 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>New York Public Interest Research Group Fund, Inc.</u>						
\$20,000 For its Fix the Subway Campaign 19-248 9 Murray Street, Lower Level New York, NY 10007 United States	PC	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -
<u>New York University</u>						
\$25,000 For the Resilient Infrastructure Fund for New York State of Rebuild by Design, a project of its Institute for Public Knowledge 19-740 53 Washington Square South, Room 318 New York, NY 10012 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Nine Seven Two-Advancement of Citizen Journalism</u>						
\$140,000 For general support 18-45 7 Hapelekh Street Tel Aviv 6816727 Israel	PC	\$ 70,000	\$ -	\$ 70,000	\$ -	\$ -

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<u>Nonprofit New York, Inc.</u>						
\$3,500						
For general support and membership for 2018 and 2019						
17-379						
135 West 36th Street, 15th Floor						
New York, NY 10018						
United States	PC	\$ 1,500	\$ -	\$ 2,000	\$ 500	\$ -
<u>Nonprofit New York, Inc.</u>						
80,000						
For its advocacy work						
19-452						
135 West 36th Street, 15th Floor						
New York, NY 10018						
United States	PC	\$ -	\$ 80,000	\$ 20,000	\$ -	\$ 60,000
<u>North American Congress on Latin America, Inc.</u>						
\$25,000						
For North-South information and networking in the Americas						
19-197						
53 Washington Square South, Floor 4W						
New York, NY 10012						
United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Oil Change International</u>						
\$400,000						
For general support.						
18-67						
714 G Street, SE, Suite 202						
Washington, D.C. 20003						
United States	PC	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -
<u>Open Markets Institute</u>						
\$100,000						
For addressing monopoly power in international trade regimes						
19-220						
1440 G Street, NW						
Washington, D.C. 20005						
United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Open Markets Institute</u>						
\$25,000						
For its Monopoly Elections program						
19-457						
1440 G Street, NW						
Washington, D.C. 20005						
United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Open Space Institute, Inc.</u>						
\$100,000						
For technology upgrades for the Denny Farrell Riverbank State Park Cultural Center						
19-09						
1350 Broadway, Suite 201						
New York, NY 10018-7799						
United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>openDemocracy Limited</u>						
\$152,000						
For general support						
19-701						
18-22 Ashwin Street						
London E8 3DL						
United Kingdom	PC	\$ -	\$ 152,000	\$ 127,000	\$ -	\$ 25,000

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<u>OpenHouseNewYork, Inc.</u> \$10,000 For its Open House NY Weekend program 19-521 1133 Broadway, Suite 802 New York, NY 10010 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Optimist Association</u> \$25,000 For its project, Organic Life 19-115 Marsala Tita bb Bosilegrad Serbia	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Oral History Initiative</u> \$10,000 For general support 19-699 UÇK Street, Bl. 2/13 Pristina 10000 Republic of Kosovo	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Organization for Social Innovation Association for Development of New Options</u> \$120,000 For general support 19-113 Isaija Mazovski 40/2-17 Skopje 1000 Macedonia	PC	\$ -	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Original Music Workshop, Inc.</u> \$150,000 For artist residencies, public concerts, and performances 19-103 80 N. 6th Street Brooklyn, NY 11249 United States	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>Pace University</u> \$50,000 For the Pace Energy and Climate Center's Zero Net Gas New York project 19-400 1 Pace Plaza New York, NY 10038 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Pachamama Alliance</u> \$120,000 For its project, the Global Alliance for the Rights of Nature 19-224 Presidio Building #1009, P.O. Box 29191 San Francisco, CA 94129 United States	PC	\$ -	\$ 120,000	\$ 120,000	\$ -	\$ -
<u>Page Seventy-Three Productions, Inc.</u> \$50,000 For the production of two new plays 19-63 80 Hanson Place, Suite 305 Brooklyn, NY 11217 United States	PC	\$ -	\$ 50,000	\$ 25,000	\$ -	\$ 25,000

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<u>Panamerican Musical Art Research, Inc.</u> \$120,000 For its Latin Reel project 18-198 675 West 187th Street, Suite 23 New York, NY 10033 United States	PC	\$ 60,000	\$ -	\$ 60,000	\$ -	\$ -
<u>Partners Albania for Change and Development</u> \$200,000 For general support 19-118 Rruga Sulejman Delvina, N. 18, H. 8, Ap. 12 Tirana 1001 Albania	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Partnership for Public Service, Inc.</u> \$175,000 For general support 19-37 1100 New York Avenue, NW, Suite 200 East Washington, D.C. 20005 United States	PC	\$ -	\$ 175,000	\$ 100,000	\$ -	\$ 75,000
<u>Paul Taylor Dance Foundation, Inc.</u> \$250,000 For its 2019 and 2020 Lincoln Center seasons and 2020 Celebrate the Dancemaker tour 19-72 551 Grand Street New York, NY 10002 United States	PC	\$ -	\$ 250,000	\$ 100,000	\$ -	\$ 150,000
<u>PEAK Grantmaking, Inc.</u> \$30,000 For its diversity data in philanthropy initiative 19-267 1666 K Street, NW, Suite 440 Washington, D.C. 20006 United States	PC	\$ -	\$ 30,000	\$ 15,000	\$ -	\$ 15,000
<u>PEAK Grantmaking, Inc.</u> \$6,000 For general support and membership for 2018 and 2019 17-372 1666 K Street, NW, Suite 440 Washington, D.C. 20006 United States	PC	\$ 3,000	\$ -	\$ 3,000	\$ -	\$ -
<u>People's Theatre Project, Inc.</u> \$10,000 For its youth theater program 19-520 5030 Broadway, Suite 630 New York, NY 10034 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Performance Zone, Inc.</u> \$150,000 For its project, New Yorkers for Culture & Arts 19-738 75 Maiden Lane, Suite 906 New York, NY 10038 United States	PC	\$ -	\$ 150,000	\$ 116,198	\$ -	\$ 33,802

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<u>Pescanik</u> \$50,000 For general support 19-372 Takovska 22 Belgrade 11000 Serbia	PC	\$ -	\$ 50,000	\$ 25,000	\$ -	\$ 25,000
<u>Philanthropy for Active Civic Engagement</u> \$48,000 For 2018 and 2019 membership and general support 18-276 1201 15th Street, NW, Suite 420 Washington, D.C. 20036 United States	PC	\$ 24,000	\$ -	\$ 24,000	\$ -	\$ -
<u>Philanthropy New York, Inc.</u> \$42,000 For general support and membership for 2018 and 2019 17-376 320 East 43rd Street New York, NY 10017 United States	PC	\$ 19,750	\$ -	\$ 22,250	\$ 2,500	\$ -
<u>Ploughshares Fund</u> \$100,000 For its Women's Initiative. 18-90 315 Bay Street, Suite 400 San Francisco, CA 94133 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>Ploughshares Fund</u> \$100,000 For its Iran Campaign 19-645 315 Bay Street, Suite 400 San Francisco, CA 94133 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Polaris Institute USA</u> \$50,000 For its project, Latin America Investment Monitor 19-223 1901 Olympic Boulevard, Suite 200 Walnut Creek, CA 94596 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Post-Conflict Research Center</u> \$110,000 For general support 19-126 Pruscakova 21 Sarajevo 71000 Bosnia and Herzegovina	PC	\$ -	\$ 110,000	\$ 70,000	\$ -	\$ 40,000
<u>Power Shift Network</u> \$50,000 For general support 19-35 1875 Connecticut Avenue, NW, 10th Floor Washington, D.C. 20009 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>PowerPAC Foundation</u>						
\$12,000 For the polling project of its She the People program 19-410 268 Bush Street, Unit 3737 San Francisco, CA 94104 United States	PC	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ -
<u>Pregones Touring Puerto Rican Theatre Collection, Inc.</u>						
\$150,000 For marketing and communications efforts 19-360 571-575 Walton Avenue Bronx, NY 10451 United States	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>Prison Policy Initiative, Inc.</u>						
\$150,000 For general support 18-58 69 Garfield Avenue, 1st Floor Easthampton, MA 01027 United States	PC	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -
<u>Project South The Institution for the Elimination of Poverty & Genocide</u>						
\$25,000 For general support 19-460 9 Gammon Avenue SE Atlanta, GA 30315 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Protect Democracy Project</u>						
\$200,000 For general support 18-269 2020 Pennsylvania Avenue, NW, Suite 163 Washington, D.C. 20006 United States	PC	\$ 125,000	\$ -	\$ 125,000	\$ -	\$ -
<u>Proteus Fund, Inc.</u>						
\$200,000 For its project, More Equitable Democracy 18-272 15 Research Drive, Suite B Amherst, MA 01002 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Proteus Fund, Inc.</u>						
\$21,293 For its RISE Together Fund 19-435 15 Research Drive, Suite B Amherst, MA 01002 United States	PC	\$ -	\$ 21,293	\$ 21,293	\$ -	\$ -
<u>Proteus Fund, Inc.</u>						
\$10,000 For its project, ReFrame 19-523 15 Research Drive, Suite B Amherst, MA 01002 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -

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<u>Proteus Fund, Inc.</u>						
\$250,000						
For its initiative, the Piper Fund						
19-732						
15 Research Drive, Suite B						
Amherst, MA 01002						
United States						
PC	\$	-	\$ 250,000	\$ 123,556	\$ -	\$ 126,444
<u>Public Agenda, Inc.</u>						
\$50,000						
For general support						
19-260						
195 Montague Street, 14th Floor						
Brooklyn, NY 11201						
United States						
PC	\$	-	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Public Citizen Foundation, Inc.</u>						
\$300,000						
For its Global Trade Watch program						
19-40						
1600 20th Street, NW						
Washington, D.C. 20009						
United States						
PC	\$	-	\$ 300,000	\$ 200,000	\$ -	\$ 100,000
<u>Public Citizen Foundation, Inc.</u>						
\$100,000						
For its work with the Corporate Reform Coalition						
19-281						
1600 20th Street, NW						
Washington, D.C. 20009						
United States						
PC	\$	-	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Qendra e Arteve Vizuele Multimedia</u>						
\$35,000						
For general support						
19-175						
Rr. Idriz Gjillani 7/9 – 1						
Pristina 10000						
Republic of Kosovo						
PC	\$	-	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>Queens Museum of Art</u>						
\$80,000						
For Mundos Alternos: Art and Science Fiction in the Americas and the creation of site-specific work						
19-182						
New York City Building, Flushing Meadows Corona Park						
Queens, NY 11368						
United States						
PC	\$	-	\$ 80,000	\$ 80,000	\$ -	\$ -
<u>Rainforest Action Network</u>						
\$200,000						
For its Climate and Energy program						
18-341						
425 Bush Street						
San Francisco, CA 94108						
United States						
PC	\$	100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Regents of the University of Colorado</u>						
\$140,000						
For its project, the Governors' Climate & Forests Task Force						
19-447						
468 UCB						
Boulder, CO 80309						
United States						
PC	\$	-	\$ 140,000	\$ 140,000	\$ -	\$ -

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<u>Renewable Energy Long Island, Inc.</u> \$35,823 For its Community Choice Aggregation Booster Pilot for New York State 19-93 P.O. Box 4103 East Hampton, NY 11937 United States	PC	\$ -	\$ 35,823	\$ 35,823	\$ -	\$ -
<u>Represent.Us Education Fund</u> \$125,000 For general support 18-275 P.O. Box 60008 Florence, MA 01062 United States	PC	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -
<u>RES Foundation</u> \$120,000 For general support 19-117 3A Zmaja od Nocaja St. Belgrade 11000 Serbia	PC	\$ -	\$ 120,000	\$ 60,000	\$ -	\$ 60,000
<u>Residency Unlimited, Inc.</u> \$100,000 For artist residencies 19-71 360 Court Street, Unit 4 Brooklyn, NY 11231 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Rockefeller Philanthropy Advisors, Inc.</u> \$25,000 For its impact investing monograph project 19-262 6 West 48th Street, 10th Floor New York, NY 10036 United States	PC	\$ -	\$ 25,000	\$ 15,000	\$ -	\$ 10,000
<u>Rockefeller Philanthropy Advisors, Inc.</u> \$100,000 For its project, Upstart Co-Lab 19-174 6 West 48th Street, 10th Floor New York, NY 10036 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Rockefeller Philanthropy Advisors, Inc.</u> \$400,000 For its Climate Nexus project 17-365 6 West 48th Street, 10th Floor New York, NY 10036 United States	PC	\$ 195,159	\$ -	\$ 195,159	\$ -	\$ -
<u>Rocky Mountain Institute</u> \$100,000 For its Global Climate Finance program 19-15 2490 Junction Place, Suite 200 Boulder, CO 80301 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -

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<u>Rocky Mountain Institute</u> \$15,000 For a convening of international NGOs 19-240 2490 Junction Place, Suite 200 Boulder, CO 80301 United States	PC	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -
<u>Rocky Mountain Institute</u> \$500,000 For its Carbon-Free Cities project 19-449 2490 Junction Place, Suite 200 Boulder, CO 80301 United States	PC	\$ -	\$ 500,000	\$ 250,000	\$ -	\$ 250,000
<u>Running Start</u> \$10,000 For its High School program to educate young women on how to run for public office 19-526 1310 L Street, NW, Suite 820 Washington, D.C. 20005 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>San Francisco Chamber of Commerce Foundation</u> \$200,000 For its Chambers for Innovation and Clean Energy project 18-255 235 Montgomery Street, Suite 760 San Francisco, CA 94104 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Sandy Hook Promise Foundation</u> \$10,000 For general support 19-522 P.O. Box 3489 Newtown, CT 06470 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Sbunker</u> \$35,000 For its project, Building Democratic Resilience in the Western Balkans 19-744 Boulevard "Dëshmorët e Kombit" no. 44/7 Pristina 10000 Republic of Kosovo	PC	\$ -	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>School of Public Life Foundation</u> \$50,000 For general support 18-167 101 Pasareti ut Budapest 1026 Hungary	PC	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -
<u>Seattle International Foundation</u> \$100,000 For its Independent Journalism Fund 19-723 1601 5th Avenue, Suite 1900 Seattle, WA 98101 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -

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<u>Shed NYC, Inc.</u>						
\$200,000						
For Open Call artists and the creation of new work						
19-84						
423 West 55th Street, 7th Floor						
New York, NY 10019						
United States						
PC	\$	-	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Shenzhen GoalBlue Low Carbon Development Promoting Center</u>						
\$200,000						
For work in sustainable consumer engagement						
19-610						
7-1-74, Jianwai DRC, No. 1 Xiushui Street, Chaoyang District						
Beijing 100600						
China						
PC	\$	-	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Shenzhen Zero Waste Environmental Public Welfare Undertakings Development Center</u>						
\$70,000						
For general support						
19-563						
Level 21, Building A, Finance Science & Technology Mansion, No. 11 Keyuan Road, Nanshan District						
Shenzhen						
China						
PC	\$	-	\$ 70,000	\$ 35,000	\$ -	\$ 35,000
<u>Sierra Club Foundation</u>						
\$125,000						
For its International Coal project						
19-218						
2101 Webster Street, Suite 1250						
Oakland, CA 94612						
United States						
PC	\$	-	\$ 125,000	\$ 125,000	\$ -	\$ -
<u>Sierra Club Foundation</u>						
\$250,000						
For its Building Support for a Living Economy program						
18-207						
2101 Webster Street, Suite 1250						
Oakland, CA 94612						
United States						
PC	\$	125,000	\$ -	\$ 125,000	\$ -	\$ -
<u>Slavko Curuvija Foundation</u>						
\$180,000						
For general support						
19-134						
Kursulina 7						
Belgrade 11000						
Serbia						
PC	\$	-	\$ 180,000	\$ 60,000	\$ -	\$ 120,000
<u>Social Good Fund, Inc.</u>						
\$100,000						
For its Restraint Educational Center project						
19-70						
12651 San Pablo Avenue, Unit 5473						
Richmond, CA 94805						
United States						
PC	\$	-	\$ 100,000	\$ 100,000	\$ -	\$ -

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<u>Social Progress Imperative, Inc.</u> \$50,000 For its Social Progress Index initiative 19-153 2101 L Street, NW, Suite 800 Washington, D.C. 20037 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Socrates Sculpture Park, Inc.</u> \$120,000 For a public art installation 19-181 32-01 Vernon Boulevard Long Island City, NY 11106 United States	PC	\$ -	\$ 120,000	\$ 120,000	\$ -	\$ -
<u>SoHarlem, Inc.</u> \$25,000 For general support 19-416 1361 Amsterdam Avenue, Suite 340 New York, NY 10027 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Solidago Foundation</u> \$15,000 For its Liberation, Equality, and Democracy (LEAD) project 19-455 150 Main Street, Suite 24 Northampton, MA 01060 United States	PC	\$ -	\$ 15,000	\$ -	\$ (15,000)	\$ -
<u>South Centre</u> \$200,000 For its Trade and Development program 19-213 17 Chemin du Champ d'Anier, 1209 Petit Saconnex Geneva 1209 Switzerland	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>Southern Coalition for Social Justice</u> \$200,000 For general support 18-59 1415 W. NC Hwy 54, Suite 101 Durham, NC 27707 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Spaceworks NYC, Inc.</u> \$200,000 For the development of long-term, affordable artist work spaces 18-77 540 President Street, Unit 2E Brooklyn, NY 11215 United States	PC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Stand</u> \$325,000 For its work on climate protection 19-92 650 California Street, 7th Floor San Francisco, CA 94108 United States	PC	\$ -	\$ 325,000	\$ 325,000	\$ -	\$ -

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<u>Stand</u>						
\$65,000 For a new international campaigner position 19-739 650 California Street, 7th Floor San Francisco, CA 94108 United States	PC	\$ -	\$ 65,000	\$ 65,000	\$ -	\$ -
<u>State Voices</u>						
\$10,000 For its Relationships & Resilience: Power Building Retreat for National Executive Directors of Color project 19-694 1616 P Street, NW, Suite 220 Washington, D.C. 20036 United States	PC	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -
<u>Stichting European Climate Foundation</u>						
\$200,000 For the Pooled Fund for International Energy 19-229 Rivervismarkt 5 The Hague 2513 AM Netherlands	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Stichting Rights Defenders</u>						
\$40,000 For general support 19-548 Parkstraat 25 Zaandijk 1544 AM Netherlands	PC	\$ -	\$ 40,000	\$ 40,000	\$ -	\$ -
<u>Stichting Transnational Institute</u>						
\$300,000 For its work on trade and investment 19-45 De Witenstraat 25 Amsterdam 1052 AK Netherlands	PC	\$ -	\$ 300,000	\$ 224,949	\$ -	\$ 75,051
<u>Sunrise Movement Education Fund</u>						
\$100,000 For general support 19-265 50 F Street, NW, Suite 700 Washington, D.C. 20001 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Sustainable Markets Foundation</u>						
\$200,000 For its project, the Global Gas and Oil Network 19-217 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Sustainable Markets Foundation</u>						
\$506,000 For its Global Gas and Oil Network's Amazon Sacred Headwaters Initiative 19-501 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States	PC	\$ -	\$ 506,000	\$ 256,000	\$ -	\$ 250,000

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<u>Sustainable Markets Foundation</u>						
\$325,000						
For its project, the Global Gas and Oil Network						
19-364						
45 West 36th Street, 6th Floor						
New York, NY 10018-7635						
United States						
	PC	\$ -	\$ 325,000	\$ 325,000	\$ -	\$ -
<u>Take Back Our Republic, Inc.</u>						
\$50,000						
For general support						
19-283						
165 E. Magnolia Avenue, Suite 203						
Auburn, AL 36830						
United States						
	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>Talent Beyond Boundaries, Inc.</u>						
\$35,000						
For general support						
19-789						
2000 Massachusetts Avenue, NW						
Washington, D.C. 20036						
United States						
	POF	\$ -	\$ 35,000	\$ 35,000	\$ -	\$ -
<u>TechSoup Global</u>						
\$50,000						
For its redefining civil society project						
19-268						
435 Brannan Street, Suite 100						
San Francisco, CA 94107-1780						
United States						
	PC	\$ -	\$ 50,000	\$ 25,000	\$ -	\$ 25,000
<u>The ADM Capital Foundation Limited</u>						
\$400,000						
For its China Water Risk initiative						
19-747						
2405 9 Queen's Road Central						
Hong Kong						
China						
	PF	\$ -	\$ 400,000	\$ 200,000	\$ -	\$ 200,000
<u>The Afro Latin Jazz Alliance of New York, Inc.</u>						
\$100,000						
For the creation of new work and the development of a new strategic plan						
19-62						
Minisink Townhouse, 646 Malcolm X Boulevard, Suite 205						
New York, NY 10037						
United States						
	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>The Aspen Institute, Inc.</u>						
\$100,000						
For its project, The Pluribus Project						
19-88						
2300 N Street, NW, Suite 700						
Washington, D.C. 20037						
United States						
	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>The Aspen Institute, Inc.</u>						
\$350,000						
For its Congressional Program						
17-219						
2300 N Street, NW, Suite 700						
Washington, D.C. 20037						
United States						
	PC	\$ 114,566	\$ -	\$ 114,566	\$ -	\$ -

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Organization & Request	Tax Status	Beginning Balance 2019	Approved in 2019	Paid in 2019	Modifications or Lapses	Ending Balance
<u>The Balkan Forum</u>						
\$200,000 For general support 19-138 Sejdi Kryeziu Str. 13 Pristina Republic of Kosovo	PF	\$ -	\$ 200,000	\$ 168,000	\$ -	\$ 32,000
<u>The Broadway Dance Lab, Inc.</u>						
\$100,000 For its Lab Cycles and a residency at The Pocantico Center for female choreographers 19-73 433 West 34th Street, Suite 19A New York, NY 10001 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>The Brookings Institution</u>						
\$200,000 For a Track 2 Dialogue on U.S.-China Relations 19-353 1775 Massachusetts Avenue, NW Washington, D.C. 20036 United States	PC	\$ -	\$ 200,000	\$ 125,000	\$ -	\$ 75,000
<u>The Center for Election Innovation & Research, Inc.</u>						
\$125,000 For general support. 18-266 1015 15th Street, NW, Suite 600 Washington, D.C. 20005 United States	PC	\$ 105,000	\$ -	\$ 105,000	\$ -	\$ -
<u>The Climate Group, Inc.</u>						
\$50,000 For New York City Climate Week 19-20 145 West 58th Street, Suite 2A New York, NY 10019 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>The Conciliators Guild</u>						
\$51,300 For its dialogue project 19-734 34 Packhorse Lane, The Flat, Marcham Priory Marcham OX13 6NT United Kingdom	PC	\$ -	\$ 51,300	\$ 51,300	\$ -	\$ -
<u>The Energy Foundation</u>						
\$200,000 For its project, Co-Control of Air Pollution and Greenhouse Gases in Pearl River Delta Greater Bay Area 19-746 301 Battery Street, Floor 5 San Francisco, CA 94111 United States	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>The Foundation for a Civil Society, Ltd.</u>						
\$270,000 For its Iran Project 18-89 25 East End Avenue, Apt. 1B New York, NY 10028 United States	PC	\$ 135,000	\$ -	\$ 135,000	\$ -	\$ -

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<u>The Foundation for a Civil Society, Ltd.</u> \$100,000 For its Iran Project 19-272 25 East End Avenue, Apt. 1B New York, NY 10028 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>The Franklin and Eleanor Roosevelt Institute</u> \$100,000 For general support 19-184 570 Lexington Avenue, 5th Floor New York, NY 10022 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>The Franklin and Eleanor Roosevelt Institute</u> \$100,000 For its Southern Economic Advancement project 19-493 570 Lexington Avenue, 5th Floor New York, NY 10022 United States	PC	\$ -	\$ 100,000	\$ 25,000	\$ -	\$ 75,000
<u>The Geneva Headquarters of the Cairo Institute for Human Rights Studies</u> \$100,000 For general support 19-169 Rue des Asters 18 Geneva 1202 Switzerland	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>The Joint Center for Political and Economic Studies</u> \$30,000 For the addition of a development director 19-301 633 Pennsylvania Avenue, NW Washington, D.C. 20004 United States	PC	\$ -	\$ 30,000	\$ 30,000	\$ -	\$ -
<u>The Leslie-Lohman Museum of Gay and Lesbian Art</u> \$60,000 For the development of a five-year strategic plan and leadership retreat at The Pocantico Center 18-06 26 Wooster Street New York, NY 10013 United States	PC	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -
<u>The Municipal Art Society of New York</u> \$100,000 For its Fight for Light initiative 18-201 488 Madison Avenue, Suite 1900 New York, NY 10022 United States	PC	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -
<u>The Network for Affirmation of NGO Sector</u> \$60,000 For general support 19-140 Dalmatinska 188 Podgorica 81000 Montenegro	PC	\$ -	\$ 60,000	\$ 30,000	\$ -	\$ 30,000

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<u>The New York Community Trust</u>						
\$150,000 For its Mosaic Network and Fund 19-151 909 Third Avenue, 22nd Floor New York, NY 10022 United States	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>The New York Community Trust</u>						
\$250,000 For its New York Census Equity Fund 19-329 909 Third Avenue, 22nd Floor New York, NY 10022 United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>The New York Public Library, Astor, Lenox and Tilden Foundations</u>						
\$50,000 For its Jerome Robbins Dance division 19-78 Fifth Avenue and 42nd Street New York, NY 10018 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>The Niskanen Center, Inc.</u>						
\$200,000 For its climate program 18-95 820 First Street, NE, Suite 675 Washington, D.C. 20002 United States	PC	\$ 6,361	\$ -	\$ 6,361	\$ -	\$ -
<u>The Ocean Conservancy, Inc.</u>						
\$600,000 For its work on ocean acidification and its work with the International Alliance to Combat Ocean Acidification 18-213 1300 19th Street, NW, 8th Floor Washington, D.C. 20036 United States	PC	\$ 300,000	\$ -	\$ 300,000	\$ -	\$ -
<u>The Paradise International Foundation Limited</u>						
\$500,000 For its environmental philanthropy education project 19-448 6th Floor, Alexandra House, 18 Chater Road Central Hong Kong China	PC	\$ -	\$ 500,000	\$ 250,000	\$ -	\$ 250,000
<u>The Partnership Project, Inc.</u>						
\$50,000 For its Green Leadership Trust initiative 18-122 P.O. Box 65826 Washington, D.C. 20035 United States	PC	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -

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<u>The President and Directors Georgetown College</u> <u>(Georgetown University)</u> \$600,000 For the Georgetown Climate Center 18-76 37th and O Streets, NW Washington, D.C. 20057 United States	PC	\$ 600,000	\$ -	\$ 300,000	\$ -	\$ 300,000
<u>The President and Directors Georgetown College</u> <u>(Georgetown University)</u> \$250,000 For its U.S.-China Rising Climate Leaders Dialogue and Research program 19-561 37th and O Streets, NW Washington, D.C. 20057 United States	PC	\$ -	\$ 250,000	\$ 157,269	\$ -	\$ 92,731
<u>The Project on Middle East Democracy, Inc.</u> \$150,000 For general support 19-166 1730 Rhode Island Avenue, NW, Suite 617 Washington, D.C. 20036 United States	PC	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>The Refugee Center Online</u> \$30,000 For general support 19-578 2420 NE Sandy Boulevard, Suite 102 Portland, OR 97232 United States	PC	\$ -	\$ 30,000	\$ 30,000	\$ -	\$ -
<u>The Tahrir Institute for Middle East Policy</u> \$75,000 For general support 19-168 1140 Connecticut Avenue, NW, Suite 505 Washington, D.C. 20036 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>The Trustees of Indiana University</u> \$25,000 For its Lilly Family School of Philanthropy's Global Philanthropy Environment Index 19-03 980 Indiana Avenue, Lockefield 2232 Indianapolis, IN 46202 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>The United States University of Diplomatic Studies</u> <u>Foundation</u> \$50,000 For general support 19-642 1200 18th Street, NW, Suite 1050 Washington, D.C. 20036 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>The Voices Project USA, Inc.</u> \$80,000 For general support 19-577 594 Dean Street, Floor 2, Suite 22 Brooklyn, NY 11238 United States	PC	\$ -	\$ 80,000	\$ 80,000	\$ -	\$ -
<u>The Voices Project USA, Inc.</u> \$80,000 For general support. 18-162 594 Dean Street, Floor 2, Suite 22 Brooklyn, NY 11238 United States	PC	\$ 80,000	\$ -	\$ 80,000	\$ -	\$ -
<u>The Watershed Center</u> \$25,000 For its project, the Healing Justice Podcast 19-517 44 Kaye Road Millerton, NY 12546 United States	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Third World Network Berhad</u> \$300,000 For general support 19-214 131 Macalister Road Penang 10400 Malaysia	PC	\$ -	\$ 300,000	\$ 150,000	\$ -	\$ 150,000
<u>Third World Network-Africa</u> \$180,000 For its work on trade and investment policy and to build communications capacity 18-208 9 Asmara Street, East Legon, P.O. Box 19452 Accra Ghana	PC	\$ 105,000	\$ -	\$ 105,000	\$ -	\$ -
<u>Tides Center</u> \$160,000 For its Adalah Justice project 18-203 P.O. Box 29907 San Francisco, CA 94129 United States	PC	\$ 80,000	\$ -	\$ 80,000	\$ -	\$ -
<u>Tides Center</u> \$20,000 For its project, the Solidaire Network 19-623 P.O. Box 29907 San Francisco, CA 94129 United States	PC	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -
<u>Tides Center</u> \$125,000 For its Palestine Legal project 19-431 P.O. Box 29907 San Francisco, CA 94129 United States	PC	\$ -	\$ 125,000	\$ 50,000	\$ -	\$ 75,000

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<u>Tides Foundation</u>						
\$250,000						
For the Electoral Justice Project of its project, the Movement for Black Lives						
19-433						
Presidio Main Post, Building No. 37, P.O. Box 29903						
San Francisco, CA 94129-0903						
United States						
PC	\$	-	\$ 250,000	\$ 125,000	\$ -	\$ 125,000
<u>Trag Foundation</u>						
\$200,000						
For general support						
18-295						
Djevdjeljska 19						
Belgrade-Zvezdara 106502						
Serbia						
PC	\$	100,000	\$ -	\$ 100,000	\$ -	\$ -
<u>Transforma</u>						
\$25,000						
For general support						
19-692						
Calle 72 No. 6-30, Office 402						
Bogota						
Colombia						
PC	\$	-	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Tri-State Transportation Campaign, Inc.</u>						
\$20,000						
For its Fix Our Transit Campaign						
19-180						
350 West 31st Street, Suite 805						
New York, NY 10001						
United States						
PC	\$	-	\$ 20,000	\$ 20,000	\$ -	\$ -
<u>TRIAL International</u>						
\$80,000						
For its work in improving access to justice for victims of war crimes in Bosnia and Herzegovina						
19-430						
P.O. Box 5116						
Geneva 1211						
Switzerland						
PC	\$	-	\$ 80,000	\$ 40,000	\$ -	\$ 40,000
<u>Trustees of Boston University</u>						
\$300,000						
For the Global Economic Governance Initiative of its Frederick S. Pardee School of Global Studies						
19-228						
25 Buick Street						
Boston, MA 02215						
United States						
PC	\$	-	\$ 300,000	\$ 150,000	\$ -	\$ 150,000
<u>Trustees of Boston University</u>						
\$200,000						
For its Global Economic Governance Initiative Global China program						
18-148						
25 Buick Street						
Boston, MA 02215						
United States						
PC	\$	100,000	\$ -	\$ 100,000	\$ -	\$ -

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<u>Trustees of Princeton University</u> \$200,000 For its Program on Science and Global Security at the Woodrow Wilson School of Public and International Affairs 19-564 Office of Research and Project Administration, 87 Prospect Avenue, P.O. Box 36 Princeton, NJ 08544-0036 United States	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Trustees of Tufts College</u> \$200,000 For its Raising Ambition in Major Emerging Economies on Climate Change project 19-635 136 Harrison Avenue, 75K-950 Boston, MA 02111-1817 United States	PC	\$ -	\$ 200,000	\$ 100,000	\$ -	\$ 100,000
<u>Trustees of Tufts College</u> \$400,000 For research on Chinese sustainable overseas investments 19-323 136 Harrison Avenue, 75K-950 Boston, MA 02111-1817 United States	PC	\$ -	\$ 400,000	\$ 200,000	\$ -	\$ 200,000
<u>Tsinghua University</u> \$600,000 For strengthening national climate action strategy toward a net-zero emissions future 18-420 Institute of Energy, Environment, and Economy Beijing 100084 China	PC	\$ 297,972	\$ -	\$ 297,972	\$ -	\$ -
<u>Two States One Homeland</u> \$30,000 For general support 19-513 10 Bloch Str. Tel Aviv-Yafo 6416116 Israel	PC	\$ -	\$ 30,000	\$ 30,000	\$ -	\$ -
<u>U.S. Middle East Project, Inc.</u> \$32,000 For its Israel/Palestine strategy meeting 19-183 641 Lexington Avenue, Suite 1500 New York, NY 10022 United States	PC	\$ -	\$ 32,000	\$ 32,000	\$ -	\$ -
<u>United Nations</u> \$50,000 For the United Nations Conference on Trade and Development's ongoing work on the Reclaiming Multilateralism project 19-678 1 United Nations Plaza New York, NY 10017 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>Universiteit Leiden, International Institute for Asian Studies</u>						
\$160,000						
For its Forum on Health, Environment, and Development project						
19-749						
Rapenburg 59, 2311 GJ						
Leiden						
Netherlands						
	PC	\$ -	\$ 160,000	\$ 160,000	\$ -	\$ -
<u>University of California, Berkeley</u>						
\$200,000						
For its California-China Climate Institute program						
19-748						
215 Moses Hall, Room 2308						
Berkeley, CA 94720-2308						
United States						
	PC	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ -
<u>University of Delaware</u>						
\$500,000						
For its project, the Special Initiative on Offshore Wind						
18-389						
210 Hulihan Hall						
Newark, DE 19716						
United States						
	PC	\$ 500,000	\$ -	\$ 250,000	\$ -	\$ 250,000
<u>University of Massachusetts Foundation, Inc.</u>						
\$75,000						
For the Consortium on Gender, Security, and Human Rights' Feminist Roadmap for Sustainable Peace						
19-202						
One Beacon Street, 31st Floor						
Boston, MA 02108						
United States						
	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -
<u>University of Oregon</u>						
\$15,775						
For mapping transnational Rights of Nature networks						
19-368						
P.O. Box 3237						
Eugene, OR 97403-5247						
United States						
	GOV	\$ -	\$ 15,775	\$ 15,775	\$ -	\$ -
<u>University of Victoria</u>						
\$25,000						
For the Amazon Sacred Headwaters Initiative Counsel, a project of its Centre for Global Studies						
19-366						
P.O. Box 1700 STN CSC						
Victoria V8W 2Y						
Canada						
	PC	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -
<u>Urban Sustainability Directors Network</u>						
\$45,000						
For its Carbon Drawdown Initiative						
19-695						
1624 Viola Circle						
Port Washington, WI 53074-1038						
United States						
	PC	\$ -	\$ 45,000	\$ 45,000	\$ -	\$ -

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<u>Urban Sustainability Directors Network</u> \$80,000 For the Fostering the Adoption of Embodied Carbon Policies in Cities project of its project, the Carbon Neutral Cities Alliance 19-81 1624 Viola Circle Port Washington, WI 53074-1038 United States	PC	\$ -	\$ 80,000	\$ 80,000	\$ -	\$ -
<u>Urban Sustainability Directors Network</u> \$385,000 For general support 18-335 1624 Viola Circle Port Washington, WI 53074-1038 United States	PC	\$ 210,000	\$ -	\$ 210,000	\$ -	\$ -
<u>Urgent Action Fund for Women's Human Rights</u> \$50,000 For its project, Supporting the Resilience of Feminist and LGBTQI Activists in the Western Balkans 19-698 660 13th Street, Suite 200 Oakland, CA 94612 United States	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -
<u>urgewald</u> \$100,000 For its project on international financial institutions and fossil fuels 19-221 Von-Galen Str. 4 Sassenberg 48336 Germany	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>US SIF Foundation, Ltd.</u> \$5,105 For general support and membership for 2019 19-690 1660 L Street, NW, Suite 306 Washington, D.C. 20036 United States	PC	\$ -	\$ 5,105	\$ 5,105	\$ -	\$ -
<u>Visualizing Impact</u> \$15,000 For general support 19-165 The Mansion, Zokak el Blat, Bashoura Beirut 1107 2026 Lebanon	PC	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ -
<u>VoteRunLead</u> \$250,000 For general support 19-56 8 West 126th Street New York, NY 10027 United States	PC	\$ -	\$ 250,000	\$ 125,000	\$ -	\$ 125,000

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<u>Wellbeing Economy Alliance</u> \$100,000 For general support 19-463 c/o The Equality Trust, 356 Holloway Road London N7 6PA United Kingdom	PF	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>Who Profits Research Center</u> \$150,000 For general support 19-573 16 Ben Avigdor Street Tel Aviv 6101001 Israel	PC	\$ -	\$ 150,000	\$ 75,000	\$ -	\$ 75,000
<u>Wildlife Conservation Society</u> \$150,000 For work in sustainable corporate engagement in the Mekong sub-region 19-607 2300 Southern Boulevard Bronx, NY 10460 United States	PC	\$ -	\$ 150,000	\$ 150,000	\$ -	\$ -
<u>William J. Brennan, Jr., Center for Justice, Inc.</u> \$450,000 For its Money in Politics, Fair Courts, and Redistricting work. 18-72 120 Broadway, Suite 1750 New York, NY 10271 United States	PC	\$ 225,000	\$ -	\$ 225,000	\$ -	\$ -
<u>Winrock International Institute for Agricultural Development, Inc.</u> \$100,000 For its California Green Bond Initiative 19-776 2121 Crystal Drive, Suite 500 Arlington, VA 22202 United States	PC	\$ -	\$ 100,000	\$ 100,000	\$ -	\$ -
<u>WNET</u> \$50,000 For its project, Women, War & Peace II 18-44 825 Eighth Avenue New York, NY 10019-7435 United States	PC	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ -
<u>Women for Afghan Women, Inc.</u> \$75,000 For its project, Ensuring Women's Meaningful Participation in Afghanistan's Peacebuilding Process 19-171 158-24 73rd Avenue Fresh Meadows, NY 11366 United States	PC	\$ -	\$ 75,000	\$ 75,000	\$ -	\$ -

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<u>Women of Color Advancing Peace and Security WCAPS</u>						
\$100,000 For general support 19-510 3695 Ketchum Court Woodbridge, VA 22193 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Works and Process, Inc.</u>						
\$100,000 For a co-commission, presentation, and residency at The Pocantico Center for Machine Dazzle and Taylor Mac 19-334 708 Third Avenue, Suite 1005 New York, NY 10017 United States	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>World Resources Institute</u>						
\$500,000 For its Science-based Targets initiative 19-94 10 G Street, NE Washington, D.C. 20002 United States	PC	\$ -	\$ 500,000	\$ 250,000	\$ -	\$ 250,000
<u>World Wildlife Fund, Inc.</u>						
\$400,000 For WWF-China's REpowering Asia: Coal to Clean Initiative 19-745 1250 24th Street, NW Washington, DC 20037-1175 United States	PC	\$ -	\$ 400,000	\$ 200,000	\$ -	\$ 200,000
<u>Worldwide Initiatives for Grantmaker Support, Inc.</u>						
\$30,000 For its closing space for civil society initiative 18-253 Av. Faria Lima, 2391, cj 122 Sao Paulo-SP 01452-905 Brazil	PC	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ -
<u>Yesh Din - Volunteers for Human Rights</u>						
\$100,000 For general support 19-509 P.O. Box 50304 Tel Aviv-Jaffa 6150201 Israel	PC	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ 50,000
<u>Zazim - Community Action</u>						
\$50,000 For general support 19-507 P.O. Box 20142 Tel Aviv 61201 Israel	PC	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -

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<u>Zochrot</u>						
\$40,000						
For general support						
18-48						
34 Itzhak Sade Street						
Tel Aviv 97212						
Israel	PC	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -
Grand Total		\$ 11,940,032	\$ 38,657,298	\$ 37,667,953	\$ (26,138)	\$ 12,903,239
Rounding Difference		\$ (2)				\$ (2)
Lapsed Grants			\$ (26,138)			
Per G/L @ 12/31/19		<u>\$ 11,940,030</u>	<u>\$ 38,631,160</u>	<u>\$ 37,667,953</u>	<u>\$ (26,138)</u>	<u>\$ 12,903,237</u>

Other Contributions & Gifts (see attached following detail)

\$ 16,166 \$ 16,166

Total Contributions, Gifts & Grants Approved \$ 38,647,326

Total Part XV, line 3(a)	\$ 37,684,119
Total Part XV, line 3(b)	\$ 12,903,237

Key to Tax Status

PF	Private non-operating foundation, U.S.-based or foreign equivalent
POF	Private operating foundation, U.S.-based or foreign equivalent
EOF	Exempt operating foundation (section 4940(d))
PC	Public charity as described in section 509(a)(1) or (2), U.S.-based or foreign equivalent (incl. churches, schools, and hospitals)
GOV	Domestic or foreign government
SO-DP	Type I, II, or III functionally integrated supporting organization if a disqualified person of the private foundation controls the supporting organization or a supported organization (sections 509(a)(3) and 4942(g)(4))
SO I	Type I supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(i) other than an SO-DP)
SO II	Type II supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(ii) other than an SO-DP)
SO III FI	Functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP)
SO III NFI	Non-functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP)
TPS	Testing for public safety organization (section 509(a)(4))
NC	Organization not otherwise classified
I	Individual person

ROCKEFELLER BROTHERS FUND, INC.**13-1760106****475 Riverside Drive, Suite 900****New York, New York 10115****STATEMENT 19****FORM 990-PF****YEAR 2019**Relationship of Activities to the Accomplishment of Exempt Purpose**Part XVI-B****Line 1a- Income from Public Programs**

The Pocantico Center shares the 100-year history of Kykuit, the Rockefeller family home, with the public, and also offers programs that reflect the values of the Rockefeller Brothers Fund and the National Trust for Historic Preservation. Public programs make use of the estate's buildings, grounds, and gardens to nurture learning and artistic experiences.

The Pocantico Center offers learning experiences utilizing the collections and gardens of Kykuit. A variety of other learning experiences are presented through classes and workshops conducted by scholars and experts. Participants of all ages are offered a variety of educational opportunities including gardens, fine arts, decorative arts, and history.

The Pocantico Center extends the work of the Fund's grantees through the Pocantico Arts Collaborative which links the Fund's Charles E. Culpeper Arts & Culture grants with the resources and beauty of the Pocantico estate. The events showcase dance, music, theater and more.